

COMPROMISE AMENDMENT 1 - CYBER RESILIENCE ACT

The CA 1 covers all Articles (1-57), [recitals](#) and ~~all~~ annexes. If adopted, all relevant AMs (1-546 and IMCO 1-201) fall.

Title

If adopted, all related AMs fall, meaning AM 124.

Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on horizontal cybersecurity requirements for products with digital elements and amending Regulation (EU) 2019/1020 (*Cyber Resilience Act*)
(Text with EEA relevance)

Commented [OS1]: AM 124 Tosenovsky

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,
Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

Having regard to the opinion of the European Economic and Social Committee¹,

Having regard to the opinion of the Committee of the Regions²,

Acting in accordance with the ordinary legislative procedure,

Whereas:

- (1) **Cybersecurity is one of the key challenges for the Union and the number and variety of connected devices will rise exponentially in the coming years. Cyberattacks are a matter of public interest as they have a critical impact not just on the Union's economy, but also on democracy, consumer safety and health.** It is *therefore* necessary to **strengthen the Union's approach to cybersecurity, address cyber resilience at Union level** and improve the functioning of the internal market by laying down a uniform legal framework for essential cybersecurity requirements for placing products with digital elements on the Union market. Two major problems adding costs for users and society should be addressed: a low level of cybersecurity of products with digital elements, reflected by widespread vulnerabilities and the insufficient and inconsistent

Commented [OS2]: AM 1 Rapporteur, AM 125 Covassi, Hajšel, Toia, et al.

¹ OJ C , , p . .

² OJ C , , p . .

provision of security updates to address them, and an insufficient understanding and access to information by users, preventing them from choosing products with adequate cybersecurity properties or using them in a secure manner.

(2) This Regulation aims to set the boundary conditions for the development of secure products with digital elements by ensuring that hardware and software products are placed on the market with fewer vulnerabilities and that manufactures take security seriously throughout a product's life cycle. It also aims to create conditions allowing users to take cybersecurity into account when selecting and using products with digital elements, **for example by improving transparency with regard to the -support period of products placed on the market.**

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(3) The relevant Union legislation that is currently in force comprises several sets of horizontal rules that address certain aspects linked to cybersecurity from different angles, including measures to improve the security of the digital supply chain. However, the existing Union legislation related to cybersecurity, including ~~Directive XXX/XXXX (NIS2)~~ **Directive (EU) 2022/2555** and Regulation (EU) 2019/881 of the European Parliament and of the Council³ does not directly cover mandatory requirements for the security of products with digital elements.

(4) While the existing Union legislation applies to certain products with digital elements, there is no horizontal Union regulatory framework establishing comprehensive cybersecurity requirements for all products with digital elements. The various acts and initiatives taken thus far at Union and national levels only partially address the identified cybersecurity-related problems and risks, creating a legislative patchwork within the internal market, increasing legal uncertainty for both manufacturers and users of those products and adding an unnecessary burden on ~~companies~~ **undertakings and organisations** to comply with a number of requirements for similar types of products. The cybersecurity of these products has a particularly strong cross-border dimension, as products manufactured in one country are often used by organisations and consumers across the entire internal market. This makes it necessary to regulate the field at Union level, **to ensure a harmonised and clear regulatory framework for undertakings, particularly micro, small and medium-sized enterprises.** The Union regulatory landscape should be harmonised by introducing cybersecurity requirements for products with digital elements. In addition, certainty for operators and users should be ensured across the Union, as well as a better harmonisation of the single market **and proportionality for micro, small and medium sized enterprises, thus** creating more viable conditions for *economic* operators aiming at entering the Union market.

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Commented [OS5]: AM 126 Covassi, Hajšel, Toia, et al.

(4a) The horizontal nature of this Regulation means that it will have an impact on very different segments of the Union's economy. It is therefore important that the specificities of each sector are taken into account and that the cybersecurity requirements laid down in this Regulation are proportional to the risks. The Commission should therefore issue guidelines, which explain in a detailed and clear manner how to apply this Regulation. Guidelines should cover inter alia a detailed explanation of the scope, in particular the notion of remote data processing and the implications for free and open-source developers, the criteria used to determine how critical products with digital elements are classified and the interplay between this Regulation and other Union law.

Commented [OS6]: AM 4 Rapporteur, AM 27 Rapporteur, IMCO 31

³ Regulation (EU) 2019/881 of the European Parliament and of the Council of 17 April 2019 on ENISA (the European Union Agency for Cybersecurity) and on information and communications technology cybersecurity certification and repealing Regulation (EU) No 526/2013 (Cybersecurity Act) (OJ L 151, 7.6.2019, p. 15).

(4b) A business operating online may offer a variety of different services. Depending on the nature of services provided, the same entity may fall under several different categories of economic operators. Where an entity provides online intermediation services for a product with digital elements and is a provider of an online marketplace, as defined in Article 3 (14) of Regulation 2023/988, it would not qualify as an economic operator as defined in this Regulation.

Where the same entity is a provider of an online marketplace and acts as an economic operator, as defined in this Regulation, for the sale of products with digital elements, it would be subject to the scope of/ this Regulation for such products.

Regulation (EU) 2023/988 [The General Product Safety Regulation] is applicable in its entirety to this Regulation. Given the prominent role that online marketplaces have in enabling electronic commerce, they should strive to cooperate with the market surveillance authorities of the Member States in order to ensure that products purchased through such marketplaces conform to the cybersecurity requirements laid down in this Regulation.

- (5) At Union level, various programmatic and political documents, such as the EU’s Cybersecurity Strategy for the Digital Decade⁴, the Council Conclusions of 2 December 2020 and of 23 May 2022 or the Resolution of the European Parliament of 10 June 2021,⁵ have called for specific Union cybersecurity requirements for digital or connected products, with several countries around the world introducing measures to address this issue on their own initiative. In the final report of the Conference on the Future of Europe,⁶ citizens called for “a stronger role for the EU in countering cybersecurity threats”. **In order for the Union to play a leading international role in the field of cybersecurity, it is important to establish an ambitious overarching regulatory framework.**
- (6) To increase the overall level of cybersecurity of all products with digital elements placed on the internal market, it is necessary to introduce objective-oriented and technology-neutral essential cybersecurity requirements for these products that apply horizontally.
- (7) Under certain conditions, all products with digital elements integrated in or connected to a larger electronic information system can serve as an attack vector for malicious actors. As a result, even hardware and software considered as less critical can facilitate the initial compromise of a device or network, enabling malicious actors to gain privileged access to a system or move laterally across systems. Manufacturers should therefore ensure that all connectable products with digital elements are designed and developed in accordance with essential requirements laid down in this Regulation. This includes both products that can be connected physically via hardware interfaces and products that are connected logically, such as via network sockets, pipes, files, application programming interfaces or any other types of software interface. As cybersecurity threats can propagate through various products with digital elements before reaching a certain target, for example by chaining together multiple vulnerability exploits, manufacturers should also ensure the cybersecurity of those products that are only indirectly connected to other devices or networks.

Commented [OS7]: New recital pursuant discussion on technical level

Commented [OS8]: AM 5 Rapporteur

⁴ JOIN(2020) 18 final, <https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=JOIN:2020:18:FIN>.

⁵ 2021/2568(RSP), https://www.europarl.europa.eu/doceo/document/TA-9-2021-0286_EN.html.

⁶ *Conference on the Future of Europe – Report on the Final Outcome*, May 2022, Proposal 28(2). The Conference was held Between April 2021 and May 2022. It was a unique, citizen-led exercise of deliberative democracy at the pan-European level, involving thousands of European citizens as well as political actors, social partners, civil society representatives and key stakeholders.

Field Code Changed

(8) By setting cybersecurity requirements for placing on the market products with digital elements, the cybersecurity of these products for consumers and for businesses alike will be enhanced. This also includes requirements for placing on the market consumer products with digital elements intended for vulnerable consumers, such as toys and baby monitors. **Those requirements will also ensure that cybersecurity is taken into account throughout supply chains, making final products with digital elements more secure. This will, in turn, represent a competitive advantage for manufacturers established or represented in the Union, which will be able to advertise the cybersecurity of their products.**

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(9) This Regulation ensures a high level of cybersecurity of products with digital elements **and their integrated remote data processing solutions.** ~~It does not regulate services, such as Software as a Service (SaaS), except for~~ **Such** remote data processing solutions relating to a product with digital elements ~~understood~~ **are defined** as any data processing at a distance for which the software is designed and developed by **or on behalf of** the manufacturer of the product concerned ~~or under the responsibility of that manufacturer,~~ and the absence of which would prevent such a product with digital elements from performing one of its functions. **For example, cloud enabled functionalities provided by the manufacturer of smart home devices that enable users to control the device at a distance, should fall under the scope of this Regulation. On the other hand, websites not inextricably linked to a product with digital elements or cloud services outside the responsibility of the manufacturer, should not be considered as remote data processing solutions under this Regulation.** ~~[Directive XXX/XXXX (NIS2)]~~ Directive (EU) 2022/2555 puts in place cybersecurity and incident reporting requirements for essential and important entities, such as critical infrastructure, with a view to increasing the resilience of the services they provide. ~~While [Directive XXX/XXXX (NIS2)]~~ Directive (EU) 2022/2555 applies to cloud computing services and cloud service models, ~~such as SaaS~~ **and this Regulation does not apply to services, such as Software-as-a-Service (SaaS), Platform-as-a-Service (PaaS) or Infrastructure-as-a-Service (IaaS), they may fall within the scope of this Regulation to the extent they meet the definition of remote data processing solutions.** ~~All entities providing cloud computing services in the Union that meet or exceed the threshold for medium-sized enterprises fall in the scope of that Directive.~~

Commented [OS10]: AM 130 and 131

Commented [OS11]: AM 133 Vikkunen, Skyttedal, Štefanec, et al.

Commented [OS12]: AM 130 Tošenovský, AM 131 Corrao

(9a) **Software and data that are openly shared and where users can freely access, use, modify and redistribute them or modified versions thereof, can contribute to research and innovation in the market. Research by the European Commission⁷ also shows that free and open-source software can contribute between €65 billion to €95 billion to the European Union's GDP and that it can provide significant growth opportunities for the European economy. Users are allowed to run, copy, distribute, study, change and improve software and data, including models by way of free and open-source licences. To foster the development and deployment of free and open source software, especially by micro, small, medium sized enterprises, including start-ups, non-profits, academic research but also by individuals, this Regulation should apply to free and open-source software products in specific cases, to take into account the fact that different development models of software distributed and developed under public licences exist.**

Commented [OS13]: AM 134 Corrao

⁷ The impact of open source software and hardware on technological independence, competitiveness and innovation in the EU economy', European Commission, 6 September 2021
<https://ec.europa.eu/newsroom/dae/redirection/document/79021>

(10) ~~In order not to hamper innovation or research, developed or supplied outside~~ **Only free and open-source software made available on the market in** the course of a commercial activity should ~~not~~ be covered by this Regulation. ~~This is in particular the case for software, including its source code and modified versions, that is openly shared and freely accessible, usable, modifiable and redistributable.~~ **Whether a free and open-source product has been made available as part of a commercial activity should be assessed on a product-by-product basis, looking at both the development model and the supply phase of the free and open-source product with digital elements.**

(10a) **For example, a fully decentralised development model, where no single commercial entity exercises control over what is accepted into the project's code base, should be taken as an indication that the product has been developed in a non-commercial setting. On the other hand, where free and open source software is developed by a single organisation or an asymmetric community, where a single organisation is generating revenues from related use in business relationships, this should be considered to be a commercial activity. Similarly, where the main contributors to free and open-source projects are developers employed by commercial entities and when such developers or the employer can exercise control as to which modifications are accepted in the code base, the project should generally be considered to be of a commercial nature.**

(10b) **With regards to the supply phase, in the context of free and open-source software, a commercial activity might be characterized not only by charging a price for a product, but also by charging a price for technical support services, when this does not serve only the recuperation of actual costs, by providing a software platform through which the manufacturer monetises other services, or by the use of personal data for reasons other than exclusively for improving the security, compatibility or interoperability of the software. Accepting donations without the intention of making a profit should not count as a commercial activity, unless such donations are made by commercial entities and are recurring in nature.**

(10c) **Developers contributing individually to free and open-source projects should not be subject to obligations pursuant to this Regulation.**

(10d) **The sole act of hosting free and open-source software on open repositories does not in itself constitute making available on the market of a product with digital elements. As such, most package managers, code hosting and collaboration platforms should not be considered as distributors under the meaning of this Regulation.**

(10e) **In order to ensure the products are designed, developed and produced in line with essential requirements foreseen in Section 1 of Annex I, manufacturers should exercise due diligence when integrating components sourced from third parties, including in the case of free and open-source software that has not been made available on the market. The appropriate level of due diligence depends on the nature and the level of risk of the component and may include one or more of the following actions: checking if the component already carries the CE mark, checking security up-dates history, verifying if it is free from vulnerabilities registered in the European vulnerability database or other public databases, or carrying out additional security tests.**

Where, in the exercise of due diligence, the manufacturer of the product identifies a vulnerability in a component, including in a free and open-source component, it should inform the developer of the component, address and remedy the vulnerability, and where applicable, inform the developer of the component accordingly and provide them the developer with the applied security fix. Once the manufacturer has placed

Commented [OS14]: AM 135 Covassi, AM 138 Groothuis, AM 140 Vikkunen, Skyttedal, Štefanec, et al, AM 141 Gazzini, Borchia, Tovaglieri, et al.

Commented [OS15]: AM 137 Corrao

the product on the market, it should be responsible for ensuring that vulnerabilities are handled throughout the support period, including for the free and open-source components integrated into the product with digital elements.

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(10f) *The lack of professional skills in the field of cybersecurity is a key issue to be tackled for the successful application of this Regulation. Particular emphasis should be put on the skills gap for manufacturers, market surveillance authorities and notified bodies. Therefore, in line with the European Commission Communication "Closing the cybersecurity talent gap to boost the EU's competitiveness, growth and resilience ('The Cybersecurity Skills Academy')", specific measures both at EU and Member States level should be put in place to assess the state and the evolution of the cybersecurity labour market and synergies for cybersecurity education and training offers also addressing the gender gap in the sector with the aim of establishing a common EU approach to cybersecurity training.*

Commented [OS17]: AM 142 Covassi, Ha jšel, Toia, et al. (previous recital 32c), IMCO 19

Commented [OS18]: AM 142 Covassi, Hajšel, Toia, et al.

(11) A secure Internet is indispensable for the functioning of critical infrastructures and for society as a whole. ~~{Directive (EU) 2022/2555 XXX/XXXX (NIS2)}~~ aims at ensuring a high level of cybersecurity of services provided by essential and important entities, including digital infrastructure providers that support core functions of the open Internet, ensure Internet access and Internet services. It is therefore important that the products with digital elements necessary for digital infrastructure providers to ensure the functioning of the Internet are developed in a secure manner and that they comply with well-established Internet security standards. This Regulation, which applies to all connectable hardware and software products, also aims at facilitating the compliance of digital infrastructure providers with the supply chain requirements under the ~~{Directive (EU) 2022/2555 XXX/XXXX (NIS2)}~~ by ensuring that the products with digital elements that they use for the provision of their services are developed in a secure manner and that they have access to timely security updates for such products.

(12) Regulation (EU) 2017/745 of the European Parliament and of the Council⁸ lays down rules on medical devices and Regulation (EU) 2017/746 of the European Parliament and of the Council⁹ lays down rules on *in vitro* diagnostic medical devices. Both Regulations address cybersecurity risks and follow particular approaches that are also addressed in this Regulation. More specifically, Regulations (EU) 2017/745 and (EU) 2017/746 lay down essential requirements for medical devices that function through an electronic system or that are software themselves. Certain non-embedded software and the whole life cycle approach are also covered by those Regulations. These requirements mandate manufacturers to develop and build their products by applying risk management principles and by setting out requirements concerning IT security measures, as well as corresponding conformity assessment procedures. Furthermore, specific guidance on cybersecurity for medical devices is in place since December 2019, providing manufacturers of medical devices, including *in vitro* diagnostic devices, with guidance on how to fulfil all the relevant essential requirements of Annex I to those Regulations

⁸ Regulation (EU) 2017/745 of the European Parliament and of the Council of 5 April 2017 on medical devices, amending Directive 2001/83/EC, Regulation (EC) No 178/2002 and Regulation (EC) No 1223/2009 and repealing Council Directives 90/385/EEC and 93/42/EEC (OJ L 117, 5.5.2017, p. 1).

⁹ Regulation (EU) 2017/746 of the European Parliament and of the Council of 5 April 2017 on *in vitro* diagnostic medical devices and repealing Directive 98/79/EC and Commission Decision 2010/227/EU (OJ L 117, 5.5.2017, p. 176).

with regard to cybersecurity.¹⁰ Products with digital elements to which either of those Regulations apply should therefore not be subject to this Regulation.

(12a) Products with digital elements that are developed exclusively for national security or military purposes or products that are specifically designed to process classified information fall outside the scope of this Regulation. However, Member States are encouraged to ensure the same or higher level of protection for those products as for those falling within the scope of this Regulation.

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- (13) Regulation (EU) 2019/2144 of the European Parliament and of the Council¹¹ establishes requirements for the type-approval of vehicles, and of their systems and components, introducing certain cybersecurity requirements, including on the operation of a certified cybersecurity management system, on software updates, covering organisations policies and processes for cyber risks related to the entire lifecycle of vehicles, equipment and services in compliance with the applicable United Nations regulations on technical specifications and cybersecurity¹², and providing for specific conformity assessment procedures. In the area of aviation, the principal objective of Regulation (EU) 2018/1139 of the European Parliament and of the Council¹³ is to establish and maintain a high uniform level of civil aviation safety in the Union. It creates a framework for essential requirements for airworthiness for aeronautical products, parts, equipment, including software that take into account obligations to protect against information security threats. Products with digital elements to which Regulation (EU) 2019/2144 applies and those products certified in accordance with Regulation (EU) 2018/1139 are therefore not subject to the essential requirements and conformity assessment procedures set out in this Regulation., The certification process under Regulation (EU) 2018/1139 ensures the level of assurance aimed for by this Regulation.
- (14) This Regulation lays down horizontal cybersecurity rules which are not specific to sectors or certain products with digital elements. Nevertheless, sectoral or product-specific Union rules could be introduced, laying down requirements that address all or some of the risks covered by the essential requirements laid down by this Regulation. In such cases, the application of this Regulation to products with digital elements covered by other Union rules laying down requirements that address all or some of the risks covered by the essential requirements set out in Annex I of this Regulation may be limited or excluded where such limitation or exclusion is consistent with the overall regulatory framework applying to those products and where the sectoral rules achieve

¹⁰ MDCG 2019-16, endorsed by the Medical Device Coordination Group (MDCG) established by Article 103 of Regulation (EU) 2017/745.

¹¹ Regulation (EU) 2019/2144 of the European Parliament and of the Council of 27 November 2019 on type-approval requirements for motor vehicles and their trailers, and systems, components and separate technical units intended for such vehicles, as regards their general safety and the protection of vehicle occupants and vulnerable road users, amending Regulation (EU) 2018/858 of the European Parliament and of the Council and repealing Regulations (EC) No 78/2009, (EC) No 79/2009 and (EC) No 661/2009 of the European Parliament and of the Council and Commission Regulations (EC) No 631/2009, (EU) No 406/2010, (EU) No 672/2010, (EU) No 1003/2010, (EU) No 1005/2010, (EU) No 1008/2010, (EU) No 1009/2010, (EU) No 19/2011, (EU) No 109/2011, (EU) No 458/2011, (EU) No 65/2012, (EU) No 130/2012, (EU) No 347/2012, (EU) No 351/2012, (EU) No 1230/2012 and (EU) 2015/166 (*OJ L 325, 16.12.2019, p. 1*).

¹² UN Regulation No 155 – Uniform provisions concerning the approval of vehicles with regard to cybersecurity and cybersecurity management system [2021/387].

¹³ Regulation (EU) 2018/1139 of the European Parliament and of the Council of 4 July 2018 on common rules in the field of civil aviation and establishing a European Union Aviation Safety Agency, and amending Regulations (EC) No 2111/2005, (EC) No 1008/2008, (EU) No 996/2010, (EU) No 376/2014 and Directives 2014/30/EU and 2014/53/EU of the European Parliament and of the Council, and repealing Regulations (EC) No 552/2004 and (EC) No 216/2008 of the European Parliament and of the Council and Council Regulation (EEC) No 3922/91 (*OJ L 212, 22.8.2018, p. 1*).

the same level of protection as the one provided for by this Regulation. The Commission is empowered to adopt delegated acts to amend this Regulation by identifying such products and rules. For existing Union legislation where such limitations or exclusions should apply, this Regulation contains specific provisions to clarify its relation with that Union legislation.

(14a) In order to ensure that products made available on the market can be repaired effectively and their durability extended, an exemption should be foreseen for spare parts. This should be the case both for spare parts aimed at repairing legacy products made available before this Regulation applies as well as for spare parts that have already undergone a conformity assessment procedure pursuant to this Regulation and that are supplied by the same manufacturer.

Commented [OS20]: AM 10 Rapporteur, AM 144 Covassi, Hajšel, Toia, et al., AM 129 Gazzini, Borchia, Tovaglieri, et al.

(14b) Regulation (EU) 2022/2554 establishes a number of requirements to ensure the security of network and information systems supporting the business processes of financial entities. The Commission should monitor the implementation of this Regulation in the financial sector, to ensure compatibility and to avoid overlaps for products with digital elements that may also be covered by Regulation (EU) 2022/2554.

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(14c) Agricultural and forestry vehicles in the scope of Regulation (EU) 167/2013 also fall in the scope of this Regulation. Future amendments to Regulation (EU) 167/2013 should avoid regulatory overlaps.

Commented [OS22]: AM 143 Gazzini, Borchia, Tovaglieri, et al.

(15) Delegated Regulation (EU) 2022/30 specifies that the essential requirements set out in Article 3(3), point (d) (network harm and misuse of network resources), point (e) (personal data and privacy) and point (f) (fraud) of Directive 2014/53/EU apply to certain radio equipment. [Commission implementation decision XXX/2022 on a standardisation request to the European Standardisation Organisations] lays down requirements for the development of specific standards further specifying how these three essential requirements should be addressed. The essential requirements laid down by this Regulation include all the elements of the essential requirements referred to in Article 3(3), points (d), (e) and (f) of Directive 2014/53/EU. Further, the essential requirements laid down in this Regulation are aligned with the objectives of the requirements for specific standards included in that standardisation request. Therefore, ~~if~~ **when** the Commission ~~repeals or~~ amends Delegated Regulation (EU) 2022/30 with the consequence that it ceases to apply to certain products subject to this Regulation, the Commission and the European Standardisation Organisations should take into account the standardisation work carried out in the context of Commission Implementing Decision C(2022)5637 on a standardisation request for the RED Delegated Regulation 2022/30 in the preparation and development of harmonised standards to facilitate the implementation of this Regulation. **Where manufacturers comply with this Regulation before its date of application, they should be considered also to comply with Delegated Regulation (EU) 2022/30, until the Commission repeals that Delegated Regulation.**

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(16) Directive 85/374/EEC¹⁴ is complementary to this Regulation. That Directive sets out liability rules for defective products so that injured persons can claim compensation when a damage has been caused by defective products. It establishes the principle that the manufacturer of a product is liable for damages caused by a lack of safety in their product irrespective of fault ('strict liability'). Where such a lack of safety consists in a lack of security updates after placing the product on the market, and this causes damage,

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¹⁴ Council Directive 85/374/EEC of 25 July 1985 on the approximation of the laws, regulations and administrative provisions of the Member States concerning liability for defective products (OJ L 210, 7.8.85).

the liability of the manufacturer could be triggered. Obligations for manufacturers that concern the provision of such security updates should be laid down in this Regulation.

- (17) This Regulation should be without prejudice to Regulation (EU) 2016/679 of the European Parliament and of the Council¹⁵, including to provisions for the establishment of data protection certification mechanisms and of data protection seals and marks, for the purpose of demonstrating compliance of processing operations by controllers and processors with that Regulation. Such operations could be embedded in a product with digital elements. Data protection by design and by default, and cybersecurity in general, are key elements of Regulation (EU) 2016/679. By protecting consumers and organisations from cybersecurity risks, the essential cybersecurity requirements laid down in this Regulation, are also to contribute to enhancing the protection of personal data and privacy of individuals. Synergies on both standardisation and certification on cybersecurity aspects should be considered through the cooperation between the Commission, the European Standardisation Organisations, the European Union Agency for Cybersecurity (ENISA), the European Data Protection Board (EDPB) established by Regulation (EU) 2016/679, and the national data protection supervisory authorities. Synergies between this Regulation and the Union data protection law should also be created in the area of market surveillance and enforcement. To this end, national market surveillance authorities appointed under this Regulation should cooperate with authorities supervising Union data protection law. The latter should also have access to information relevant for accomplishing their tasks.
- (18) To the extent that their products fall within the scope of this Regulation, issuers of European Digital Identity Wallets as referred to in Article [Article 6a(2) of Regulation (EU) No 910/2014, as amended by Proposal for a Regulation amending Regulation (EU) No 910/2014 as regards establishing a framework for a European Digital Identity], should comply with both the horizontal essential requirements established by this Regulation and the specific security requirements established by Article [Article 6a of Regulation (EU) No 910/2014, as amended by Proposal for a Regulation amending Regulation (EU) No 910/2014 as regards establishing a framework for a European Digital Identity]. In order to facilitate compliance, wallet issuers should be able to demonstrate the compliance of European Digital Identity Wallets with the requirements set out respectively in both acts by certifying their products under a European cybersecurity certification scheme established under Regulation (EU) 2019/881 and for which the Commission specified via implementing act a presumption of conformity for this Regulation, in so far as the certificate, or parts thereof, covers those requirements.
- (18a) *When procuring products with digital elements, Member States should give priority to products that have a high level of cybersecurity and an appropriate support period, in order to improve their ability to deal with cyber threats, as well as to ensure the efficient use of public resources. Furthermore, Member States should ensure that manufacturers remedy vulnerabilities that affect publicly procured products with digital elements as soon as possible and as a matter of urgency where such products have a significant risk profile.***
- (19) Certain tasks provided for in this Regulation should be carried out by ENISA, in accordance with Article 3(2) of Regulation (EU) 2019/881. In particular, ENISA should receive notifications from manufacturers of actively exploited vulnerabilities contained

¹⁵ Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) (OJ L 119, 4.5.2016, p. 1).

Commented [OS25]: Addition to reflect discussions at technical level on Article 9a

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in products with digital elements, as well as **significant** incidents having an impact on the security of those products. **Vulnerabilities subject to mandatory reporting concern instances where an actor is executing malicious code on a product with digital elements in order to generate a security breach, for example by exploiting weaknesses in identification and authentication functions. Vulnerabilities that are exploited discovered with no malicious intent for purposes of good faith testing, investigation, correction or disclosure to promote the security or safety of the system owner and its users should not be subject to mandatory notifications. A significant incident having an impact on the security of the product with digital elements concerns a cybersecurity incident that can severely affect the development, production and maintenance processes of the manufacturer and that in turn can significantly impact the security of its products. This could be the case, for example, where an attacker has successfully compromised the release channel via which the manufacturer releases security updates to users.**

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(19a) ENISA should also forward these notifications to the relevant Computer Security Incident Response Teams (CSIRTs) or, respectively, to the relevant single points of contact of the Member States designated in accordance with Article [Article X] of Directive ~~Directive XX/XXXX (NIS2)~~ (EU) 2022/2555, and inform the relevant market surveillance authorities about the notified vulnerability. **ENISA should ensure that such notifications are received, stored and transmitted via secure channels and that clear protocols are in place with regard to who can access them and the arrangements for their onward transmission. ENISA should ensure the confidentiality of these notifications with particular regard to vulnerabilities for which a security update is not yet available.** On the basis of the information it gathers, ENISA should prepare a biennial technical report on emerging trends regarding cybersecurity risks in products with digital elements and submit it to the Cooperation Group referred to in Directive ~~Directive XX/XXXX (NIS2)~~ (EU) 2022/2555. Furthermore, considering its expertise and mandate, ENISA should be able to support the process for implementation of this Regulation. In particular, it should be able to propose joint activities to be conducted by market surveillance authorities based on indications or information regarding potential non-compliance with this Regulation of products with digital elements across several Member States or identify categories of products for which simultaneous coordinated control actions should be organised. In exceptional circumstances, at the request of the Commission, ENISA should be able to conduct evaluations in respect of specific products with digital elements that present a significant cybersecurity risk, where an immediate intervention is required to preserve the good functioning of the internal market.

Commented [OS28]: AM 146 Covassi, Hajšel Toia, et al.

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(20) Products with digital elements should bear the CE marking to **visibly, legibly and indelibly** indicate their conformity with this Regulation so that they can move freely within the internal market. Member States should not create unjustified obstacles to the placing on the market of products with digital elements that comply with the requirements laid down in this Regulation and bear the CE marking. **Furthermore, at trade fairs, exhibitions and demonstrations or similar events, Member States should not prevent the presentation and use of a prototype product with digital elements.**

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Commented [OS31]: Addition after discussion on Article 4(2)

(21) In order to ensure that manufacturers can release software for testing purposes before subjecting their products to conformity assessment, Member States should not prevent the making available **in a non-production version** of unfinished software, such as alpha versions, beta versions or release candidates, as long as the version is only made available for the time necessary to test it and gather feedback. Manufacturers should ensure that software made available under these conditions is only released following a

Commented [OS32]: AM 253 Tošenovský

risk assessment and that it complies to the extent possible with the security requirements relating to the properties of products with digital elements imposed by this Regulation. Manufacturers should also implement the vulnerability handling requirements to the extent possible. Manufacturers should not force users to upgrade to versions only released for testing purposes.

- (22) In order to ensure that products with digital elements, when placed on the market, do not pose cybersecurity risks to persons and organisations, essential requirements should be set out for such products. When the products are subsequently modified, by physical or digital means, in a way that is not foreseen by the manufacturer and that may imply that they no longer meet the relevant essential requirements, the modification should be considered as substantial. For example, *necessary security updates*, software updates or repairs *such as minor adjustment of the source code that can improve the security*, ~~could~~ *should not* be assimilated ~~to~~ *considered to be substantial modifications, to maintenance operations*—provided that they do not modify a product already placed on the market in such a way that compliance with the applicable requirements may be affected, or that the intended use for which the product has been assessed may be changed. *This is the generally the case for new software versions which aim at improving performance and fixing vulnerabilities. Minor functionality updates, such visual enhancements, the addition of new languages to the user interface or of a new set of pictograms, should generally not be considered substantial modifications.* As is the case for physical repairs or modifications, a product with digital elements should be considered as substantially modified by a software change where the software update modifies the original intended functions, type or performance of the product and these changes were not foreseen in the initial risk assessment, or the nature of the hazard has changed or the level of risk has increased because of the software update, *as is generally the case for software revisions. The Commission should issue guidelines on how to determine what constitutes a substantial modification.*
- (23) In line with the commonly established notion of substantial modification for products regulated by Union harmonisation legislation, whenever a substantial modification occurs that may affect the compliance of a product with this Regulation or when the intended purpose of that product changes, it is appropriate that the compliance of the product with digital elements is verified and that, where applicable, it undergoes a new conformity assessment. Where applicable, if the manufacturer undertakes a conformity assessment involving a third party, changes that might lead to substantial modifications should be notified to the third party.
- (24) Refurbishing, maintaining and repairing of a product with digital elements, as defined in the Regulation [Eco-design Regulation], does not necessarily lead to a substantial modification of the product, for instance if the intended use and functionalities are not changed and the level of risk remains unaffected. However, upgrading a product by the manufacturer might lead to changes in the design and development of the product and therefore might affect the intended use and the compliance of the product with the requirements set out in this Regulation.
- (25) Products with digital elements should be considered critical if the negative impact of the exploitation of potential cybersecurity vulnerabilities in the product can be severe due to, amongst others, the cybersecurity-related functionality, or the intended use. In particular, vulnerabilities in products with digital elements that have a cybersecurity-related functionality, such as secure elements, can lead to a propagation of security issues throughout the supply chain. The severity of the impact of a cybersecurity incident may also increase when taking into account the intended use of the product, such as in an industrial setting or in the context of an essential entity of the type referred

Commented [OS33]: AM 148 Tošenovský

Commented [OS34]: AM 150 Corrao, IMCO 12

Commented [OS35]: AM 278 Groothuis

to in Directive ~~Directive XX/XXXX (NIS2)~~ (EU) 2022/2555, or for the performance of critical or sensitive functions, **impacting health, safety or fundamental rights, such as processing of personal data.**

Commented [OS36]: AM 154 Corrao

- (26) Critical products with digital elements should be subject to stricter conformity assessment procedures, while keeping a proportionate approach. For this purpose, critical products with digital elements should be divided into two classes, reflecting the level of cybersecurity risk linked to these categories of products. A potential cyber incident involving products in class II might lead to greater negative impacts than an incident involving products in class I, for instance due to the nature of their cybersecurity-related function or intended use in **sensitive high risk** environments, and therefore should undergo a stricter conformity assessment procedure.

Commented [OS37]: AM 157 Tošenovský

- (27) The categories of critical products with digital elements referred to in Annex III of this Regulation should be understood as the products which have the core functionality of the type that is listed in Annex III to this Regulation. For example, Annex III to this Regulation lists products which are defined by their core functionality as general purpose microprocessors in class II. As a result, general purpose microprocessors are subject to mandatory third-party conformity assessment. This is not the case for other products not explicitly referred to in Annex III to this Regulation which may integrate a general purpose microprocessor. The Commission should adopt delegated acts [by ~~12~~ 6 months since the entry into force of this Regulation] to specify the definitions of the product categories covered under class I and class II as set out in Annex III. **In order to ensure legal clarity and certainty as well as predictability for stakeholders to comply with this Regulation, amendments to the list in Annex III should be made at the earliest two years after the entry into force of this Regulation and at the earliest two years thereafter. The Commission should establish a process under which a product which is a candidate to be a critical product can be reviewed in a collaborative process by all relevant stakeholders, including manufacturers and users, to assess the security risk posed by potential cybersecurity issues with the product, whether and how much designating the product as critical would likely reduce that risk, and the costs associated with designating the product as critical, before adopting the relevant delegated acts.**

Commented [OS38]: AM 16 Rapporteur

Commented [OS39]: AM 260 Groothuis

- (27a) **The Commission should set up an expert group on cyber resilience (the 'Expert Group'), with a wide and diverse membership. The Expert Group should support the Commission in order to ensure the proper implementation of this Regulation, for example by advising the Commission on possible amendments to the list of critical products as set out in Annex III or by analysing in what way European and international standards can enable compliance with the essential requirements of this Regulation. The Commission should consult the Expert Group and carry out public consultations while preparing delegated and implementing acts pursuant to this Regulation, in order to ensure that all stakeholders can provide the necessary input.**

Commented [OS40]: AM 18 Rapporteur

Commented [OS41]: AM 439 Tošenovský

- (28) This Regulation addresses cybersecurity risks in a targeted manner. Products with digital elements might, however, pose other safety risks, that are not **always** related to cybersecurity **but can be a consequence of a security breach**. Those risks should continue to be regulated by other relevant Union product legislation. If no other Union harmonisation legislation is applicable, they should be subject to Regulation [General Product Safety Regulation]. Therefore, in light of the targeted nature of this Regulation, as a derogation from Article 2(1), third subparagraph, point (b), of Regulation [General Product Safety Regulation], Chapter III, Section 1, Chapters V and VII, and Chapters IX to XI of Regulation [General Product Safety Regulation] should apply to products with digital elements with respect to safety risks not covered by this Regulation, if those

Commented [OS42]: AM 158 Corrao

products are not subject to specific requirements imposed by other Union harmonisation legislation within the meaning of [Article 3, point (25) of the General Product Safety Regulation].

- (29) Products with digital elements classified as high-risk AI systems according to Article 6 of Regulation¹⁶ [the AI Regulation] which fall within the scope of this Regulation should comply with the essential requirements set out in this Regulation. When those high-risk AI systems fulfil the essential requirements of this Regulation, they should be deemed compliant with the cybersecurity requirements set out in Article [Article 15] of Regulation [the AI Regulation] in so far as those requirements are covered by the EU declaration of conformity or parts thereof issued under this Regulation. As regards the conformity assessment procedures relating to the essential cybersecurity requirements of a product with digital elements covered by this Regulation and classified as a high-risk AI system, the relevant provisions of Article 43 of Regulation [the AI Regulation] should apply as a rule instead of the respective provisions of this Regulation. However, this rule should not result in reducing the necessary level of assurance for critical products with digital elements covered by this Regulation. Therefore, by way of derogation from this rule, high-risk AI systems that fall within the scope of the Regulation [the AI Regulation] and are also qualified as critical products with digital elements pursuant to this Regulation and to which the conformity assessment procedure based on internal control referred to in Annex VI of the Regulation [the AI Regulation] applies, should be subject to the conformity assessment provisions of this Regulation in so far as the essential requirements of this Regulation are concerned. In this case, for all the other aspects covered by Regulation [the AI Regulation] the respective provisions on conformity assessment based on internal control set out in Annex VI to Regulation [the AI Regulation] should apply.
- (30) The machinery products falling within the scope of Regulation [Machinery Regulation proposal] which are products with digital elements within the meaning of this Regulation and for which a declaration of conformity has been issued on the basis of this Regulation should be deemed to be in conformity with the essential health and safety requirements set out in [Annex III, sections 1.1.9 and 1.2.1] of the Regulation [Machinery Regulation proposal], as regards protection against corruption and safety and reliability of control systems in so far as the compliance with those requirements is demonstrated by the EU declaration of conformity issued under this Regulation.
- (31) Regulation [European Health Data Space Regulation proposal] complements the essential requirements laid down in this Regulation. The electronic health record systems ('EHR systems') falling under the scope of Regulation [European Health Data Space Regulation proposal] which are products with digital elements within the meaning of this Regulation should therefore also comply with the essential requirements set out in this Regulation. Their manufacturers should demonstrate conformity as required by Regulation [European Health Data Space Regulation proposal]. To facilitate compliance, manufacturers may draw up a single technical documentation containing the elements required by both legal acts. As this Regulation does not cover SaaS as such, EHR systems offered through the SaaS licensing and delivery model are not within the scope of this Regulation. Similarly, EHR systems that are developed and used in-house are not within the scope of this Regulation, as they are not placed on the market.
- (32) In order to ensure that products with digital elements are secure both at the time of their placing on the market as well as throughout their life-cycle, it is necessary to lay down essential requirements for vulnerability handling and essential cybersecurity

¹⁶ Regulation [the AI Regulation].

requirements relating to the properties of products with digital elements. While manufacturers should comply with all essential requirements related to vulnerability handling **throughout the support period** and ensure that all their products are delivered without any known exploitable vulnerabilities, they should determine which other essential requirements related to the product properties are relevant for the concerned type of product. For this purpose, manufacturers should undertake an assessment of the cybersecurity risks associated with a product with digital elements to identify relevant risks and relevant essential requirements and in order to **make available their products without known exploitable vulnerabilities that might have an impact on the security of those products and to** appropriately apply suitable harmonised standards, or common specifications **or international standards**.

Commented [OS43]: AM 161 Tošenovský

(32-a) Manufacturers should determine the support period during which they ensure that vulnerabilities are handled, duly taking into account various criteria, including the expected product lifetime, the nature of the product itself, the availability of the operating environment, the expectations of users, particularly consumers, and where possible the support period of other main components integrated into the product. Manufacturers should make sure that the support period adequately reflects the need to promote cybersecurity in the Union's market and is set with due consideration of the period during which a product with digital elements is expected to be available on the market. Market surveillance authorities should proactively ensure that manufacturers apply these criteria in an adequate manner. Market surveillance authorities and the Commission should collect and analyse data about the support periods set by manufacturers and the expected product lifetimes, in order to ensure that this Regulation meets its goal of promoting the cybersecurity of products with digital elements. Such analysis should inter alia inform the Commission's evaluation of this Regulation, once it applies.

Commented [OS44]: New recital proposal from the rapporteur based on the technical discussion

(32a) Manufacturers should ensure, where technically feasible, that products with digital elements clearly differentiate between security and functionality updates. Security updates, designed to decrease the level of risk or to remedy potential vulnerabilities, should be installed automatically, in particular in the case of consumer products. Users should retain the possibility to de-activate this feature, with a clear and easy-to-use mechanism. Once the manufacturer no longer ensures that vulnerabilities of the product with digital elements are handled, it should inform users in a simple and clear manner, for example via the display of a user-friendly notification.

Commented [OS45]: AM 19 Rapporteur, AM 152 Corrao, AM 153 Botenga, IMCO 14

(32b) Where manufacturers set a support period shorter than five years and no longer offer vulnerability handling for the product with digital elements, they may make their source code available to undertakings that wish to provide security updates and other similar services. Such access should be made available only as part of a contractual arrangement that protects the ownership of the product with digital elements and prevents the dissemination of the source code to the general public, except where such code has already been provided under a free and open-source licence.

Commented [OS46]: AM 20 Rapporteur

(33) In order to improve the security of products with digital elements placed on the internal market it is necessary to lay down essential requirements. These essential requirements should be without prejudice to the EU coordinated risk assessments of critical supply chains established by Directive ~~Directive XX/XXXX (NIS2)~~ (EU) 2022/2555¹⁷, which take into account both technical and, where relevant, non-technical risk factors, such as undue influence by a third country on suppliers. Furthermore, it should be

¹⁷ Directive XXX of the European Parliament and of the Council of [date] [on measures for a high common level of cybersecurity across the Union, repealing Directive (EU) 2016/1148 (OJ L xx, date, p.x)].

without prejudice to the Member States' prerogatives to lay down additional requirements that take account of non-technical factors for the purpose of ensuring a high level of resilience, including those defined in Recommendation (EU) 2019/534, in the Union-wide coordinated risk assessment of 5G networks security and in the EU Toolbox on 5G cybersecurity agreed by the NIS Cooperation Group as referred to in ~~Directive XX/XXXX (NIS2)~~ **Directive (EU) 2022/2555**

- (34) To ensure that the national CSIRTs and the single point of contacts designated in accordance with **Directive (EU) 2022/2555** ~~Directive XX/XXXX (NIS2)~~ are provided with the information necessary to fulfil their tasks and raise the overall level of cybersecurity of essential and important entities, and to ensure the effective functioning of market surveillance authorities, manufacturers of products with digital elements should notify to ENISA vulnerabilities that are being actively exploited. As most products with digital elements are marketed across the entire internal market, any exploited vulnerability in a product with digital elements should be considered a threat to the functioning of the internal market. Manufacturers should **disclose** ~~also consider disclosing~~ fixed vulnerabilities to the European vulnerability database established under **Directive (EU) 2022/2555** ~~Directive XX/XXXX (NIS2)~~ and managed by ENISA ~~or under any other publicly accessible vulnerability database~~. **ENISA should also publish notified vulnerabilities in the European vulnerability database and should have in place an appropriate procedure regarding the publication process in order to give manufacturers the time to develop the necessary security updates and users the time to implement them or take other corrective or mitigating measures. The European vulnerability database should assist manufacturers in detecting known exploitable vulnerabilities found in their products, in order to ensure that secure products are placed on the market.**

Commented [OS47]: AM 21 Rapporteur, AM 246 Groothuis

Commented [OS48]: AM 21 Rapporteur

Commented [OS49]: AM 15 Rapporteur, AM 147 Vikkunen, Skyttedal, Štefanec et al., IMCO 21

- (34a) **The EU needs to maximise the benefits of its economic openness while minimising the risks from economic dependencies on high-risk vendors, through a common strategic framework for EU economic security¹⁸. Dependencies on high-risk suppliers of critical products with digital elements pose a strategic risk that should be addressed at Union level, especially when the critical products with digital elements are intended for the use by essential entities of the type referred to in Directive (EU) 2022/2555. Such risks may be linked to the jurisdiction ~~of~~ **applicable to the manufacturer and the characteristics of its corporate ownership and the links of control to a third-country government where it is established, in particular whether a country engages in economic espionage and its legislation obliges arbitrary access to any kind of company operations or data, including commercially sensitive data, and can impose obligations for intelligence purposes without democratic checks and balances, oversight mechanism, due process or the right to appeal to an independent judiciary.****

Market surveillance authorities and the Commission should provide guidance and targeted recommendations to economic operators in order to ensure that appropriate corrective actions are put in place where there is sufficient reason to consider that a product with digital elements presents a significant cybersecurity risk in light of such non-technical risk factors.

Commented [OS50]: AM 165 Groothuis

- (35) Manufacturers should also report to ENISA any **significant** incident having an impact on the security of the product with digital elements. Notwithstanding the incident reporting obligations in ~~Directive XX/XXXX (NIS2)~~ **Directive (EU) 2022/2555** for essential and important entities, it is crucial for ENISA, the single points of contact

¹⁸ see JOIN (2023)20 Joint Communication to the European Parliament, the European Council and the Council on "European Economic Security Strategy"

designated by the Member States in accordance with ~~[Directive XX/XXXX (NIS2)]~~ **Directive (EU) 2022/2555** and the market surveillance authorities to receive information from the manufacturers of products with digital elements allowing them to assess the security of these products. In order to ensure that users can react quickly to **significant** incidents having an impact on the security of their products with digital elements, manufacturers should also inform their users about any such incident and, where applicable, about any corrective measures that the users can deploy to mitigate the impact of the incident, for example by publishing relevant information on their websites or, where the manufacturer is able to contact the users and where justified by the risks, by reaching out to the users directly.

Commented [OS51]: AM 22 Rapporteur, 167 Tošenovský

(35a) Manufacturers and other entities and actors should also be able to report to ENISA, on a voluntary basis, about other cybersecurity incidents, cyber threats, near misses and any other vulnerability.

Commented [OS52]: AM 23 Rapporteur

(35b) ENISA should establish a secure digital reporting mechanism that, in order to simplify reporting for manufacturers, should serve as a single entry point for reporting obligations established under this Regulation. Manufacturers of products with digital elements are often in a situation where a particular incident, because of its features, needs to be reported to various authorities as a result of notification obligations included in various legal instruments. The mechanism could, where possible, allow other Union legislation such as Directive (EU) 2022/2555, Regulation (EU) 2016/679 and Directive 2002/58/EC to be reported through the same mechanism. The mechanism may also be used for the voluntary notifications by manufacturers and other actors. ENISA should ensure that they have procedures in place to handle classified information in a secure and confidential manner.

Commented [OS53]: AM 168 Tošenovský, AM 170 Vikkunen, Skyttedal, Štefanec et al - in alignment with Article 11

(35c) Entities and natural persons researching vulnerabilities may in some Member States be exposed to criminal and civil liability. The Commission should issue guidelines as regards the non-prosecution of information security researchers and an exemption from civil liability for those activities.

Commented [OS54]: As discussed in technical meeting in Article 11a

(36) Manufacturers of products with digital elements should put in place coordinated vulnerability disclosure policies to facilitate the reporting of vulnerabilities by individuals or entities **either directly to the manufacturer or indirectly, and where requested anonymously, via CSIRTs designated as a coordinator for the purposes of coordinated vulnerability disclosure in accordance with Article 12(1) of Directive 2022/2555. Manufacturer's** A coordinated vulnerability disclosure policy should specify a structured process through which vulnerabilities are reported to a manufacturer in a manner allowing the manufacturer to diagnose and remedy such vulnerabilities before detailed vulnerability information is disclosed to third parties or to the public. Given the fact that information about exploitable vulnerabilities in widely used products with digital elements can be sold at high prices on the black market, manufacturers of such products should be able to use programmes, as part of their coordinated vulnerability disclosure policies, to incentivise the reporting of vulnerabilities by ensuring that individuals or entities receive recognition and compensation for their efforts (so-called 'bug bounty programmes').

Commented [OS55]: Added after technical discussions

(36a) Member States and ENISA should make sure that vulnerabilities reported under this Regulation are not used by public bodies for intelligence, surveillance or offensive purposes.

Commented [OS56]: Added after technical discussions.

(37) In order to facilitate vulnerability analysis, manufacturers should identify and document components contained in the products with digital elements, including by drawing up a software bill of materials (**SBOMs**). A **SBOM software bill of materials** can provide

Commented [OS57]: AM 173 Tošenovský

those who manufacture, purchase, and operate software with information that enhances their understanding of the supply chain, which has multiple benefits, most notably it helps manufacturers and users to track known newly emerged vulnerabilities and risks. It is of particular importance for manufacturers to ensure that their products do not contain vulnerable components developed by third parties. **Manufacturer should not, however, be obliged to make the software bill of materials public, as this may have unintended consequences on the cybersecurity of their products with digital elements.**

Commented [OS58]: AM 24 Rapporteur

- (38) In order to facilitate assessment of conformity with the requirements laid down by this Regulation, there should be a presumption of conformity for products with digital elements which are in conformity with harmonised standards, which translate the essential requirements of this Regulation into detailed technical specifications, and which are adopted in accordance with Regulation (EU) No 1025/2012 of the European Parliament and of the Council¹⁹. Regulation (EU) No 1025/2012 provides for a procedure for objections to harmonised standards where those standards do not entirely satisfy the requirements of this Regulation. **The standardisation process should ensure a balanced representation of interests and effective participation of civil society stakeholders, including consumer organisations. International standards should also be taken into account, in order to simplify the development of harmonised standards and the implementation of this Regulation, as well as to reduce non-tariff technical barriers to trade.**

Commented [OS59]: IMCO 25

Commented [OS60]: AM 175 Tošenovský, Bielan

- (38a) **Considering the broad scope of this Regulation, the timely development of harmonised standards poses a significant challenge. The Commission should ensure that harmonised standards will be in place by the date of application of this Regulation, in order to ensure the successful implementation of this Regulation.**

Commented [OS61]: AM 176 Tošenovský, Bielan

- (39) Regulation (EU) 2019/881 establishes a voluntary European cybersecurity certification framework for ICT products, processes and services. European cybersecurity certification schemes can **provide a common framework of trust for users to use** ~~cover~~ products with digital elements covered by this Regulation. This Regulation should **consequently** create synergies with Regulation (EU) 2019/881. In order to facilitate the assessment of conformity with the requirements laid down in this Regulation, products with digital elements that are certified or for which a statement of conformity has been issued under a cybersecurity scheme pursuant to Regulation (EU) 2019/881 and which has been identified by the Commission in an implementing act, shall be presumed to be in compliance with the essential requirements of this Regulation in so far as the cybersecurity certificate or statement of conformity or parts thereof cover those requirements. The need for new European cybersecurity certification schemes for products with digital elements should be assessed in the light of this Regulation. Such future European cybersecurity certification schemes covering products with digital elements should take into account the essential requirements as set out in this Regulation and facilitate compliance with this Regulation. The Commission should be empowered to specify, by means of ~~implementing~~ **delegated acts** acts, the European cybersecurity certification schemes that can be used to demonstrate conformity **for products with digital elements** with the essential requirements set out in this Regulation. Furthermore, in order to avoid undue administrative burden for manufacturers, ~~where applicable, the~~

¹⁹ Regulation (EU) No 1025/2012 of the European Parliament and of the Council of 25 October 2012 on European standardisation, amending Council Directives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 98/34/EC, 2004/22/EC, 2007/23/EC, 2009/23/EC and 2009/105/EC of the European Parliament and of the Council and repealing Council Decision 87/95/EEC and Decision No 1673/2006/EC of the European Parliament and of the Council (OJ L 316, 14.11.2012, p. 12).

~~Commission there should specify if a cybersecurity certificate issued under such European cybersecurity certification schemes eliminates the *be no* obligation for manufacturers to carry out a third-party conformity assessment as provided by this Regulation for corresponding requirements *where a cybersecurity certificate has been issued under such European cybersecurity certification schemes, at a substantial or high level.*~~

Commented [OS62]: AM 177 Grudler, Hayer

(39a) *In order to facilitate the compliance with this Regulation, the Commission should update the Union rolling work programme and request ENISA to prepare the missing candidate schemes in accordance with Article 48 of the Regulation (EU) 2019/881.*

Commented [OS63]: Addition to mirror Article 24(3a)

(40) Upon entry into force of the implementing act setting out the [Commission Implementing Regulation (EU) No .../... of XXX on the European Common Criteria-based cybersecurity certification scheme] (EUCC) which concerns hardware products covered by this Regulation, such as hardware security modules and microprocessors, the Commission may specify, by means of an implementing act, how the EUCC provides a presumption of conformity with the essential requirements as referred to in Annex I of this Regulation or parts thereof. Furthermore, such implementing act may specify how a certificate issued under the EUCC eliminates the obligation for manufacturers to carry out a third-party assessment as requested by this Regulation for corresponding requirements.

(41) ~~Where no harmonised standards are adopted or where the harmonised standards do not sufficiently address the essential requirements of this Regulation, the Commission should be able to adopt common specifications by means of implementing delegated acts, *after taking into account international standards.* Reasons for developing such common specifications, instead of relying on harmonised standards, might include a refusal of *Such option should be seen as an exceptional 'fall back' solution, when the standardisation process requested by any of the European standardisation organisations is blocked, where there are* undue delays in the establishment of appropriate harmonised standards, or *where the deliverables fail to comply* a lack of compliance of developed standards with the requirements of this Regulation or with a *the initial* request of the Commission. In order to facilitate assessment of conformity with the essential requirements laid down by this Regulation, there should be a presumption of conformity for products with digital elements that are in conformity with the common specifications adopted by the Commission according to this Regulation for the purpose of expressing detailed technical specifications of those requirements.~~

Commented [OS64]: AM 25 Rapporteur, AM 179 Salini, AM 180 Covassi, Hajšel, Toia, et al., IMCO 26

(42) Manufacturers should draw up an EU declaration of conformity to provide information required under this Regulation on the conformity of products with digital elements with the essential requirements of this Regulation and, where applicable, of the other relevant Union harmonisation legislation by which the product is covered. Manufacturers may also be required to draw up an EU declaration of conformity by other Union legislation. To ensure effective access to information for market surveillance purposes, a single EU declaration of conformity should be drawn up in respect of compliance with all relevant Union acts. In order to reduce the administrative burden on economic operators, it should be possible for that single EU declaration of conformity to be a dossier made up of relevant individual declarations of conformity.

(43) The CE marking, indicating the conformity of a product, is the visible consequence of a whole process comprising conformity assessment in a broad sense. The general principles governing the CE marking are set out in Regulation (EC) No 765/2008 of the

European Parliament and of the Council²⁰. Rules governing the affixing of the CE marking on products with digital elements should be laid down in this Regulation. The CE marking should be the only marking which guarantees that products with digital elements comply with the requirements of this Regulation.

- (44) In order to allow economic operators to demonstrate conformity with the essential requirements laid down in this Regulation and to allow market surveillance authorities to ensure that products with digital elements made available on the market comply with these requirements, it is necessary to provide for conformity assessment procedures. Decision No 768/2008/EC of the European Parliament and of the Council²¹ establishes modules for conformity assessment procedures in proportion to the level of risk involved and the level of security required. In order to ensure inter-sectoral coherence and to avoid ad-hoc variants, conformity assessment procedures adequate for verifying the conformity of products with digital elements with the essential requirements set out in this Regulation have been based on those modules. The conformity assessment procedures should examine and verify both product and process-related requirements covering the whole life cycle of products with digital elements, including planning, design, development or production, testing and maintenance of the product.
- (45) As a general rule the conformity assessment of products with digital elements should be **risk-based and in most cases** carried out by the manufacturer under its own responsibility following the procedure based on Module A of Decision 768/2008/EC. The manufacturer should retain flexibility to choose a stricter conformity assessment procedure involving a third-party. If the product is classified as a critical product of class I, additional assurance is required to demonstrate conformity with the essential requirements set out in this Regulation. The manufacturer should apply harmonised standards, common specifications or cybersecurity certification schemes under Regulation (EU) 2019/881 which have been identified by the Commission in an implementing act, if it wants to carry out the conformity assessment under its own responsibility (module A). **If those harmonised standards, common specifications or cybersecurity certification schemes have been in place for a minimum period of time enabling manufacturers to adopt them** and the manufacturer does not apply them, ~~such harmonised standards, common specifications or cybersecurity certification schemes~~, the manufacturer should undergo conformity assessment involving a third party. Taking into account the administrative burden on manufacturers and the fact that cybersecurity plays an important role in the design and development phase of tangible and intangible products with digital elements, conformity assessment procedures respectively based on modules B+C or module H of Decision 768/2008/EC have been chosen as most appropriate for assessing the compliance of critical products with digital elements in a proportionate and effective manner. The manufacturer that carries out the third-party conformity assessment can choose the procedure that suits best its design and production process. Given the even greater cybersecurity risk linked with the use of products classified as critical class II products, the conformity assessment should always involve a third party.
- (46) While the creation of tangible products with digital elements usually requires manufacturers to make substantial efforts throughout the design, development and

Commented [OS65]: AM 181 Corrao

Commented [OS66]: AM 26 Rapporteur

²⁰ Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and repealing Regulation (EEC) No 339/93 (OJ L 218, 13.8.2008, p. 30).

²¹ Decision No 768/2008/EC of the European Parliament and of the Council of 9 July 2008 on a common framework for the marketing of products, and repealing Council Decision 93/465/EEC (OJ L 218, 13.8.2008, p. 82).

production phases, the creation of products with digital elements in the form of software almost exclusively focuses on design and development, while the production phase plays a minor role. Nonetheless, in many cases software products still need to be compiled, built, packaged, made available for download or copied onto physical media before being placed on the market. These activities should be considered as activities amounting to production when applying the relevant conformity assessment modules to verify the compliance of the product with the essential requirements of this Regulation across the design, development and production phases.

- (47) In order to carry out third-party conformity assessment for products with digital elements, conformity assessment bodies should be notified by the national notifying authorities to the Commission and the other Member States, provided they are compliant with a set of requirements, notably on independence, competence and absence of conflicts of interests.
- (48) In order to ensure a consistent level of quality in the performance of conformity assessment of products with digital elements, it is also necessary to lay down requirements for notifying authorities and other bodies involved in the assessment, notification and monitoring of notified bodies. The system set out in this Regulation should be complemented by the accreditation system provided for in Regulation (EC) No 765/2008. Since accreditation is an essential means of verifying the competence of conformity assessment bodies, it should also be used for the purposes of notification.
- (49) Transparent accreditation as provided for in Regulation (EC) No 765/2008, ensuring the necessary level of confidence in certificates of conformity, should be considered by the national public authorities throughout the Union as the preferred means of demonstrating the technical competence of conformity assessment bodies. However, national authorities may consider that they possess the appropriate means of carrying out that evaluation themselves. In such cases, in order to ensure the appropriate level of credibility of evaluations carried out by other national authorities, they should provide the Commission and the other Member States with the necessary documentary evidence demonstrating the compliance of the conformity assessment bodies evaluated with the relevant regulatory requirements.
- (50) Conformity assessment bodies frequently subcontract parts of their activities linked to the assessment of conformity or have recourse to a subsidiary. In order to safeguard the level of protection required for the product with digital elements to be placed on the market, it is essential that conformity assessment subcontractors and subsidiaries fulfil the same requirements as notified bodies in relation to the performance of conformity assessment tasks.
- (51) The notification of a conformity assessment body should be sent by the notifying authority to the Commission and the other Member States via the New Approach Notified and Designated Organisations (NANDO) information system. NANDO is the electronic notification tool developed and managed by the Commission where a list of all notified bodies can be found.
- (52) Since notified bodies may offer their services throughout the Union, it is appropriate to give the other Member States and the Commission the opportunity to raise objections concerning a notified body. It is therefore important to provide for a period during which any doubts or concerns as to the competence of conformity assessment bodies can be clarified before they start operating as notified bodies.
- (53) In the interests of competitiveness, it is crucial that notified bodies apply the conformity assessment procedures without creating unnecessary burden for economic operators, *in*

particular for micro, small, medium sized enterprises. In this regard, Member States, with the support of the Commission, should ensure that there is an adequate availability of skilled professionals in order to ensure that notified bodies can carry out their activities efficiently, thus minimising possible impediments, avoiding bottlenecks and facilitating economic operators' compliance with this Regulation. For the same reason, and to ensure equal treatment of economic operators, consistency in the technical application of the conformity assessment procedures needs to be ensured. That should be best achieved through appropriate coordination and cooperation between notified bodies.

Commented [OS67]: AM 28 Rapporteur, AM 183 Covassi, Hajšel, Toia, et al., AM 184 Vikkunen, Skyttedal, Štefanec et al.

(53a) *In order to increase efficiency and transparency, Member States should ensure, before the date of application of this Regulation, that there is a sufficient number of notified bodies in the Union to carry out conformity assessments. The Commission should monitor market developments and assist Member States in this endeavour, in order to avoid bottlenecks and hindrances to market entry.*

Commented [OS68]: AM 185 Vikkunen, Skyttedal, Štefanec, et al.

(54) Market surveillance is an essential instrument in ensuring the proper and uniform application of Union legislation. It is therefore appropriate to put in place a legal framework within which market surveillance can be carried out in an appropriate manner. Rules on Union market surveillance and control of products entering the Union market provided for in Regulation (EU) 2019/1020 of the European Parliament and of the Council²² apply to products with digital elements covered by this Regulation.

(55) In accordance with Regulation (EU) 2019/1020, market surveillance authorities carry out market surveillance in the territory of that Member State. This Regulation should not prevent Member States from choosing the competent authorities to carry out those tasks. Each Member State should designate one or more market surveillance authorities in its territory. Member States may choose to designate any existing or new authority to act as market surveillance authority, including national competent authorities referred to in ~~Directive XX/XXXXX (NIS2)~~ **Directive (EU) 2022/2555** or designated national cybersecurity certification authorities referred to in Article 58 of Regulation (EU) 2019/881. Economic operators should fully cooperate with market surveillance authorities and other competent authorities. Each Member State should inform the Commission and the other Member States of its market surveillance authorities and the areas of competence of each of those authorities and should ensure the necessary resources and skills to carry out the surveillance tasks relating to this Regulation. As per Article 10(2) and (3) of Regulation (EU) 2019/1020, each Member State should appoint a single liaison office that should be responsible, among others, for representing the coordinated position of the market surveillance authorities and assisting in the cooperation between market surveillance authorities in different Member States.

(56) A dedicated administrative cooperation group (ADCO) *for the cyber resilience of products with digital elements* should be established for the uniform application of this Regulation, pursuant to Article 30(2) of Regulation (EU) 2019/1020. This ADCO should be composed of representatives of the designated market surveillance authorities and, if appropriate, representatives of the single liaison offices. The Commission should support and encourage cooperation between market surveillance authorities through the Union Product Compliance Network, established on the basis of Article 29 of Regulation (EU) 2019/1020 and comprising representatives from each Member State, including a representative of each single liaison office referred to in Article 10 of

Commented [OS69]: AM 186 Tošenovský

²² Regulation (EU) 2019/1020 of the European Parliament and of the Council of 20 June 2019 on market surveillance and compliance of products and amending Directive 2004/42/EC and Regulations (EC) No 765/2008 and (EU) No 305/2011 (OJ L 169, 25.6.2019, p. 1).

Regulation (EU) 2019/1020 and an optional national expert, the chairs of ADCOs, and representatives from the Commission. The Commission should participate in the meetings of the Network, its sub-groups and this respective ADCO. It should also assist this ADCO by means of an executive secretariat that provides technical and logistic support.

- (57) In order to ensure timely, proportionate and effective measures in relation to products with digital elements presenting a significant cybersecurity risk, a Union safeguard procedure should be foreseen under which interested parties are informed of measures intended to be taken with regard to such products. This should also allow market surveillance authorities, in cooperation with the relevant economic operators, to act at an earlier stage where necessary. Where the Member States and the Commission agree as to the justification of a measure taken by a Member State, no further involvement of the Commission should be required, except where non-compliance can be attributed to shortcomings of a harmonised standard.
- (58) In certain cases, a product with digital elements which complies with this Regulation, may nonetheless present a significant cybersecurity risk or pose a risk to the health or safety of persons, to compliance with obligations under Union or national law intended to protect fundamental rights, the availability, authenticity, integrity or confidentiality of services offered using an electronic information system by essential entities of the type referred to in ~~{Directive XX/XXXX (NIS2)}~~ **Directive (EU) 2022/2555** or to other aspects of public interest protection. Therefore it is necessary to establish rules which ensure mitigation of those risks. As a result, market surveillance authorities should take measures to require the economic operator to ensure that the product no longer presents that risk, to recall it or to withdraw it, depending on the risk. As soon as a market surveillance authority restricts or forbids the free movement of a product in such way, the Member State should notify without delay the Commission and the other Member States of the provisional measures, indicating the reasons and justification for the decision. Where a market surveillance authority adopts such measures against products presenting a risk, the Commission should enter into consultation with the Member States and the relevant economic operator or operators without delay and should evaluate the national measure. On the basis of the results of this evaluation, the Commission should decide whether the national measure is justified or not. The Commission should address its decision to all Member States and immediately communicate it to them and the relevant economic operator or operators. If the measure is considered justified, the Commission may also consider adopting proposals to revise the respective Union legislation.
- (59) For products with digital elements presenting a significant cybersecurity risk, and where there is reason to believe that these are not compliant with this Regulation, or for products that are compliant with this Regulation, but that present other important risks, such as risks to the health or safety of persons, fundamental rights or the provision of the services by essential entities of the type referred to in ~~{Directive XX/XXXX (NIS2)}~~ **Directive (EU) 2022/2555**, the Commission may request ENISA to carry out an evaluation. Based on that evaluation, the Commission may adopt, through implementing acts, corrective or restrictive measures at Union level, including ordering withdrawal from the market, or recalling of the respective products, within a reasonable period, commensurate with the nature of the risk. The Commission may have recourse to such intervention only in exceptional circumstances that justify an immediate intervention to preserve the good functioning of the internal market, and only where no effective measures have been taken by surveillance authorities to remedy the situation. Such exceptional circumstances may be emergency situations where, for example, a non-

compliant product is widely made available by the manufacturer throughout several Member States, used also in key sectors by entities under the scope of ~~Directive XXX/XXXX (NIS2)~~ Directive (EU) 2022/2555, while containing known vulnerabilities that are being exploited by malicious actors and for which the manufacturer does not provide available patches. The Commission may intervene in such emergency situations only for the duration of the exceptional circumstances and if the non-compliance with this Regulation or the important risks presented persist.

- (60) In cases where there are indications of non-compliance with this Regulation in several Member States, market surveillance authorities should be able to carry out joint activities with other authorities, with a view to verifying compliance and identifying cybersecurity risks of products with digital elements.
- (61) Simultaneous coordinated control actions ('sweeps') are specific enforcement actions by market surveillance authorities that can further enhance product security. Sweeps should, in particular, be conducted where market trends, consumer complaints or other indications suggest that certain product categories are often found to present cybersecurity risks. ENISA should submit proposals for categories of products for which sweeps ~~could~~ **should** be organised to the market surveillance authorities, based, among others, on the notifications of product vulnerabilities and incidents it receives. **The Commission should also coordinate market surveillance authorities in the regular inspections of products with digital elements that might present a security risk for the Union, including in light of the non-technical risk factor.**
- (62) In order to ensure that the regulatory framework can be adapted where necessary, the power to adopt acts in accordance with Article 290 of the Treaty should be delegated to the Commission in respect of updates to the list of critical products in Annex III and specifying the definitions of these product categories. Power to adopt acts in accordance with that Article should be delegated to the Commission to identify products with digital elements covered by other Union rules which achieve the same level of protection as this Regulation, specifying whether a limitation or exclusion from the scope of this Regulation would be necessary as well as the scope of that limitation, if applicable. Power to adopt acts in accordance with that Article should also be delegated to the Commission in respect of specifying the European cybersecurity certification schemes adopted pursuant to Regulation (EU) 2019/881 that can be used to demonstrate conformity with the essential requirements or parts thereof as set out in Annex I of this Regulation, the potential mandating of certification of certain highly critical products with digital elements based on criticality criteria set out in this Regulation, as well as for specifying the minimum content of the EU declaration of conformity and supplementing the elements to be included in the technical documentation. **Powers to adopt acts should also be delegated to the Commission to specify the format and elements of the software bill of materials, specify further the ~~type of information,~~ format and procedure of the notifications on actively exploited vulnerabilities and significant incidents submitted to ENISA by the manufacturers. Where necessary, the Commission should be empowered to adopt delegated acts to adopt common specifications in respect of the essential requirements set out in Annex I.** It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Inter-institutional Agreement of 13 April 2016 on Better Law-Making²³. In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the

Commented [OS70]: AM 29 Rapporteur, AM 30 Rapporteur, AM 188 Covassi, Hajšel, Toia, et al.

Commented [OS71]: Rapporteurs proposal to align. Based on article 18(4) language comes from recital 63

Commented [OS72]: AM 31 Rapporteur, AM 189 Covassi, Hajšel, Toia, et al
Aligned

²³ OJ L 123, 12.5.2016, p. 1.

Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts. **For the purpose of developing delegated acts pursuant to this Regulation, the Commission will consult the cyber resilience expert group. The Commission will also conduct regular structural dialogue with economic operators and carry out public consultations, inter alia for the purposes of evaluating the scope of this Regulation and whether certain categories of products should be included or excluded.**

Commented [OS73]: Based on discussion at technical level

(63) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission to: ~~specify the format and elements of the software bill of materials, specify further the type of information, format and procedure of the notifications on actively exploited vulnerabilities and incidents submitted to ENISA by the manufacturers, specify the European cybersecurity certification schemes adopted pursuant to Regulation (EU) 2019/881 that can be used to demonstrate conformity with the essential requirements or parts thereof as set out in Annex I of this Regulation, adopt common specifications in respect of the essential requirements set out in Annex I,~~ lay down technical specifications for **labelling schemes, including harmonised labels,** pictograms or any other marks related to the security of the products with digital elements, and mechanisms to promote their use, decide on corrective or restrictive measures at Union level in exceptional circumstances which justify an immediate intervention to preserve the good functioning of the internal market. Those powers should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council²⁴.

Commented [OS74]: AM 32 Rapporteur, AM 191 Covassi, Hajšel, Toia

Aligned

Commented [OS75]: Aligned with Article 22(4)

(64) In order to ensure trustful and constructive cooperation of market surveillance authorities at Union and national level, all parties involved in the application of this Regulation should respect the confidentiality of information and data obtained in carrying out their tasks.

(65) In order to ensure effective enforcement of the obligations laid down in this Regulation, each market surveillance authority should have the power to impose or request the imposition of administrative fines. Maximum levels for administrative fines to be provided for in national laws for non-compliance with the obligations laid down in this Regulation should therefore be established. When deciding on the amount of the administrative fine in each individual case, all relevant circumstances of the specific situation should be taken into account and as a minimum those explicitly established in this Regulation, including whether **the manufacturer is a micro, small or medium-sized enterprise, including start-up and** administrative fines have been already applied by other market surveillance authorities to the same operator for similar infringements. Such circumstances can be either aggravating, in situations where the infringement by the same operator persists on the territory of other Member States than the one where an administrative fine has already been applied, or mitigating, in ensuring that any other administrative fine considered by another market surveillance authority for the same economic operator or the same type of breach should already take account, along with other relevant specific circumstances, of a penalty and the quantum thereof imposed in other Member States. In all such cases, the cumulative administrative fine that could be applied by market surveillance authorities of several Member States to the same

Commented [OS76]: AM33 Rapporteur, AM 194 Tošenovský, AM 195 Covassi, Hajšel, Toia, et al.

²⁴ Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by the Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p.13).

economic operator for the same type of infringement should ensure the respect of the principle of proportionality.

- (66) Where administrative fines are imposed on persons that are not an undertaking, the competent authority should take account of the general level of income in the Member State as well as the economic situation of the person when considering the appropriate amount of the fine. It should be for the Member States to determine whether and to what extent public authorities should be subject to administrative fines.

(66a) *The revenues generated from the payments of penalties should be used to strengthen the level of cybersecurity within the Union, including by developing capacity and skills related to cybersecurity, improving economic operators' cyber resilience, in particular of micro, small and medium sized enterprises and more in general fostering public awareness of cyber security issues.*

- (67) In its relationships with third countries, the EU endeavours to promote international trade in regulated products. A broad variety of measures can be applied in order to facilitate trade, including several legal instruments such as bilateral (inter-governmental) Mutual Recognition Agreements (MRAs) for conformity assessment and marking of regulated products. MRAs are established between the Union and third countries, which are on a comparable level of technical development and have a compatible approach concerning conformity assessment. These agreements are based on the mutual acceptance of certificates, marks of conformity and test reports issued by the conformity assessment bodies of either party in conformity with the legislation of the other party. Currently MRAs are in place for several countries. The agreements are concluded in a number of specific sectors, which might vary from one country to another. In order to further facilitate trade, and recognising that supply chains of products with digital elements are global, MRAs concerning conformity assessment may be concluded for products regulated under this Regulation by the Union in accordance with Article 218 TFEU. Cooperation with partner countries is also important, in order to strengthen cyber resilience globally, as in the long term this will contribute to a strengthened cybersecurity framework both within and outside of the EU.

- (68) The Commission should periodically review this Regulation, in consultation with **the Expert Group and other** interested parties, in particular with a view to determining the need for modification in the light of changes to societal, political, technological or market conditions.

- (69) Economic operators should be provided with a sufficient time to adapt to the requirements of this Regulation. This Regulation should apply [~~24~~ **40** ~~36~~ months] from its entry into force, with the exception of the reporting obligations concerning actively exploited vulnerabilities and incidents, which should apply [~~12~~ **20** ~~18~~ months] from the entry into force of this Regulation.

(69a) *This Regulation will generate additional costs for micro, small and medium-sized enterprises, including start-ups. In order to support these enterprises, the Commission should establish financial and technical support that enable these enterprises to contribute to the growth of the European economy and the European cybersecurity landscape, including by streamlining the financial support from the Digital Europe Programme and other relevant EU programmes as well as supporting companies and public sector organisations through European Digital Innovation Hubs. Furthermore, Member States should consider all possible complementary actions aimed at providing guidance and support for SMEs, including via the establishment of regulatory sandboxes, cybersecurity hubs and start-up accelerators.*

Commented [OS77]: AM 34 Rapporteur, AM 196 Covassi, Hajšel, Toia, et al.

Commented [OS78]: AM 35 Rapporteur

Commented [OS79]: AM 36 Rapporteur, AM 197 Corrao, AM 198 Covassi, Hajšel, Toia, et al., AM 199 Tošenovský, AM 200 Virkkunen, Skyttedal, Štefanec et al.

Commented [OS80]: AM 37 Rapporteur, AM 202 Virkkunen, Skyttedal et al.

Commented [OS81]: AM 201 Tošenovský

(70) Since the objective of this Regulation cannot be sufficiently achieved by the Member States but can rather, by reason of the effects of the action, be better achieved at Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality as set out in that Article, this Regulation does not go beyond what is necessary in order to achieve that objective.

(71) The European Data Protection Supervisor was consulted in accordance with Article 42(1) of Regulation (EU) 2018/1725 of the European Parliament and of the Council²⁵ and delivered its opinion on [...],

(71a) *The Commission should amend the legislative financial statement accompanying this Regulation by providing ENISA with 9 additional full-time equivalent and corresponding additional appropriations in order to fulfil its additional tasks provided for in this Regulation.*

Commented [OS82]: AM 38 Rapporteur

HAVE ADOPTED THIS REGULATION:

²⁵ Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC (OJ L 295, 21.11.2018, p. 39).

COMPROMISE AMENDMENT 1: Chapter 1 (Articles 1-9)

CHAPTER I

GENERAL PROVISIONS

Article 1 Subject matter

If adopted, all related AMs fall, meaning AMs 204 and 205.

Article 1

Subject matter

This Regulation lays down:

- (a) rules for the ~~placing~~ **making available** on the market of products with digital elements to ensure the cybersecurity of such products;
- (b) essential requirements for the design, development and production of products with digital elements, and obligations for economic operators in relation to these products with respect to cybersecurity;
- (c) essential requirements for the vulnerability handling processes put in place by manufacturers to ensure the cybersecurity of products with digital elements during the whole life cycle, and obligations for economic operators in relation to these processes;
- (d) rules on market **monitoring**, surveillance and enforcement of the above-mentioned rules and requirements.

Commented [OS83]: AM 204 Corrado and AM 205 Covassi, Hajšel, Toia, et al

Article 2 Scope

If adopted, all related AMs fall, meaning AMs 39, 40, 206-219.

Reference to interoperability/overlaps with DORA and other relevant regulations to be added in recital.

Article 2

Scope

1. This Regulation applies to products with digital elements **made available on the market** whose intended or reasonably foreseeable use includes **that can have** a direct or indirect logical or physical data connection to a device or network.
2. This Regulation does not apply to products with digital elements to which the following Union acts apply:
 - (a) Regulation (EU) 2017/745;
 - (b) Regulation (EU) 2017/746;
 - (c) Regulation (EU) 2019/2144.
3. This Regulation does not apply to products with digital elements that have been certified in accordance with Regulation (EU) 2018/1139.

3a. This Regulation applies to free and open-source software only when made available on the market in the course of a commercial activity.

4. The application of this Regulation to products with digital elements covered by other Union rules laying down requirements that address all or some of the risks covered by the essential requirements set out in Annex I may be limited or excluded, where:
 - (a) such limitation or exclusion is consistent with the overall regulatory framework applying to those products; and
 - (b) the sectoral rules achieve the same level of protection as the one provided for by this Regulation.

The Commission is empowered to adopt delegated acts in accordance with Article 50 to amend this Regulation specifying whether such limitation or exclusion is necessary, the concerned products and rules, as well as the scope of the limitation, if relevant.

4a. This Regulation does not apply to spare parts that are exclusively manufactured to replace identical parts, and are supplied by the manufacturer of the original products with digital elements.

5. This Regulation does not apply to products with digital elements developed exclusively for national security or military purposes or to products specifically designed to process classified information.

Commented [OS84]: AM 207 Tosenovsky

Commented [OS85]: AM 209 Groothuis

Commented [OS86]: AM 208 Covassi, Hajšel, Toia, et al

Commented [OS87]: AM 39 Rapporteur

Commented [OS88]: AM 40 Rapporteur and AM 213 Covassi, Hajšel, Toia, et al., IMCO 43

Article 3 Definitions

If adopted, all related AMs fall, meaning AMs 41-46, 220-249.

AMs 223, 224, 246 and parts of 248 moved to recitals.

Article 3

Definitions

For the purposes of this Regulation, the following definitions apply:

- (1) ‘product with digital elements’ means any software or hardware product and its remote data processing solutions, including software or hardware components to be placed on the market separately;
- (2) ‘remote data processing’ means any data processing at a distance for which the software is designed and developed by **or on behalf of the manufacturer** ~~or under the responsibility of the manufacturer~~, and the absence of which would prevent the product with digital elements from performing one of its functions;
- (3) ‘critical product with digital elements’ means a product with digital elements that presents a cybersecurity risk in accordance with the criteria laid down in Article 6(2) and whose core functionality is set out in Annex III;
- (4) ‘highly critical product with digital elements’ means a product with digital elements that presents a cybersecurity risk in accordance with the criteria laid down in Article 6(5);
- (4 a) ‘cybersecurity’ means cybersecurity as defined in Article 2, point (1), of Regulation (EU) 2019/881;**
- (5) ‘operational technology’ means programmable digital systems or devices that interact with the physical environment or manage devices that interact with the physical environment;
- (6) ‘software’ means the part of an electronic information system which consists of computer code;
- (7) ‘hardware’ means a physical electronic information system, or parts thereof capable of processing, storing or transmitting of digital data;
- (8) ‘component’ means software or hardware intended for integration into an electronic information system;
- (9) ‘electronic information system’ means any system, including electrical or electronic equipment, capable of processing, storing or transmitting digital data;
- (10) ‘logical connection’ means a virtual representation of a data connection implemented through a software interface;
- (11) ‘physical connection’ means any connection between electronic information systems or components implemented using physical means, including through electrical or mechanical interfaces, wires or radio waves;
- (12) ‘indirect connection’ means a connection to a device or network, which does not take place directly but rather as part of a larger system that is directly connectable to such device or network;
- (13) ‘privilege’ means an access right granted to particular users or programmes to perform security-relevant operations within an electronic information system;

Commented [OS89]: Alignment with recital 9

Commented [OS90]: AM 42 Rapporteur and AM 228 Virkkunen, Skyttedal, Štefanec, et al.

- (14) ‘elevated privilege’ means an access right granted to particular users or programmes to perform an extended set of security-relevant operations within an electronic information system that, if misused or compromised, could allow a malicious actor to gain wider access to the resources of a system or organisation;
- (15) ‘endpoint’ means any device that is connected to a network and serves as an entry point to that network;
- (16) ‘networking or computing resources’ means data or hardware or software functionality that is accessible either locally or through a network or another connected device;
- (17) ‘economic operator’ means the manufacturer, the authorised representative, the importer, the distributor, or any other natural or legal person who is subject to obligations laid down by this Regulation;
- (18) ‘manufacturer’ means any natural or legal person who develops or manufactures products with digital elements or has products with digital elements designed, developed or manufactured, and markets them under his or her name or trademark, whether for payment, **monetisation** or free of charge;
- (19) ‘authorised representative’ means any natural or legal person established within the Union who has received a written mandate from a manufacturer to act on his or her behalf in relation to specified tasks;
- (20) ‘importer’ means any natural or legal person established in the Union who places on the market a product with digital elements that bears the name or trademark of a natural or legal person established outside the Union;
- (21) ‘distributor’ means any natural or legal person in the supply chain, other than the manufacturer or the importer, that makes a product with digital elements available on the Union market without affecting its properties;
- (21 a) ‘micro, small and medium sized enterprises’ means micro, small and medium sized enterprises as defined in Commission Recommendation 2003/361/EC²⁶;**
- (21b) ‘consumer’ means any natural person who, under the circumstances of this Regulation, is acting for purposes which are outside their trade, business, craft or profession;**
- (21c) ‘support period’ means the period during which the manufacturer ensures that vulnerabilities of the product with digital elements are handled effectively and in accordance with the essential requirements set out in Section 2 of Annex I.**
- (22) ‘placing on the market’ means the first making available of a product with digital elements on the Union market;
- (23) ‘making available on the market’ means any supply of a product with digital elements for distribution or use on the Union market in the course of a commercial activity, whether in return for payment or free of charge;
- (24) ‘intended purpose’ means the use for which a product with digital elements is intended by the manufacturer, including the specific context and conditions of use, as specified in the information supplied by the manufacturer in the instructions for use, promotional or sales materials and statements, as well as in the technical documentation;

Commented [OS91]: AM 232 Corrao

Commented [OS92]: AM 43 Rapporteur, AM 231 Tošenovský, AM 234 Virkkunen, Skyttedal, Štefanec, et al.

Commented [OS93]: AM 233

Commented [OS94]: Rapporteur proposal subsequent to discussions at technical level

²⁶ *Commission Recommendation of 6 May 2003 concerning the definition of micro, small and medium-sized enterprises (notified under document number C(2003) 1422) (OJ L 124, 20.5.2003, p. 36).*

- (25) ‘reasonably foreseeable use’ means use that is not necessarily the intended purpose supplied by the manufacturer in the instructions for use, promotional or sales materials and statements, as well as in the technical documentation, but which is likely to result from reasonably foreseeable human behaviour or technical operations or interactions;
- (26) ‘reasonably foreseeable misuse’ means the use of a product with digital elements in a way that is not in accordance with its intended purpose, but which may result from reasonably foreseeable human behaviour or interaction with other systems;
- (27) ‘notifying authority’ means the national authority responsible for setting up and carrying out the necessary procedures for the assessment, designation and notification of conformity assessment bodies and for their monitoring;
- (28) ‘conformity assessment’ means the process of verifying whether the essential requirements set out in Annex I have been fulfilled;
- (29) ‘conformity assessment body’ means a body defined in Article 2(13) of Regulation (EU) No 765/2008;
- (30) ‘notified body’ means a conformity assessment body designated in accordance with Article 33 of this Regulation and other relevant Union harmonisation legislation;
- (31) ‘substantial modification’ means a change to the product with digital elements following its placing on the market, which affects the compliance of the product with digital elements with the essential requirements set out in Section 1 of Annex I or results in a modification to the intended use for which the product with digital elements has been assessed, **excluding necessary security updates that aim to mitigate vulnerabilities**;
- (32) ‘CE marking’ means a marking by which a manufacturer indicates that a product with digital elements and the processes put in place by the manufacturer are in conformity with the essential requirements set out in Annex I and other applicable Union legislation harmonising the conditions for the marketing of products (‘Union harmonisation legislation’) providing for its affixing;
- (33) ‘market surveillance authority’ means the authority as defined in Article 3, point (4) of Regulation (EU) 2019/1020;
- (34) ‘harmonised standard’ means a harmonised standard as defined in Article 2, point (1)(c), of Regulation (EU) No 1025/2012;
- (34a) ‘international standard’ means an international standard as defined in Article 2, point (1)(a) of Regulation (EU) No 1025/2012;**
- (35) ‘cybersecurity risk’ means risk as defined in Article 6, point (9) ~~[Article X]~~ of Directive ~~[Directive XXX/XXXX (NIS2)]~~ (EU) 2022/2555;
- (36) ‘significant cybersecurity risk’ means a cybersecurity risk which, based on its technical characteristics, can be assumed to have a high likelihood of an incident that could lead to a severe negative impact, including by causing considerable material or non-material loss or disruption;
- (37) ‘software bill of materials’ **(SBOM)** means a formal record containing details and supply chain relationships of components included in the software elements of a product with digital elements;
- (38) ‘vulnerability’ means a vulnerability as defined in Article 6, point 15 ~~[Article X]~~ of Directive ~~[Directive XXX/XXXX (NIS2)]~~ (EU) 2022/2555;

Commented [OS95]: AM 237 Groothuis, AM 238 Tošenovský, IMCO 51

Commented [OS96]: AM 240 Tošenovský; linked to discussion on standards

Commented [OS97]: AM 245 Tošenovský

(39) ‘actively exploited vulnerability’ means a vulnerability for which there is reliable evidence that execution of malicious code was performed by an actor on a system without permission of the system owner;

(39 a) ‘incident’ means an incident as defined in Article 6, point (6) of Directive (EU) 2022/2555;

(39b) ‘near miss’ means a near miss as defined in Article 6, point (5), of Directive (EU) 2022/2555;

(39c) ‘cyber threat’ means a cyber threat as defined in Article 2, point (8), of Regulation (EU) 2019/881.

(40) ‘personal data’ means data as defined in Article 4(1) of Regulation (EU) 2016/679.

Commented [OS98]: AM 44 Rapporteur, AM 242 Tošenovský, AM 249 Virkkunen, Skyttedal, Štefánek, et al

Commented [OS99]: AM 45 Rapporteur, AM 241 Tošenovský

Commented [OS100]: AM 46 Rapporteur, AM 243 Tošenovský

Article 4 Free movement

IMCO shared competence.

If adopted, all related AMs fall, meaning AMs 250-254.

AM 253 and parts of paragraph 2 moved to recitals.

Article 4

Free movement

1. Member States shall not impede, for the matters covered by this Regulation, the making available on the market of products with digital elements which comply with this Regulation.
2. ~~At trade fairs, exhibitions and demonstrations or similar events,~~ Member States shall not prevent the presentation and use of a **prototype** product with digital elements which does not comply with this Regulation, **provided that the availability is limited in time and geographical area and is supplied exclusively for testing and, where possible, a visible sign indicating its non-compliance.**
3. Member States shall not prevent the making available **free of charge** of unfinished software which does not comply with this Regulation provided that the software is only made available for a limited period required for testing purposes and that a visible sign clearly indicates that it does not comply with this Regulation and will not be available on the market for purposes other than testing.
3a. Member States, if applicable with the support of ENISA, may establish controlled testing environments for innovative products to facilitate their development and production. In this context, particular support shall be foreseen for micro, small and medium sized enterprises, including start-ups.

Commented [OS101]: AM 250 Corrao

Commented [OS102]: IMCO 56

Commented [OS103]: Rapporteur proposal for replacing article on regulatory sandboxes

Article 5 Requirements for products with digital elements

If adopted, all related AMs fall, meaning AM 255.

Article 5

Requirements for products with digital elements

Products with digital elements shall only be made available on the market where:

- (1) they meet the essential requirements set out in Section 1 of Annex I, under the condition that they are properly installed, maintained, used for their intended purpose or under conditions which can reasonably be foreseen, and, where applicable, ~~updated,~~ **provided with the necessary security and functionality updates,** and
- (2) the processes put in place by the manufacturer comply with the essential requirements set out in Section 2 of Annex I.

Commented [OS104]: AM 255 Corrao, IMCO 59

Article 6 Critical products with digital elements

If adopted, all related AMs fall, meaning AMs 47-53, 256-266.

AMs 258 and 260 moved to recitals.

Article 6

Critical products with digital elements

1. Products with digital elements that belong to a category which is listed in Annex III shall be considered critical products with digital elements. Products which have the core functionality of a category that is listed in Annex III to this Regulation shall be considered as falling into that category. Categories of critical products with digital elements shall be divided into class I and class II as set out in Annex III, reflecting the level of cybersecurity risk related to these products.

The integration of a product of higher class of criticality does not change the level of criticality for the product it is integrated into.

2. The Commission is empowered to adopt delegated acts in accordance with Article 50 to amend Annex III by including in the list of categories of critical products with digital elements a new category or withdrawing an existing one from that list. ***The first such delegated act may be adopted at the earliest two years after entry into force of this Regulation and any subsequent delegated act may be adopted at the earliest two years thereafter.*** When assessing the need to amend the list in Annex III, the Commission shall take into account the level of cybersecurity risk related to the category of products with digital elements. In determining the level of cybersecurity risk, one or several of the following criteria shall be taken into account:

- (a) the cybersecurity-related functionality of the product with digital elements, and whether the product with digital elements has at least one of following attributes:
 - (i) it is designed to run with elevated privilege or manage privileges;
 - (ii) it has direct or privileged access to networking or computing resources;
 - (iii) it is designed to control access to data or operational technology;
 - (iv) it performs a function critical to trust, in particular security functions such as network control, endpoint security, and network protection.
- (b) the intended use in sensitive environments, including in industrial settings or by essential entities of the type referred to in the ***Article 3 of Annex [Annex I] to the Directive [Directive XXX/XXXX (NIS2)] (EU) 2022/2555;***
- (c) the intended use of performing critical or sensitive functions, such as processing of personal data;
- (d) the potential extent of an adverse impact, in particular in terms of its intensity and its ability to affect a plurality of persons;
- (e) the extent to which the use of products with digital elements has already caused material or non-material loss or disruption or has given rise to significant concerns in relation to the materialisation of an adverse impact.

3. The Commission is empowered to adopt a delegated act in accordance with Article 50 to supplement this Regulation by specifying the definitions of the product categories under class I and class II as set out in Annex III. The delegated act shall be adopted [by ~~12~~ 6 months since the entry into force of this Regulation].

Commented [OS105]: AM 256 Covassi, Hajšel, Toia, et al., IMCO 60

Commented [OS106]: AM 47 Rapporteur

Commented [OS107]: AM 48 Rapporteur, AM 262 Corrao

4. Critical products with digital elements shall be subject to the conformity assessment procedures referred to in Article 24(2) and (3).

Where a new category of critical products with digital elements is added to class I or class II in Annex III by means of a delegated act pursuant to paragraph 2 of this Article, it shall be subject to the relevant conformity assessment procedures referred to in Article 24(2) and (3) within 12 months of the date of adoption of the related delegated act.

Commented [OS108]: AM 49 Rapporteur

5. The Commission is empowered to adopt delegated acts in accordance with Article 50 to supplement this Regulation by specifying categories of highly critical products with digital elements for which the manufacturers shall be required to obtain a European cybersecurity certificate under a European cybersecurity certification scheme **at assurance level 'high'** pursuant to Regulation (EU) 2019/881 to demonstrate conformity with the essential requirements set out in Annex I, or parts thereof. **The obligation to obtain a European cybersecurity certificate shall apply within 12 months of the adoption of the relevant delegated act.** When determining such categories of highly critical products with digital elements, the Commission shall take into account the level of cybersecurity risk related to the category of products with digital elements, in light of one or several of the criteria listed in paragraph 2, as well as in view of the assessment of whether that category of products is:

Commented [OS109]: AM 266 Covassi, Hajšel, Toia, et al..

Commented [OS110]: AM 50 Rapporteur

- (a) used or relied upon by the essential entities of the type referred to in Annex [Annex I] to **in Article 3 of the Directive [Directive XXX/XXXX (NIS2)] (EU) 2022/2555** or will have potential future significance for the activities of these entities; or
- (b) relevant for the resilience of the overall supply chain of products with digital elements against disruptive events.

- 5a. The Commission is empowered to adopt the delegated acts referred to in paragraph 5 of this Article ~~no earlier than~~ at the earliest 12 months after the adoption of the relevant European cybersecurity certification scheme pursuant to Regulation (EU) 2019/881.**

Commented [OS111]: AM 51 Rapporteur

Article 6a

Expert group on cyber resilience

1. By ... [6 months after the date of entry into force of this Regulation], the Commission shall establish an expert group on cyber resilience (the 'Expert Group'). The Expert Group shall be appointed for a renewable three-year term by the Commission. The composition of the Expert Group shall aim to be gender and geographically balanced and shall include the following:

(a) representatives of each of the following:

(i) the European Union Agency for Cybersecurity;

(ia) the European Cybersecurity Competence Centre;

(ii) the European Data Protection Board;

(iii) European standardisation bodies.

Where needed, representatives of other EU Agencies may be invited.

(b) experts representing relevant economic operators, ensuring adequate representation of micro, small and medium sized enterprises;

(c) experts representing civil society, including consumer organisations and the free and open-source community;

(d) experts appointed in a personal capacity, who have proven knowledge and experience in the areas covered by this Regulation;

(e) experts representing academia, including universities, research institutes and other scientific organisations, including persons with global expertise.

2. The Expert Group shall advise the Commission with regard to the following:

(a) the list of critical products with digital elements set out in Annex III, as well as on the possible need to update that list;

(b) the implementation of European cybersecurity certification schemes pursuant to Regulation (EU) 2019/881 and on the possibility to make them mandatory for highly critical products with digital elements;

(c) with non-binding evaluations of products with digital elements upon request by a market surveillance authority that is conducting an investigation under Article 43;

(d) on the application of relevant concepts of the New-Legislative Framework to software, in particular free and open-source software;

(e) the elements of the Regulation to be addressed by the guidelines referred to in Article 17a;

(f) the availability and the quality of European and international standards, and the possibility to supplement or replace them with common technical specifications;

(g) the availability of skilled professionals in the field of cybersecurity across the Union, including of adequate personnel to perform third-party conformity assessments pursuant to this Regulation;

(h) the possible need to amend this Regulation.

The Expert Group shall also map trends at Union and Member State level regarding existing and patched vulnerabilities.

3. *The Expert Group shall take into account the views of a wide range of stakeholders and perform their tasks with highest level of professionalism, independence, impartiality and objectivity.*
- 3a. *The Commission shall consult the Expert Group when preparing delegated or implementing acts based upon this Regulation.*
- 3b. *The Expert Group may provide market surveillance authorities with non-binding evaluations of products with digital elements to facilitate investigations under Article 43 of this Regulation.*
4. *The Expert Group shall be chaired by the Commission and shall be constituted in accordance with the horizontal rules on the creation and operation of Commission expert groups. In that context, the Commission may invite experts with specific expertise on an ad hoc basis.*
5. *The Expert Group shall carry out its tasks in accordance with the principle of transparency. The Commission shall publish the composition of the Expert Group, the declaration of interests of its members, a summary of the meetings of the Expert Group and other relevant documents on the Commission website.*

Commented [OS112]: AM 405 Corrao

Commented [OS113]: AM 53 Rapporteur, AM 405 Corrao

Article 6b

Enhancing skills in a cyber resilient digital environment

For the purpose of this Regulation and in order to respond to the demand of professionals capable of ensuring the cybersecurity of products with digital elements, the Commission and Member States, in cooperation with ENISA, shall ensure the implementation of:

- 1. Education and training programmes in the cyber security field and their associated career pathways, contributing to making the cyber security workforce more resilient and inclusive, also in terms of gender and aligned with the needs of undertakings, especially micro, small and medium sized enterprises, including start-ups, and public administration;***
- 2. Initiatives to increase the collaboration between the private sector, economic operators, including via re-skilling or up-skilling for manufacturers' employees, consumers, education and training providers as well as Member States, expanding the options for young people to access jobs in this sector;***
- 3. Strategies aiming at enhancing workforce mobility, developing cyber security skills and creating organisational and technological tools to maximise the existent cyber security talent.***

Commented [OS114]: AM 142 Covassi, Hajšel, Toia, et al.

Article 7 General product safety

Exclusive IMCO competence.

Article 7

General product safety

By way of derogation from Article 2(1), third subparagraph, point (b), of Regulation [General Product Safety Regulation] where products with digital elements are not subject to specific requirements laid down in other Union harmonisation legislation within the meaning of [Article 3, point (25) of the General Product Safety Regulation], Chapter III, Section 1, Chapters V and VII, and Chapters IX to XI of Regulation [General Product Safety Regulation] shall apply to those products with respect to safety risks not covered by this Regulation.

Article 8 High-risk AI systems

IMCO shared competence.

No AM tabled.

Article 8

High-risk AI systems

1. Products with digital elements classified as high-risk AI systems in accordance with Article [Article 6] of Regulation [the AI Regulation] which fall within the scope of this Regulation, and fulfil the essential requirements set out in Section 1 of Annex I of this Regulation, and where the processes put in place by the manufacturer are compliant with the essential requirements set out in Section 2 of Annex I, shall be deemed in compliance with the requirements related to cybersecurity set out in Article [Article 15] of Regulation [the AI Regulation], without prejudice to the other requirements related to accuracy and robustness included in the aforementioned Article, and in so far as the achievement of the level of protection required by those requirements is demonstrated by the EU declaration of conformity issued under this Regulation.
 2. For the products and cybersecurity requirements referred to in paragraph 1, the relevant conformity assessment procedure as required by Article [Article 43] of Regulation [AI Regulation] shall apply. For the purpose of that assessment, ~~notified~~ *relevant* bodies which are entitled to control the conformity of the high-risk AI systems under the Regulation [AI Regulation] shall be also entitled to control the conformity of the high-risk AI systems within the scope of this Regulation with the requirements set out in Annex I to this Regulation, provided that the compliance of those notified bodies with the requirements laid down in Article 29 of this Regulation have been assessed in the context of the notification procedure under Regulation [AI Regulation].
 3. By derogation from paragraph 2, critical products with digital elements listed in Annex III of this Regulation, which have to apply the conformity assessment procedures referred to in Articles 24(2)(a), 24(2)(b), 24(3)(a) and 24(3)(b) under this Regulation and which are also classified as high-risk AI systems according to Article [Article 6] of the Regulation [AI Regulation] and to which the conformity assessment procedure based on internal control referred to in Annex [Annex VI] to Regulation [the AI Regulation] applies, shall be subject to the conformity assessment procedures as required by this Regulation in so far as the essential requirements of this Regulation are concerned.
- 3a. *Manufacturers of products with digital elements classified as high-risk AI systems in accordance with paragraph 1 of this Article may participate in the AI regulatory sandboxes referred to in Article 53 of Regulation [the AI Regulation].***

Article 9 Machinery products

Exclusive IMCO competence.

AM 267 falls.

Article 9

Machinery products

Machinery products under the scope of Regulation [Machinery Regulation proposal] which are **products with digital elements or partly completed** products with digital elements within the meaning of this Regulation and for which an EU declaration of conformity has been issued on the basis of this Regulation shall be deemed to be in conformity with the essential health and safety requirements set out in Annex [Annex III, Sections 1.1.9 and 1.2.1] to Regulation [Machinery Regulation proposal], as regards protection against corruption and safety and reliability of control systems, and in so far as the achievement of the level of protection required by those requirements is demonstrated in the EU declaration of conformity issued under this Regulation.

Commented [OS115]: IMCO 68

Article 9a

Public procurement of products with digital elements

1. Without prejudice to Directives 2014/24/EU²⁷ and 2014/25/EU²⁸ of the European Parliament and of the Council, Member States shall ensure, when procuring products with digital elements, a high level of cybersecurity and an appropriate support period.

2. Member States shall ensure that manufacturers remedy vulnerabilities in publicly procured products with digital elements, including by making security updates available promptly.

Commented [OS116]: AM 54 Rapporteur

²⁷ Directive 2014/24/EU of the European Parliament and of the Council of 26 February 2014 on public procurement and repealing Directive 2004/18/EC Text with EEA relevance (OJ L 94, 28.3.2014, p. 65).

²⁸ Directive 2014/25/EU of the European Parliament and of the Council of 26 February 2014 on procurement by entities operating in the water, energy, transport and postal services sectors and repealing Directive 2004/17/EC Text with EEA relevance (OJ L 94, 28.3.2014, p. 243).

COMPROMISE AMENDMENT 2: Chapter 2 (Articles 10-17)

CHAPTER II

OBLIGATIONS OF ECONOMIC OPERATORS

Article 10 Obligations of manufacturers

If adopted, all related AMs fall, meaning AMs 55-63, 268-303.

AM 276 moved to Article 22. AMs 279, 293 and 294 moved to recitals.

Article 10

Obligations of manufacturers

1. When placing a product with digital elements on the market, manufacturers shall ensure that it has been designed, developed and produced in accordance with the essential requirements set out in Section 1 of Annex I.
2. For the purposes of complying with the obligation laid down in paragraph 1, manufacturers shall undertake an assessment of the cybersecurity risks associated with a product with digital elements and take the outcome of that assessment into account during the planning, design, development, production, delivery and maintenance phases of the product with digital elements with a view to minimising cybersecurity risks, preventing security incidents and minimising the impacts of such incidents, including in relation to the health and safety of users.
 - 2a. ***On the basis of the cybersecurity risk assessment, manufacturers shall determine how the essential requirements set out in Section 1 of Annex I are applicable to their product with digital elements. They shall include the risk assessment in the technical documentation as set out in Article 23.***
3. When placing a product with digital elements on the market, the manufacturer shall include a cybersecurity risk assessment in the technical documentation as set out in Article 23 and Annex V. For products with digital elements referred to in Articles 8 and 24(4) that are also subject to other Union acts, the cybersecurity risk assessment may be part of the risk assessment required by those respective Union acts. Where certain essential requirements are not applicable to the marketed product with digital elements, the manufacturer shall include a clear justification in that documentation.
4. For the purposes of complying with the obligation laid down in paragraph 1, manufacturers shall exercise due diligence when integrating components sourced from third parties in products with digital elements. ~~They shall~~ ***It falls upon the manufacturer to ensure that such components do not compromise the security of the product with digital elements, including when integrating components of free and open-source software that have not been made available on the market in the course of a commercial activity.***

Manufacturers shall, upon identifying a vulnerability in a component, including in a free and open-source component, which is integrated in the product with digital elements, address and remediate the vulnerability in accordance with the

Commented [OS117]: AM 269 Groothuis

Commented [OS118]: AM 272 Corrao, IMCO 71

Commented [OS119]: AM 273 Covassi, Hajšel, Toia, et al

Commented [OS120]: AM 55 Rapporteur

vulnerability handling requirements set out in Section 2 of Annex I and share the corrective measures taken with the person or entity maintaining the component.

4a. The manufacturer of components shall provide to the manufacturer of the final product with digital elements the information and documentation necessary to comply with the requirements of this Regulation, when supplying them with such components. This information shall be provided free of charge.

5. The manufacturer shall systematically document, in a manner that is proportionate to the nature and the cybersecurity risks, relevant cybersecurity aspects concerning the product with digital elements, including vulnerabilities they become aware of and any relevant information provided by third parties, and, where applicable, update the risk assessment of the product.

6. When placing a product with digital elements on the market, ~~and for manufacturers shall determine the support period during which the expected product lifetime or for a period of five years from the placing of the product on the market, whichever is shorter, Manufacturers shall ensure that~~ vulnerabilities of that product are handled effectively and in accordance with the essential requirements set out in Section 2 of Annex I. *In doing so, the manufacturer shall ensure that the support period is proportionate to the expected product lifetime as well as taking duly into account in line with the nature of the product and, users expectations, the availability of the operating environment and, where applicable, the support period of the main components integrated into the product with digital elements. To that end manufacturers shall make available upon request of Market surveillance authorities information on the expected product lifetime they considered in order to determine the duration of the support period for the product made available on the market. Market surveillance authorities shall monitor products with digital elements and ensure actively that manufacturers have applied these criteria in an adequate manner, the relevant criteria, including an assessment of the information received on the expected product lifetime, when determining the support period.*

Where applicable, the support period shall be clearly stated on the product, its packaging or be included in contractual agreements. In any case, end users shall also be informed before purchase of the duration of the support.

Manufacturers shall have appropriate policies and procedures, including coordinated vulnerability disclosure policies, referred to in Section 2, point (5), of Annex I, to process and remediate potential vulnerabilities in the product with digital elements reported from internal or external sources.

Where applicable, for consumer products with digital elements, those procedures shall include automatic security updates by default. Users should retain the possibility of de-activating those automatic security updates.

Manufacturers shall actively inform users when their product with digital elements has reached the end of its support period.

6a. *Where the support period is shorter than five years and the handling of vulnerabilities has ended, manufacturers may provide access to the source code of such a product with digital elements to other undertakings for free, which commit to extending the provision of vulnerability handling services, in particular security updates. Access to such source codes shall be provided only where provided for in a contractual arrangement. Those arrangements shall protect the ownership of the product with digital elements and shall prevent the dissemination of the source code*

Commented [OS121]: AM 335 Corrao

Commented [OS122]: Text mostly from Article 11(7)

Commented [OS123]: IMCO 72

Commented [ITRE124]: Recital to be added as regards to the lifetime

Commented [OS125]: AM 280 Virkkunen, Skyttedal, Štefaneč, et al

Commented [OS126]: editorial correction

Commented [OS127]: AM 56 Rapporteur

Commented [OS128]: AM 277 Gazzini, Borchia, Tovaglieri, et al., AM 275 Corrao

Commented [OS129]: AM 275 Corrao, IMCO 76

Commented [OS130]: AM 57 Rapporteur

Commented [OS131]: AM 58 Rapporteur

to the public, except where such code has already been provided under a free and open-source licence.

7. Before placing a product with digital elements on the market, manufacturers shall draw up the technical documentation referred to in Article 23.

They shall carry out the chosen conformity assessment procedures referred to in Article 24 or have them carried out.

Where compliance of the product with digital elements with the essential requirements set out in Section 1 of Annex I and of the processes put in place by the manufacturer with the essential requirements set out in Section 2 of Annex I has been demonstrated by that conformity assessment procedure, manufacturers shall draw up the EU declaration of conformity in accordance with Article 20 and affix the CE marking in accordance with Article 22.

8. Manufacturers shall keep the technical documentation and the EU declaration of conformity, ~~where relevant,~~ at the disposal of the market surveillance authorities for *at least* ten years *or the support period, whichever is longer,* after the product with digital elements has been placed on the market.

Market surveillance authorities shall ensure the confidentiality and appropriate protection of the information in the technical documentation provided by manufacturers in accordance with Article 52.

9. Manufacturers shall ensure that procedures are in place for products with digital elements that are part of a series of production to remain in conformity. The manufacturer shall adequately take into account changes in the development and production process or in the design or characteristics of the product with digital elements and changes in the harmonised *horizontal or sector specific* standards, European cybersecurity certification schemes or the common specifications referred to in Article 19 by reference to which the conformity of the product with digital elements is declared or by application of which its conformity is verified.

10. Manufacturers shall ensure that products with digital elements are accompanied by the information and instructions set out in Annex II, in an electronic or physical form. Such information and instructions shall be in a language which can be easily understood by users. They shall be clear, understandable, intelligible and legible. They shall allow for a secure installation, operation and use of the products with digital elements.

Where such information and instructions are provided in electronic form, manufacturers shall:

(a) present them in a user-friendly format that makes it possible for the user to consult them online, to download them, to save them on an electronic device and to print them;

(b) ensure that they are accessible online during at least the support period of the product with digital elements.

11. Manufacturers shall either provide the EU declaration of conformity with the product with digital elements or include in the instructions and information set out in Annex II the internet address at which the EU declaration of conformity can be accessed.

12. From the placing on the market and for *at least* the ~~expected product lifetime~~ *support period* ~~or for a period of five years after the placing on the market of a product with digital elements, whichever is shorter,~~ manufacturers who know or have reason to

Commented [OS132]: 16/06 Greens TBC

Commented [OS133]: AM 286 Corrao

Commented [OS134]: AM 286 Corrao

Commented [OS135]: AM 60 Rapporteur, AM 285 Covassi, Hajšel, Toia, et al.

Commented [OS136]: AM 284 Tošenovský

Commented [OS137]: AM 289 Covassi, Hajšel, Toia, et al

Commented [OS138]: AM 61 Rapporteur

Commented [OS139]: AM 62 Rapporteur, AM 295 Covassi, Hajšel, Toia, et al

believe that the product with digital elements or the processes put in place by the manufacturer are not in conformity with the essential requirements set out in Annex I shall immediately take the corrective measures necessary to bring that product with digital elements or the manufacturer's processes into conformity, to withdraw or to recall the product, as appropriate.

13. Manufacturers shall, further to a reasoned request from a market surveillance authority, provide that authority, in a language which can be easily understood by it, with all the information and documentation, in paper or electronic form, necessary to demonstrate the conformity of the product with digital elements and of the processes put in place by the manufacturer with the essential requirements set out in Annex I. They shall cooperate with that authority, at its request, on any measures taken to eliminate the cybersecurity risks posed by the product with digital elements, which they have placed on the market.
14. A manufacturer that ceases its operations and, as a result, is not able to comply with the obligations laid down in this Regulation shall inform, before the cease of operation takes effect, the relevant market surveillance authorities about this situation, as well as, by any means available and to the extent possible, the users of the concerned products with digital elements placed on the market.
15. The Commission, *after consulting the Expert Group and taking account of international standards,* ~~may, by means of implementing acts,~~ **is empowered to adopt delegated acts in accordance with Article 50 to** specify the format and elements of the software bill of materials set out in Section 2, point (1), of Annex I. ~~Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 51(2).~~

Commented [OS140]: AM 302 Tošenovský, AM 300 Groothuis

Commented [OS141]: AM 300 Groothuis

Commented [OS142]: AM 303 Covassi, Hajšel, Toia, et al.

Commented [OS143]: AM 63 Rapporteur

Commented [OS144]: AM 301 Corrao

Article 11 Reporting obligations of manufacturers

If adopted, all related AMs fall, meaning AMs 64-73, 182-214.

Article 11

Reporting obligations of manufacturers

1. The manufacturer shall, ~~without undue delay and in any event within 24 hours of becoming aware of it,~~ notify to ENISA any actively exploited vulnerability contained in the product with digital elements ***in accordance with paragraph 1a of this Article.*** ~~The notification shall include details concerning that vulnerability and, where applicable, any corrective or mitigating measures taken.~~ ENISA shall, without undue delay, unless for justified cybersecurity risk-related grounds, forward the notification to the CSIRT designated for the purposes of coordinated vulnerability disclosure in accordance with Article ~~12~~ ~~[Article X]~~ of Directive ~~[Directive XXX/XXXX (NIS2)]~~ ***(EU) 2022/2555*** of Member States concerned upon receipt and inform the market surveillance authority about the notified vulnerability. ***Where a notified vulnerability has no corrective or mitigating measures available, ENISA shall ensure that information about the notified vulnerability is shared in line with strict security protocols and on a need-to-know-basis.***
 - 1a. Notifications as referred to in paragraph 1 shall be subject to the following procedure:***
 - (a) an early warning, without undue delay and in any event within 24 hours of the manufacturer becoming aware of the existence of an actively exploited vulnerability, including whether any known corrective or recommended risk mitigating measure is available;***
 - (b) a vulnerability notification, without undue delay and in any event within 72 hours of the manufacturer becoming aware of the actively exploited vulnerability, which, where applicable, updates the general information referred to in point (a), including any corrective or mitigating measures taken and indicates an assessment of extent of the vulnerability, including its severity and impact;***
 - (c) a final report, within one month after the submission of the vulnerability notification under point (b) or when a corrective or mitigating measure is available, including at least the following:***
 - (i) a description of the vulnerability, including its severity and impact;***
 - (ii) where available, information concerning any actor that has exploited or that is exploiting the vulnerability;***
 - (iii) details about the security update or other corrective measures that have been made available to remedy the vulnerability.***
 - 1b. After a security update is made available or another form of corrective or mitigating measures is put in place, ENISA shall add the notified vulnerability pursuant to paragraph 1 to the European vulnerability database referred to in Article 12 of Directive (EU) 2022/2555.***
2. The manufacturer shall, ~~without undue delay and in any event within 24 hours of becoming aware of it,~~ notify to ENISA any ***significant*** incident having impact on the security of the product with digital elements ***in accordance with paragraph 2b of this Article.*** ENISA shall, without undue delay, unless for justified cybersecurity risk-

Commented [OS145]: AM 64 Rapporteur, AM 307 Virkkunen, Skyttedal, Štefanec, et al

Commented [OS146]: AM 308 Corrao

Commented [OS147]: AM 65 Rapporteur

Commented [OS148]: AM 66 Rapporteur, AM 310 Virkkunen, Skyttedal, Štefanec, et al.

related grounds, forward the notifications to the single point of contact designated in accordance with Article 8 ~~[Article X]~~ of Directive ~~[Directive XXX/XXXX (NIS2)]~~ (EU) 2022/2555 of the Member States concerned and inform the market surveillance authority about the notified incidents. The ~~incident~~ *mere act of* notification shall include information on the severity and impact of the incident and, where applicable, indicate whether the manufacturer suspects the incident to be caused by unlawful or malicious acts or considers it to have a cross border impact *not subject the notifying entity to increased liability*.

Commented [OS149]: AM 315 Virkkunen, Skyttedal, Štefanec, et al.

Commented [OS150]: AM 67 Rapporteur

Commented [OS151]: AM 313 Tošenovský

2a. *An incident shall be considered to be significant as referred to in paragraph 2, where:*

(a) it has caused or is capable of causing severe operational disruption of the production or the services for the manufacturer concerned, which would impact the security of a product; or

(b) it has affected or is capable of affecting other natural or legal persons by causing considerable material or non-material damage.

Commented [OS152]: AM 68 Rapporteur, AM 316 Virkkunen, Skyttedal, Štefanec, et al., AM 317 Tošenovský

2b. *Notifications as referred to in paragraph 2 shall be subject to the following procedure:*

(a) an early warning, without undue delay and in any event within 24 hours of the manufacturer becoming aware of the significant incident, which, where applicable, indicates whether the significant incident is suspected of being caused by unlawful or malicious acts or could have a cross-border impact;

(b) an incident notification, without undue delay and in any event within 72 hours of the manufacturer becoming aware of the significant incident, which, where applicable, updates the information referred to in point (a) and indicates an initial assessment of the significant incident, including its severity and impact, as well as, where available, the indicators of compromise;

(c) a final report, within one month after the submission of the incident notification under point (b), including at least the following:

(i) a detailed description of the incident, including its severity and impact;

(ii) the type of threat or root cause that is likely to have triggered the incident;

(iii) applied and ongoing mitigation measures;

(iv) where applicable, the cross-border impact of the incident;

In the event of an ongoing incident at the time of the submission of the final report referred to in point (d) of this paragraph, Member States shall ensure that the manufacturer concerned provides a progress report at that time and a final report within one month of their handling of the incident.

Commented [OS153]: AM 69 Rapporteur, AM 319 Virkkunen, Skyttedal, Štefanec, et al., AM 320 Tošenovský

2c. *Manufacturers that have notified significant incidents according to this Regulation and that are also identified as essential entities or important entities under the Directive (EU) 2022/2555 shall be deemed compliant with the requirements under Article 23 of Directive (EU) 2022/2555. ENISA shall forward the notifications received pursuant to this Regulation to the responsible CSIRT according to Directive (EU) 2022/2555. An entity may only be fined once for non-compliance with overlapping requirements.*

Commented [OS154]: AM 318 Groothuis, IMCO 83

- 2d. **Where necessary, ENISA or the relevant CSIRT may request manufacturers to provide an intermediate report on relevant status updates about the actively exploited vulnerability or significant incident.**
- 2e. **Manufacturers that qualify as micro, small or medium sized enterprises shall be exempted from paragraphs 1a(a) and 2b(a).**
3. ENISA shall submit to the European cyber crisis liaison organisation network (EU-CyCLONe) established by Article 16 ~~[Article X]~~ of Directive ~~[Directive XXX/XXXX (NIS2)]~~ (EU) 2022/2555 information notified pursuant to paragraphs 1 and 2 if such information is relevant for the coordinated management of large-scale cybersecurity incidents and crises at an operational level.
4. The manufacturer shall inform, without undue delay and after becoming aware, the impacted users of the product with digital elements, and where appropriate all users, about the significant incident and, where necessary, about risk mitigation and any corrective measures that the user can deploy to mitigate the impact of the significant incident.
- 4a. **ENISA shall ensure that notifications pursuant to paragraphs 1 and 2 are submitted via channels of communication and stored on servers that ensure the highest possible levels of cybersecurity and protection from malicious actors.**
- 4b. **Where public awareness is necessary to prevent a significant incident or to deal with an ongoing significant incident, or where disclosure of the significant incident is otherwise in the public interest, ENISA and, where appropriate, the CSIRTs or the competent authorities of the relevant Member States, may, after consulting the manufacturer concerned, inform the public about the significant incident or require the manufacturer to do so.**
5. The Commission ~~may, by means of implementing acts,~~ shall adopt delegated acts in accordance with Article 50 to specify further the ~~type of information,~~ format and procedure of the notifications submitted pursuant to paragraphs 1 and 2. Those ~~implementing delegated acts shall be adopted in accordance with the examination procedure referred to in Article 51(2) by ... [12 months of from the entry into force of this Regulation].~~
6. ENISA, on the basis of the notifications received pursuant to paragraphs 1 and 2, shall prepare a biennial technical report on emerging trends regarding cybersecurity risks in products with digital elements and submit it to the Cooperation Group referred to in Article 14 ~~[Article X]~~ of Directive ~~[Directive XXX/XXXX (NIS2)]~~ (EU) 2022/2555. The first such report shall be submitted within 24 months after the obligations laid down in paragraphs 1 and 2 start applying. **ENISA shall include relevant information from its technical reports in its report on the state of cybersecurity in the Union pursuant to Article 18 of Directive (EU) 2022/2555.**
- 6a. **ENISA shall establish a secure digital reporting mechanism, after having consulted the Expert Group, in order to simplify reporting obligations of manufacturers. This mechanism shall serve as a single entry point for reporting obligations established under this Regulation and, where possible, other Union legislation.**
7. ~~Manufacturers shall, upon identifying a vulnerability in a component, including in an open source component, which is integrated in the product with digital elements, report the vulnerability to the person or entity maintaining the component.~~

Commented [OS155]: New proposal by the Rapporteur

Commented [OS156]: AM 325 Groothuis

Commented [OS157]: AM 327 Corrao

Commented [OS158]: AM 70 Rapporteur, AM 326 Virkkunen, Skyttedal, Štefanec, et al.

Commented [OS159]: AM 71 Rapporteur

Commented [OS160]: AM 331 Tošenovský

Commented [OS161]: AM 333 Covassi, Hajšel, Toia, et al.

Commented [OS162]: AM 72 Rapporteur

Commented [OS163]: AM 73 Rapporteur

Commented [OS164]: AM 337 Virkkunen, Skyttedal, Štefanec, et al.

Commented [OS165]: AM 339 Tošenovský

Article 11a

Voluntary notification

- 1. In addition to the notification obligations set out in Article 11, notifications may be submitted to ENISA on a voluntary basis by the following:**
 - (a) manufacturers, with regard to incidents, cyber threats and near misses;**
 - (b) entities other than those referred to in point (a), regardless of whether they fall within the scope of this Regulation, with regard to significant and non-significant incidents, cyber threats and near misses;**
 - (c) any actor with regard to vulnerabilities which may be included in the European vulnerability database referred to in Article 12 of Regulation 2022/255.**
- 2. ENISA shall process the notifications referred to in paragraph 1a of this Article in accordance with the procedure laid down in Article 11. ENISA may prioritise the processing of mandatory notifications over voluntary notifications.**
- 3. In order to simplify the voluntary notifications, it shall be possible to notify these through the secure digital reporting mechanism referred to in Article 11 (7a).**
- 4. Where appropriate, ENISA shall ensure the confidentiality and appropriate protection of the information provided by the notifying entity. Without prejudice to the prevention, investigation, detection and prosecution of criminal offences, voluntary reporting shall not result in the imposition of any additional obligations upon the notifying entity to which it would not have been subject had it not submitted the notification.**

Commented [OS166]: AM 74 Rapporteur

Article 11b

Point of single contact for users

- 1. In order to facilitate reporting on the security of products, manufacturers shall designate a point of single contact to enable users to communicate directly and rapidly with them, where applicable by electronic means and in a user-friendly manner, including by allowing users of the product to choose the means of communication set out in Annex II (point 1), which shall not solely rely on automated tools.**
- 2. In addition to the obligations provided under Directive 2000/31/EC, manufacturers shall make public the information necessary for the end users in order to easily identify and communicate with their points of single contact. That information shall be easily accessible and shall be kept up to date.**

Commented [OS167]: AM 338 Corrao

Article 12 Authorised representatives

If adopted, all related AMs fall, meaning AM 340.

Article 12

Authorised representatives

1. A manufacturer may appoint an authorised representative by a written mandate.
2. The obligations laid down in Article 10(1) to (7) first indent and (9) shall not form part of the authorised representative's mandate.
3. An authorised representative shall perform the tasks specified in the mandate received from the manufacturer. ***It shall provide a copy of the mandate to the market surveillance authorities upon request.*** The mandate shall allow the authorised representative to do at least the following:
 - (a) keep the EU declaration of conformity referred to in Article 20 and the technical documentation referred to in Article 23 at the disposal of the market surveillance authorities for ten years after the product with digital elements has been placed on the market;
 - (aa) where the authorised representative has a reason to believe that a product with digital elements in question presents a cybersecurity risk, inform the manufacturer;***
 - (b) further to a reasoned request from a market surveillance authority, provide that authority with all the information and documentation necessary to demonstrate the conformity of the product with digital elements;
 - (c) cooperate with the market surveillance authorities, at their request, on any action taken to ***effectively*** eliminate the risks posed by a product with digital elements covered by the authorised representative's mandate.

Commented [OS168]: IMCO 90

Commented [OS169]: IMCO 91

Commented [OS170]: IMCO 93

Article 13 Obligations of importers

If adopted, all related AMs fall, meaning AMs 341-348.

Article 13

Obligations of importers

1. Importers shall only place on the market products with digital elements that comply with the essential requirements set out in Section 1 of Annex I and where the processes put in place by the manufacturer are compliant with the essential requirements set out in Section 2 of Annex I.
2. Before placing a product with digital elements on the market, importers shall ensure that:
 - (a) the appropriate conformity assessment procedures referred to in Article 24 have been carried out by the manufacturer;
 - (b) the manufacturer has drawn up the technical documentation;
 - (c) the product with digital elements bears the CE marking referred to in Article 22, **the EU conformity declaration of conformity is available and the product is accompanied by the information and instructions for use as set out in Annex II;**
(ca) all the documents proving the fulfilment of the requirements set in this Article have been received from the manufacturer.
3. Where an importer considers or has reason to believe that a product with digital elements or the processes put in place by the manufacturer are not in conformity with the essential requirements set out in Annex I, the importer shall not place the product on the market until that product or the processes put in place by the manufacturer have been brought into conformity with the essential requirements set out in Annex I. Furthermore, where the product with digital elements presents a significant cybersecurity risk, the importer shall inform the manufacturer and the market surveillance authorities to that effect.
On the basis of targeted recommendations received by market surveillance authorities or by the Commission in accordance with Article 43 and 45, an importer shall apply such recommendations, including withdraw or recall the product. Additionally, where an importer considers or has reasons to believe that a product with digital elements may present a cybersecurity risk in light of non-technical risk factors, it shall withdraw or recall that product. Importers shall inform the market surveillance authorities and the Commission to that effect.
4. Importers shall indicate their name, registered trade name or registered trademark, the postal address and the email address, **and where available, the website**, at which they can be contacted on the product with digital elements or, ~~where that is not possible,~~ on its packaging or in a document accompanying the product with digital elements. The contact details shall be in a language easily understood by users and market surveillance authorities.
5. Importers shall ensure that the product with digital elements is accompanied by the instructions and information set out in Annex II in a language which can be easily understood by users.
6. Importers who know or have reason to believe that a product with digital elements, which they have placed on the market, or the processes put in place by its

Commented [OS171]: AM 342 Corrao, IMCO 94

Commented [OS172]: Rapporteur's revised proposal on non-technical risk factors

Commented [OS173]: Annex II to be aligned accordingly.

manufacturer, are not in conformity with the essential requirements set out in Annex I shall immediately **request the manufacturer to** take the corrective measures necessary to bring that product with digital elements or the processes put in place by its manufacturer into conformity with the essential requirements set out in Annex I, or to withdraw or recall the product, if appropriate.

Commented [OS174]: AM 346 Virkkunen, Skyttedal, Štefanec, et al.

- 6a. Upon **becoming aware of identifying** a vulnerability in the product with digital elements, importers shall inform the manufacturer without undue delay about that vulnerability. Furthermore, where the product with digital elements presents a significant cybersecurity risk, importers shall immediately inform the market surveillance authorities of the Member States in which they made the product with digital elements available on the market to that effect, giving details, in particular, of the non-conformity and of any corrective measures taken.
7. Importers shall, for ten years after the product with digital elements has been placed on the market, keep a copy of the EU declaration of conformity at the disposal of the market surveillance authorities and ensure that the technical documentation can be made available to those authorities, upon request.
8. Importers shall, further to a reasoned request from a market surveillance authority, provide it with all the information and documentation, in paper or electronic form, necessary to demonstrate the conformity of the product with digital elements with the essential requirements set out in Section 1 of Annex I as well as of the processes put in place by the manufacturer with the essential requirements set out in Section 2 of Annex I in a language that can be easily understood by that authority. They shall cooperate with that authority, at its request, on any measures taken to eliminate the cybersecurity risks posed by a product with digital elements, which they have placed on the market.
9. When the importer of a product with digital elements becomes aware that the manufacturer of that product ceased its operations and, as result, is not able to comply with the obligations laid down in this Regulation, the importer shall inform the relevant market surveillance authorities about this situation, as well as, by any means available and to the extent possible, the users of the products with digital elements placed on the market.

Article 14 Obligations of distributors

If adopted, all related AMs fall, meaning AMs 75-77 and 349-355.

Article 14

Obligations of distributors

1. When making a product with digital elements available on the market, distributors shall act with due care in relation to the requirements of this Regulation.
2. Before making a product with digital elements available on the market, distributors shall verify that:
 - (a) the product with digital elements bears the CE marking;
 - (b) the manufacturer and the importer have complied with the obligations set out respectively in Articles 10(10), 10(11) and 13(4), **and have communicated all relevant documents to the distributor;**
3. Where a distributor considers or has reason to believe, **on the basis of information in their possession**, that a product with digital elements or the processes put in place by the manufacturer are not in conformity with the essential requirements set out in Annex I, the distributor shall not make the product with digital elements available on the market until that product or the processes put in place by the manufacturer have been brought into conformity. Furthermore, where the product with digital elements poses a significant cybersecurity risk, the distributor shall inform the manufacturer and the market surveillance authorities to that effect.
4. Distributors who know or have reason to believe, **on the basis of information in their possession**, that a product with digital elements, which they have made available on the market, or the processes put in place by its manufacturer are not in conformity with the essential requirements set out in Annex I shall ~~make sure that the~~ **request the manufacturer to take** corrective measures necessary to bring that product with digital elements or the processes put in place by its manufacturer into conformity are taken, or to withdraw or recall the product, if appropriate.
- 4a. Upon **becoming aware of identifying** a vulnerability in the product with digital elements, distributors shall inform the manufacturer without undue delay about that vulnerability. Furthermore, where the product with digital elements presents a significant cybersecurity risk, distributors shall immediately inform the market surveillance authorities of the Member States in which they have made the product with digital elements available on the market to that effect, giving details, in particular, of the non-conformity and of any corrective measures taken.
5. Distributors shall, further to a reasoned request from a market surveillance authority, provide it with all the information and documentation, in paper or electronic form, necessary to demonstrate the conformity of the product with digital elements and the processes put in place by its manufacturer with the essential requirements set out in Annex I in a language that can be easily understood by that authority. They shall cooperate with that authority, at its request, on any measures taken to eliminate the cybersecurity risks posed by a product with digital elements, which they have made available on the market.
6. **On the basis of information in their possession**, when the distributor of a product with digital elements becomes aware that the manufacturer of that product ceased its operations and, as result, is not able to comply with the obligations laid down in this

Commented [OS175]: AM 75 Rapporteur

Commented [OS176]: AM 76 Rapporteur

Commented [OS177]: AM 352 Virkkunen, Skyttedal, Štefanec, et al

Commented [OS178]: AM 77 Rapporteur

Regulation, the distributor shall inform the relevant market surveillance authorities about this situation, as well as, by any means available and to the extent possible, the users of the products with digital elements placed on the market.

Article 15 Cases in which obligations of manufacturers apply to importers and distributors

No AM tabled.

Article 15

Cases in which obligations of manufacturers apply to importers and distributors

An importer or distributor shall be considered a manufacturer for the purposes of this Regulation and shall be subject to the obligations of the manufacturer set out in Articles 10 and 11(1), (2), (4) and (7) where that importer or distributor places a product with digital elements on the market under his or her name or trademark or carries out a substantial modification of the product with digital elements already placed on the market.

Article 16 Other cases in which obligations of manufacturers apply

If adopted, all related AMs fall, meaning AM 356-360.

Article 16

Other cases in which obligations of manufacturers apply

A natural or legal person, other than the manufacturer, the importer or the distributor, that carries out a substantial modification of the product with digital elements **and makes it available on the market**, shall be considered a manufacturer for the purposes of this Regulation.

That person shall be subject to the obligations of the manufacturer set out in Articles 10 and 11(1), (2), (4) and (7), for the part of the product that is affected by the substantial modification or, if the substantial modification has an impact on the cybersecurity of the product with digital elements as a whole, for the entire product.

Commented [OS179]: AM 359 Rapporteur, AM 357 Botenga, AM 358 Gazzini, Borchia, Tovaglieri, et al, AM 360 Covassi, Hajšel, Toia, et al., IMCO 99

Article 17 Identification of economic operators

If adopted, all related AMs fall, meaning AMs 361.

Article 17

Identification of economic operators

1. Economic operators shall, on request ~~and where the information is available~~, provide to the market surveillance authorities the following information:
 - (a) name and address of any economic operator who has supplied them with a product with digital elements;
 - (b) name and address of any economic operator to whom they have supplied a product with digital elements;
2. Economic operators shall be able to present the information referred to in paragraph 1 for ten years after they have been supplied with the product with digital elements and for ten years after they have supplied the product with digital elements.

Commented [OS180]: AM 361 Corrao

Article 17a

Guidelines

1. *In order to create clarity and certainty for and consistency among the practices of economic operators, the Commission shall prepare and issue guidelines for economic operators, explaining how to apply this Regulation, with a particular focus on how to facilitate compliance by micro, small and medium-sized enterprises.*
2. *The guidelines shall be published by ... [12 months after the entry into force of this Regulation] and shall be updated as necessary, in particular in light of potential amendments to the list of critical products set out in Annex III. They shall contain at least the following elements:*
 - (a) a detailed explanation of the scope with particular focus on remote data processing solutions and free and open-source software;*
 - (b) detailed criteria used to determine how critical products with digital elements are placed in classes I or II;*
 - (c) the interplay between this Regulation and other Union law, particularly concerning presumptions of conformity and conformity assessments;*
 - (d) guidance for manufacturers on how to perform the cybersecurity risk assessment referred to in Article 10(2) and on the applicability of the essential requirements including where available best practices ;*
 - (e) guidance for manufacturers on how to determine appropriately the support period for different product categories in line with the criteria from article 10(6);*
 - (f) an explanation of how to handle reporting requirements pursuant to this Regulation or to other Union law;*
 - (g) the list of the delegated and implementing acts published by the Commission pursuant to this Regulation;*
 - (h) guidance for Member States on the non-prosecution of information security researchers.*
 - (i) guidance on what constitutes substantial modifications.*
3. *When preparing the guidelines pursuant to this Article, the Commission shall consult the Expert Group.*

Commented [OS181]: AM 78 Rapporteur, IMCO 114

COMPROMISE AMENDMENT 3: Chapter 3 (Articles 18-24)

CHAPTER III

CONFORMITY OF THE PRODUCT WITH DIGITAL ELEMENTS

Article 18 Presumption of conformity

If adopted, all related AMs fall, meaning AMs 363-366.

Article 18

Presumption of conformity

1. Products with digital elements and processes put in place by the manufacturer which are in conformity with harmonised standards or parts thereof the references of which have been published in the *Official Journal of the European Union* shall be presumed to be in conformity with the essential requirements covered by those standards or parts thereof, set out in Annex I.

The Commission shall in accordance with Article 10(1) of Regulation (EU) 1025/2012 request one or more European standardisation organisations to draft harmonised standards for the essential requirements set out in Annex I. When preparing the standardisation request for this Regulation, the Commission shall strive to take into account existing or imminent international standards for cybersecurity in order to simplify the development of harmonised standards.

2. Products with digital elements and processes put in place by the manufacturer, which are in conformity with the common specifications referred to in Article 19 shall be presumed to be in conformity with the essential requirements set out in Annex I, to the extent those common specifications cover those requirements.
3. Products with digital elements and processes put in place by the manufacturer for which an EU statement of conformity or certificate has been issued under a European cybersecurity certification scheme adopted as per Regulation (EU) 2019/881 and specified as per paragraph 4, shall be presumed to be in conformity with the essential requirements set out in Annex I in so far as the EU statement of conformity or cybersecurity certificate, or parts thereof, cover those requirements.
4. The Commission is empowered, by means of ~~implementing~~ **delegated** acts, to specify the European cybersecurity certification schemes adopted pursuant to Regulation (EU) 2019/881 that can be used to demonstrate conformity **of products with digital elements** with the essential requirements or parts thereof as set out in Annex I. Furthermore, ~~where applicable, the Commission shall specify if~~ **the issuance of** a cybersecurity certificate issued under such schemes, **at assurance level 'substantial' or 'high'**, eliminates the obligation of a manufacturer to carry out a third-party conformity assessment for the corresponding requirements, as set out in Article 24(2)(a), (b), (3)(a) and (b). Those ~~implementing~~ **delegated** acts shall be adopted in accordance with the examination procedure referred to in Article ~~50~~ **51(2)**.

Commented [OS182]: AM 363 Tošenovský, IMCO 100, IMCO 101

Commented [OS183]: AM 366 Grudler, Hayer

Article 19 Common specifications

If adopted, all related AMs fall, meaning AMs 79 and 367-370.

Article 19

Common specifications

- 1.** ~~Where harmonised standards referred to in Article 18 do not exist or where~~ The Commission considers that the relevant harmonised standards are insufficient to satisfy ~~the~~ *is empowered to adopt delegated acts in accordance with Article 50 to establish common specifications that cover technical requirements of this Regulation or providing a means to comply with the standardisation request of the Commission, or where there are undue delays in the standardisation procedure or requirements set out in Annex I for products within the scope of this Regulation* where the request for harmonised standards by the Commission has not been accepted by the European standardisation organisations, the Commission is empowered, by means of implementing acts, to adopt common specifications in respect of the essential requirements set out in Annex I. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 51(2), *following conditions have been fulfilled:*
- (a) *the Commission has requested, pursuant to Article 10(1) of Regulation (EU) No 1025/2012, one or more European standardisation organisations to draft a harmonised standard for the essential requirements set out in Annex I and the request has not been accepted or the European standardisation deliverables addressing that request is not delivered within the deadline set in accordance with Article 10(1) of Regulation (EU) No 1025/2012 or European standardisation deliverables do not comply with the request; and*
- (b) *no reference to harmonised standards covering the relevant essential requirements set out in Annex I is published in the Official Journal of the European Union in accordance with Regulation (EU) No 1025/2012 and no such reference is expected to be published within a reasonable period.*
- 2.** *Before preparing the delegated act, the Commission shall inform the Expert Group that it considers that the conditions in paragraph 1 are fulfilled. In preparing the delegated acts, the Commission shall take into account the opinions of the Expert Group.*
- 3.** *Where a harmonised standard is adopted by a European standardisation organisation and proposed to the Commission for the publication of its reference in the Official Journal of the European Union, the Commission shall assess the harmonised standard in accordance with Regulation (EU) No 1025/2012. When reference to a harmonised standard is published in the Official Journal of the European Union, the Commission shall repeal the relevant delegated acts referred to in paragraph 1, or the parts thereof which cover the same essential requirements set out in Annex I.*

Commented [OS184]: AM 370 Covassi, Hajšel, Toia, et al.

Commented [OS185]: AM 370 Covassi, Hajšel, Toia, et al.

Commented [OS186]: AM 369 Gazzini, Borchia, Tovaglieri, et al.

Commented [OS187]: AM 79 Rapporteur, IMCO 102, IMCO 103, IMCO 104, IMCO 105

Article 20 EU declaration of conformity

If adopted, all related AMs fall, meaning AM 80.

Article 20

EU declaration of conformity

1. The EU declaration of conformity shall be drawn up by manufacturers in accordance with Article 10(7) and state that the fulfilment of the applicable essential requirements set out in Annex I has been demonstrated.
2. The EU declaration of conformity shall have the model structure set out in Annex IV and shall contain the elements specified in the relevant conformity assessment procedures set out in Annex VI. Such a declaration shall be ~~continuously~~ updated *as appropriate*. It shall be made available in the language or languages required by the Member State in which the product with digital elements is placed on the market or made available.
3. Where a product with digital elements is subject to more than one Union act requiring an EU declaration of conformity, a single EU declaration of conformity shall be drawn up in respect of all such Union acts. That declaration shall contain the identification of the Union acts concerned, including their publication references.
4. By drawing up the EU declaration of conformity, the manufacturer shall assume responsibility for the compliance of the product.
5. The Commission is empowered to adopt delegated acts in accordance with Article 50 to supplement this Regulation by adding elements to the minimum content of the EU declaration of conformity set out in Annex IV to take account of technological developments.

Commented [OS188]: IMCO 107

Article 21 General principles of the CE marking

IMCO shared competence.

No AM tabled.

Article 21

General principles of the CE marking

The CE marking as defined in Article 3(32) shall be subject to the general principles set out in Article 30 of Regulation (EC) No 765/2008.

Article 22 Rules and conditions for affixing the CE marking

IMCO shared competence.

No AM tabled.

Article 22

Rules and conditions for affixing the CE marking

1. The CE marking shall be affixed visibly, legibly and indelibly to the product with digital elements. Where that is not possible or not warranted on account of the nature of the product with digital elements, it shall be affixed to the packaging and to the EU declaration of conformity referred to in Article 20 accompanying the product with digital elements. For products with digital elements which are in the form of software, the CE marking shall be affixed either to the EU declaration of conformity referred to in Article 20 or on the website accompanying the software product. ***In the latter case, the relevant section of the website shall be easily and directly accessible to consumers.***
2. On account of the nature of the product with digital elements, the height of the CE marking affixed to the product with digital elements may be lower than 5 mm, provided that it remains visible and legible.
3. The CE marking shall be affixed before the product with digital elements is placed on the market. It may be followed by a pictogram or any other mark indicating a special risk or use set out in implementing acts referred to in paragraph 6.
4. The CE marking shall be followed by the identification number of the notified body, where that body is involved in the conformity assessment procedure based on full quality assurance (based on module H) referred to in Article 24.
The identification number of the notified body shall be affixed by the body itself or, under its instructions, by the manufacturer or the manufacturer's authorised representative.
5. Member States shall build upon existing mechanisms to ensure correct application of the regime governing the CE marking and shall take appropriate action in the event of improper use of that marking. Where the product with digital elements is subject to other Union legislation which also provides for the affixing of the CE marking, the CE marking shall indicate that the product also fulfils the requirements of that other legislation.
6. ***After consulting the Expert Group, ADCO and, where necessary, other relevant stakeholders,*** the Commission may, by means of implementing acts, lay down technical specifications for ***labelling schemes, including harmonised labels,*** pictograms or any other marks related to the security of the products with digital elements, ***their support period*** and mechanisms to promote their use ***among businesses and consumers and to increase public awareness about security of products with digital elements.*** Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 51(2).

Commented [OS189]: IMCO 109

Commented [OS190]: AM 276 Tošenovský

Commented [OS191]: IMCO 112

Commented [OS192]: IMCO 112

Article 23 Technical documentation

If adopted, all related AMs fall, meaning AMs 81, 82 and 371-374.

Article 23

Technical documentation

1. The technical documentation shall contain all relevant data or details of the means used by the manufacturer to ensure that the product with digital elements and the processes put in place by the manufacturer comply with the essential requirements set out in Annex I. It shall at least contain the elements set out in Annex V.
2. The technical documentation shall be drawn up before the product with digital elements is placed on the market and shall be continuously updated, where appropriate, during *at least* the ~~expected product lifetime~~ *support period* ~~or during a period of five years after the placing on the market of a product with digital elements, whichever is shorter.~~
3. For products with digital elements referred to in Articles 8 and 24(4) that are also subject to other Union acts, one single technical documentation shall be drawn up containing the information referred to in Annex V of this Regulation and the information required by those respective Union acts.
4. The technical documentation and correspondence relating to any conformity assessment procedure shall be drawn up in an official language of the Member State in which the notified body is established or in a language acceptable to that body.
5. The Commission is empowered to adopt delegated acts in accordance with Article 50 to supplement this Regulation by the elements to be included in the technical documentation set out in Annex V to take account of technological developments, as well as developments encountered in the implementation process of this Regulation. ***The Commission shall ensure that the administrative burden on micro, small and medium sized enterprises is proportionate.***

Commented [OS193]: AM 81 Rapporteur, AM 371 Covassi, Hajšel, Toia, et al

Commented [OS194]: AM 82 Rapporteur, AM 373 Virkkunen, Skyttedal, Štefanec, et al., IMCO 117

Article 24 Conformity assessment procedures for products with digital elements

If adopted, all related AMs fall, meaning AMs 83-85 and 375-385.

Article 24

Conformity assessment procedures for products with digital elements

1. The manufacturer shall perform a conformity assessment of the product with digital elements and the processes put in place by the manufacturer to determine whether the essential requirements set out in Annex I are met. The manufacturer or the manufacturer's authorised representative shall demonstrate conformity with the essential requirements by using one of the following procedures:
 - (a) the internal control procedure (based on module A) set out in Annex VI; or
 - (b) the EU-type examination procedure (based on module B) set out in Annex VI followed by conformity to EU-type based on internal production control (based on module C) set out in Annex VI; or
 - (c) conformity assessment based on full quality assurance (based on module H) set out in Annex VI;
 - (ca) a European cybersecurity certification scheme adopted as per Regulation (EU) 2019/881 in accordance with paragraph 4 of Article 18.**
2. Where, in assessing the compliance of the critical product with digital elements of class I as set out in Annex III and the processes put in place by its manufacturer with the essential requirements set out in Annex I, the manufacturer or the manufacturer's authorised representative has not applied or has applied only in part harmonised standards, common specifications or European cybersecurity certification schemes **at assurance level 'substantial' or 'high'** as referred to in Article 18, or where such harmonised standards, common specifications or European cybersecurity certification schemes do not exist, the product with digital elements concerned and the processes put in place by the manufacturer shall be submitted with regard to those essential requirements to either of the following procedures:
 - (a) EU-type examination procedure (based on module B) provided for in Annex VI followed by conformity to EU-type based on internal production control (based on module C) set out in Annex VI; or
 - (b) conformity assessment based on full quality assurance (based on module H) set out in Annex VI.
- 2a. Harmonised standards, common specifications or European cybersecurity certification schemes shall be in place for six months before the conformity assessment procedure referred to in paragraph 2 applies. In the six months prior to the application of paragraph 2, or where, harmonised standards, common specifications or European cybersecurity certification schemes do not exist, manufacturers shall demonstrate the conformity of the critical product with digital elements of Class I as set out in Annex III via the procedure referred to in paragraph 1.**
3. Where the product is a critical product with digital elements of class II as set out in Annex III, the manufacturer or the manufacturer's authorised representative shall demonstrate conformity with the essential requirements set out in Annex I by using one of the following procedures:

Commented [OS195]: AM 375 Corrao, IMCO 118

Commented [OS196]: AM 377 Corrao

Commented [OS197]: AM 83 Rapporteur

(-a) a European cybersecurity certificate, under a European cybersecurity certification scheme at assurance level ‘substantial’ or ‘high’ pursuant to Regulation (EU) 2019/881;

- (a) EU-type examination procedure (based on module B) set out in Annex VI followed by conformity to EU-type based on internal production control (based on module C) set out in Annex VI; or
- (b) conformity assessment based on full quality assurance (based on module H) set out in Annex VI;

3a *The Commission shall request ENISA to prepare the missing candidate schemes in accordance with Article 48 of the (EU) 2019/881 Regulation.*

4. Manufacturers of products with digital elements that are classified as EHR systems under the scope of Regulation [the European Health Data Space Regulation] shall demonstrate conformity with the essential requirements laid down in Annex I of this Regulation using the relevant conformity assessment procedure as required by Regulation [Chapter III of the European Health Data Space Regulation].

5. Notified bodies shall take into account the specific interests and needs of *micro*, small and medium sized enterprises (SMEs) when setting the fees for conformity assessment procedures and reduce those fees proportionately to their specific interests and needs. *The Commission shall ensure appropriate financial support in the regulatory framework of existing Union programmes, in particular in order to ease the financial burden on micro, small and medium-sized enterprises.*

Commented [OS198]: AM 379 Corrao, IMCO 120

Commented [OS199]: AM 380 Botenga, AM 381 Corrao

Commented [OS200]: AM 385 Covassi, Hajšel, Toia, et al

Commented [OS201]: AM 84 Rapporteur, AM 382 Corrao, AM 387 Virkkunen, Skyttedal, Ștefanec, et al., IMCO 122

Article 24a

Mutual recognition agreements

In order to promote international trade, the Commission shall endeavour to conclude Mutual Recognition Agreements (MRAs) with third countries. MRAs shall be established only between the Union and third countries that are on a comparable level of technical development and have a compatible approach concerning conformity assessment. They shall ensure the same level of protection as that provided for by this Regulation.

COMPROMISE AMENDMENT 4: Chapter 4 (Articles 25–40)

CHAPTER IV

NOTIFICATION OF CONFORMITY ASSESSMENT BODIES

Article 25 Notification

IMCO shared competence.

If adopted, all related AMs fall, meaning AM 386.

Article 25

Notification

Member States shall notify the Commission and the other Member States of conformity assessment bodies authorised to carry out conformity assessments in accordance with this Regulation.

Article 26 Notifying authorities

IMCO shared competence.

No AMs tabled.

Article 26

Notifying authorities

1. Member States shall designate a notifying authority that shall be responsible for setting up and carrying out the necessary procedures for the assessment and notification of conformity assessment bodies and the monitoring of notified bodies, including compliance with Article 31.
2. Member States may decide that the assessment and monitoring referred to in paragraph 1 shall be carried out by a national accreditation body within the meaning of and in accordance with Regulation (EC) No 765/2008.

Article 27 Requirements relating to notifying authorities

IMCO shared competence.

No AMs tabled.

Article 27

Requirements relating to notifying authorities

1. A notifying authority shall be established in such a way that no conflict of interest with conformity assessment bodies occurs.
2. A notifying authority shall be organised and shall function so as to safeguard the objectivity and impartiality of its activities.
3. A notifying authority shall be organised in such a way that each decision relating to notification of a conformity assessment body is taken by competent persons different from those who carried out the assessment.
4. A notifying authority shall not offer or provide any activities that conformity assessment bodies perform or consultancy services on commercial or competitive basis.
5. A notifying authority shall safeguard the confidentiality of the information it obtains.
6. A notifying authority shall have a sufficient number of competent personnel at its disposal for the proper performance of its tasks.

6a. *A notifying authority shall minimize bureaucracy and fees, especially for micro, small and medium-sized enterprises.*

Commented [OS202]: IMCO 126

Article 28 Information obligation on notifying authorities

IMCO shared competence.

If adopted, all related AMs fall, meaning AM 387.

Article 28

Information obligation on notifying authorities

1. Member States shall inform the Commission of their procedures for the assessment and notification of conformity assessment bodies and the monitoring of notified bodies, and of any changes thereto.

1a. *Member States shall, at the latest [12 months] before the date of application specified in Article 57, ensure that there is a sufficient number of notified bodies in the Union to carry out conformity assessments, in order to avoid bottlenecks and hindrances to market entry.*

2. The Commission shall make that information publicly available.

Commented [OS203]: AM 387 Virkkunen, Skyttedal, Štefanec, et al., IMCO 127

Article 29 Requirements relating to notified bodies

IMCO shared competence.

If adopted, all related AMs fall, meaning AM 86, 87 and 388-392.

Article 29

Requirements relating to notified bodies

1. For the purposes of notification, a conformity assessment body shall meet the requirements laid down in paragraphs 2 to 12.
2. A conformity assessment body shall be established under national law and have legal personality.
3. A conformity assessment body shall be a third-party body independent of the organisation or the product it assesses.
A body belonging to a business association or professional federation representing undertakings involved in the design, development, production, provision, assembly, use or maintenance of products with digital elements which it assesses, may, on condition that its independence and the absence of any conflict of interest are demonstrated, be considered such a body.
4. A conformity assessment body, its top level management and the personnel responsible for carrying out the conformity assessment tasks shall not be the designer, developer, manufacturer, supplier, installer, purchaser, owner, user or maintainer of the products with digital elements which they assess, nor the authorised representative of any of those parties. This shall not preclude the use of assessed products that are necessary for the operations of the conformity assessment body or the use of such products for personal purposes.
A conformity assessment body, its top level management and the personnel responsible for carrying out the conformity assessment tasks shall not be directly involved in the design, development, production, the marketing, installation, use or maintenance of those products, or represent the parties engaged in those activities. They shall not engage in any activity that may conflict with their independence of judgement or integrity in relation to conformity assessment activities for which they are notified. This shall in particular apply to consultancy services.
Conformity assessment bodies shall ensure that the activities of their subsidiaries or subcontractors do not affect the confidentiality, objectivity or impartiality of their conformity assessment activities.
5. Conformity assessment bodies and their personnel shall carry out the conformity assessment activities with the highest degree of professional integrity and the requisite technical competence in the specific field and shall be free from all pressures and inducements, particularly financial, which might influence their judgement or the results of their conformity assessment activities, especially as regards persons or groups of persons with an interest in the results of those activities.
6. A conformity assessment body shall be capable of carrying out all the conformity assessment tasks referred to in Annex VI and in relation to which it has been notified, regardless of whether those tasks are carried out by the conformity assessment body itself or on its behalf and under its responsibility.

At all times and for each conformity assessment procedure and each kind or category of products with digital elements in relation to which it has been notified, a conformity assessment body shall have at its disposal the necessary:

- (a) staff with technical knowledge and sufficient and appropriate experience to perform the conformity assessment tasks;
- (b) descriptions of procedures in accordance with which conformity assessment is carried out, ensuring the transparency and the ability of reproduction of those procedures. It shall have appropriate policies and procedures in place that distinguish between tasks it carries out as a notified body and other activities;
- (c) procedures for the performance of activities which take due account of the size of an undertaking, the sector in which it operates, its structure, the degree of complexity of the product technology in question and the mass or serial nature of the production process.

It shall have the means necessary to perform the technical and administrative tasks connected with the conformity assessment activities in an appropriate manner and shall have access to all necessary equipment or facilities.

7. The personnel responsible for carrying out conformity assessment activities shall have the following:

- (a) sound technical and vocational training covering all the conformity assessment activities in relation to which the conformity assessment body has been notified;
- (b) satisfactory knowledge of the requirements of the assessments they carry out and adequate authority to carry out those assessments;
- (c) appropriate knowledge and understanding of the essential requirements *set out in Annex I*, of the applicable harmonised standards and of the relevant provisions of Union harmonisation legislation and of its implementing acts;
- (d) the ability to draw up certificates, records and reports demonstrating that assessments have been carried out.

Commented [OS204]: AM 388 Tošenovský

7a. Member States and the Commission shall put in place appropriate measures to ensure sufficient availability of skilled professionals, in order to minimise bottlenecks in the activities of conformity assessment bodies and facilitate the compliance of economic operators with this Regulation.

Commented [OS205]: AM 86 Rapporteur

Commented [OS206]: AM 389 Covassi, Hajsel, Toia et al

8. The impartiality of the conformity assessment bodies, their top level management and of the assessment personnel shall be guaranteed.

The remuneration of the top level management and assessment personnel of a conformity assessment body shall not depend on the number of assessments carried out or on the results of those assessments.

9. Conformity assessment bodies shall take out liability insurance unless liability is assumed by the State in accordance with national law, or the Member State itself is directly responsible for the conformity assessment.

10. The personnel of a conformity assessment body shall observe professional secrecy with regard to all information obtained in carrying out their tasks under Annex VI or any provision of national law giving effect to it, except in relation to the market surveillance authorities of the Member State in which its activities are carried out. Proprietary rights shall be protected *in line with Article 52*. The conformity assessment body shall have documented procedures ensuring compliance with this paragraph.

Commented [OS207]: IMCO 128

11. Conformity assessment bodies shall participate in, or ensure that their assessment personnel are informed of, the relevant standardisation activities and the activities of the notified body coordination group established under Article 40 and apply as general guidance the administrative decisions and documents produced as a result of the work of that group.
12. Conformity assessment bodies shall operate in accordance with a set of consistent, fair and reasonable terms and conditions *in line with Article 37(2)*, in particular taking into account the interests of *SMEs micro, small and medium-sized enterprises* in relation to fees.

Commented [OS208]: AM 392 Tošenovský

Commented [OS209]: AM 87 Rapporteur, AM 390 Covassi, Hajšel, Toia, et al., AM 391 Corrao

Article 30 Presumption of conformity of notified bodies

IMCO shared competence.

No AM tabled.

Article 30

Presumption of conformity of notified bodies

Where a conformity assessment body demonstrates its conformity with the criteria laid down in the relevant harmonised standards or parts thereof the references of which have been published in the *Official Journal of the European Union* it shall be presumed to comply with the requirements set out in Article 29 in so far as the applicable harmonised standards cover those requirements.

Article 31 Subsidiaries of and subcontracting by notified bodies

IMCO shared competence.

No AM tabled.

Article 31

Subsidiaries of and subcontracting by notified bodies

1. Where a notified body subcontracts specific tasks connected with conformity assessment or has recourse to a subsidiary, it shall ensure that the subcontractor or the subsidiary meets the requirements set out in Article 29 and shall inform the notifying authority accordingly.
2. Notified bodies shall take full responsibility for the tasks performed by subcontractors or subsidiaries wherever these are established.
3. Activities may be subcontracted or carried out by a subsidiary only with the agreement of the manufacturer.
4. Notified bodies shall keep at the disposal of the notifying authority the relevant documents concerning the assessment of the qualifications of the subcontractor or the subsidiary and the work carried out by them under this Regulation.

Article 32 Application for notification

IMCO shared competence.

No AM tabled.

Article 32

Application for notification

1. A conformity assessment body shall submit an application for notification to the notifying authority of the Member State in which it is established.
2. That application shall be accompanied by a description of the conformity assessment activities, the conformity assessment procedure or procedures and the product or products for which that body claims to be competent, as well as by an accreditation certificate, where one exists, issued by a national accreditation body attesting that the conformity assessment body fulfils the requirements laid down in Article 29.
3. Where the conformity assessment body concerned cannot provide an accreditation certificate, it shall provide the notifying authority with all the documentary evidence necessary for the verification, recognition and regular monitoring of its compliance with the requirements laid down in Article 29.

Article 33 Notification procedure

IMCO shared competence.

No AM tabled.

Article 33

Notification procedure

1. Notifying authorities may notify only conformity assessment bodies, which have satisfied the requirements laid down in Article 29.
2. The notifying authority shall notify the Commission and the other Member States using the New Approach Notified and Designated Organisations (NANDO) information system developed and managed by the Commission.
3. The notification shall include full details of the conformity assessment activities, the conformity assessment module or modules and product or products concerned and the relevant attestation of competence.
4. Where a notification is not based on an accreditation certificate as referred to in Article 32(2), the notifying authority shall provide the Commission and the other Member States with documentary evidence which attests to the conformity assessment body's competence and the arrangements in place to ensure that that body will be monitored regularly and will continue to satisfy the requirements laid down in Article 29.
5. The body concerned may perform the activities of a notified body only where no objections are raised by the Commission or the other Member States within two weeks of a notification where an accreditation certificate is used or within two months of a notification where accreditation is not used.

Only such a body shall be considered a notified body for the purposes of this Regulation.

6. The Commission and the other Member States shall be notified of any subsequent relevant changes to the notification.

Article 34 Identification numbers and lists of notified bodies

IMCO shared competence.

No AM tabled.

Article 34

Identification numbers and lists of notified bodies

1. The Commission shall assign an identification number to a notified body.
It shall assign a single such number even where the body is notified under several Union acts.
2. The Commission shall make publicly available the list of the bodies notified under this Regulation, including the identification numbers that have been allocated to them and the activities for which they have been notified.
The Commission shall ensure that that list is kept up to date.

Article 35 Changes to notifications

IMCO shared competence.

No AM tabled.

Article 35

Changes to notifications

1. Where a notifying authority has ascertained or has been informed that a notified body no longer meets the requirements laid down in Article 29, or that it is failing to fulfil its obligations, the notifying authority shall restrict, suspend or withdraw notification as appropriate, depending on the seriousness of the failure to meet those requirements or fulfil those obligations. It shall immediately inform the Commission and the other Member States accordingly.
2. In the event of restriction, suspension or withdrawal of notification, or where the notified body has ceased its activity, the notifying Member State shall take appropriate steps to ensure that the files of that body are either processed by another notified body or kept available for the responsible notifying and market surveillance authorities at their request.

Article 36 Challenge of the competence of notified bodies

IMCO shared competence.

No AM tabled.

Article 36

Challenge of the competence of notified bodies

1. The Commission shall investigate all cases where it doubts, or doubt is brought to its attention regarding the competence of a notified body or the continued fulfilment by a notified body of the requirements and responsibilities to which it is subject.
2. The notifying Member State shall provide the Commission, on request, with all information relating to the basis for the notification or the maintenance of the competence of the body concerned.
3. The Commission shall ensure that all sensitive information obtained in the course of its investigations is treated confidentially.
4. Where the Commission ascertains that a notified body does not meet or no longer meets the requirements for its notification, it shall inform the notifying Member State accordingly and request it to take the necessary corrective measures, including de-notification if necessary.

Article 37 Operational obligations of notified bodies

IMCO shared competence.

If adopted, all related AMs fall, meaning AMs 393 and 394.

Article 37

Operational obligations of notified bodies

1. Notified bodies shall carry out conformity assessments in accordance with the conformity assessment procedures provided for in Article 24 and Annex VI.
2. Conformity assessments shall be carried out in a proportionate manner, avoiding unnecessary burdens for economic operators, ***with special consideration for micro, small and medium-sized enterprises***. Conformity assessment bodies shall perform their activities taking due account of the size of an undertaking, the sector in which it operates, its structure, the degree of complexity ***and the risk exposure*** of the product ***type and*** technology in question and the mass or serial nature of the production process.
3. Notified bodies shall however respect the degree of rigour and the level of protection required for the compliance of the product with the provisions of Regulation.
4. Where a notified body finds that requirements laid down in Annex I or in corresponding harmonised standards or in common specifications as referred to in Article 19 have not been met by a manufacturer, it shall require that manufacturer to take appropriate corrective measures and shall not issue a conformity certificate.
5. Where, in the course of the monitoring of conformity following the issuance of a certificate, a notified body finds that a product no longer complies with the requirements laid down in this Regulation, it shall require the manufacturer to take

Commented [OS210]: IMCO 131

Commented [OS211]: AM 393 Tošenovský

appropriate corrective measures and shall suspend or withdraw the certificate if necessary.

6. Where corrective measures are not taken or do not have the required effect, the notified body shall restrict, suspend or withdraw any certificates, as appropriate.

Article 38 Information obligation on notified bodies

IMCO shared competence.

No AM tabled.

Article 38

Information obligation on notified bodies

1. Notified bodies shall inform the notifying authority of the following:
 - (a) any refusal, restriction, suspension or withdrawal of a certificate;
 - (b) any circumstances affecting the scope of and conditions for notification;
 - (c) any request for information which they have received from market surveillance authorities regarding conformity assessment activities;
 - (d) on request, conformity assessment activities performed within the scope of their notification and any other activity performed, including cross-border activities and subcontracting.
2. Notified bodies shall provide the other bodies notified under this Regulation carrying out similar conformity assessment activities covering the same products with relevant information on issues relating to negative and, on request, positive conformity assessment results.

Article 39 Exchange of experience

IMCO shared competence.

No AM tabled.

Article 39

Exchange of experience

The Commission shall provide for the organisation of exchange of experience between the Member States' national authorities responsible for notification policy.

Article 40 Coordination of notified bodies

IMCO shared competence.

No AM tabled.

Article 40

Coordination of notified bodies

1. The Commission shall ensure that appropriate coordination and cooperation between notified bodies are put in place, ***taking also in account the need to reduce bureaucracy and fees***, and properly operated in the form of a cross-sectoral group of notified bodies.
2. Member States shall ensure that the bodies notified by them participate in the work of that group, directly or by means of designated representatives.

Commented [OS212]: IMCO 133

COMPROMISE AMENDMENT 5: Chapter 5 (Articles 41-49)

CHAPTER V

MARKET SURVEILLANCE AND ENFORCEMENT

Article 41 Market surveillance and control of products with digital elements in the Union market

If adopted, all related AMs fall, meaning AMs 88, 89 and 395-406.

Article 41

Market surveillance and control of products with digital elements in the Union market

1. Regulation (EU) 2019/1020 shall apply to the products with digital elements within the scope of this Regulation.
2. Each Member State shall designate one or more market surveillance authorities for the purpose of ensuring the effective implementation of this Regulation. Member States may designate an existing or new authority to act as market surveillance authority for this Regulation.
3. Where relevant, the market surveillance authorities shall cooperate with the national cybersecurity certification authorities designated under Article 58 of Regulation (EU) 2019/881, ***competent authorities and CSIRTs designated under Directive (EU) 2022/2555*** and exchange information on a regular basis. ~~With respect to the supervision of the implementation of the reporting obligations pursuant to Article 11 of this Regulation, the designated market surveillance authorities shall cooperate with ENISA.~~
- 3a. *With respect to the supervision of the implementation of the reporting obligations pursuant to Article 11 of this Regulation, the designated market surveillance authorities shall cooperate with ENISA. The market surveillance authorities may request ENISA to provide technical advice on matters related to the implementation and enforcement of this Regulation. When conducting an investigation under Article 43, market surveillance authorities may request ENISA to provide non-binding evaluations of compliance of products with digital elements.***
4. Where relevant, the market surveillance authorities shall cooperate with other market surveillance authorities designated on the basis of other Union harmonisation legislation for other products, and exchange information on a regular basis.
5. Market surveillance authorities shall cooperate, as appropriate, with the authorities supervising Union data protection law. Such cooperation includes informing these authorities of any finding relevant for the fulfilment of their competences, including when issuing guidance and advice pursuant to paragraph 8 of this Article if such guidance and advice concerns the processing of personal data.

Authorities supervising Union data protection law shall have the power to request and access any documentation created or maintained under this Regulation when access to that documentation is necessary for the fulfilment of their tasks. They shall inform the

Commented [OS213]: AM 396 Tošenovský

Commented [OS214]: AM 395 Corrao

Commented [OS215]: AM 397 Corrao, IMCO 135

designated market surveillance authorities of the Member State concerned of any such request.

6. Member States shall ensure that the designated market surveillance authorities are provided with adequate financial and human resources, **with appropriate cybersecurity skills, in order** to fulfil their tasks under this Regulation.

Commented [OS216]: AM 398 Covassi, Hajšel, Toia, et al, AM 88 Rapporteur

7. The Commission shall facilitate the **regular and structured** exchange of experience between designated market surveillance authorities.

Commented [OS217]: IMCO 136

8. Market surveillance authorities may provide guidance and advice to economic operators on the implementation of this Regulation, **as well as on non-technical risk factors**, with the support of **CSIRTs, ENISA and** the Commission.

Commented [OS218]: AM 399 Groothuis

8a. **Market surveillance authorities shall be equipped to receive complaints in accordance with Article 11 of Regulation 2019/1020 by consumers also by establishing clear and accessible mechanisms to facilitate reporting of vulnerabilities, incidents, and cyber threats.**

Commented [OS219]: AM 400 Tošenovský

Commented [OS220]: IMCO 138

9. The market surveillance authorities shall report to the Commission on an annual basis the outcomes of relevant market surveillance activities. The designated market surveillance authorities shall report, without delay, to the Commission and relevant national competition authorities any information identified in the course of market surveillance activities that may be of potential interest for the application of Union competition law.

Market surveillance authorities shall provide the Commission with data about the average support period set by the manufacturers, as well as when available the average expected product lifetime, and disaggregated per category of product with digital elements. The Commission shall analyse this information and publish it in a publicly accessible and user-friendly database.

Commented [OS221]: AM 89 Rapporteur, AM 401 Groothuis

9a. **The Commission shall evaluate the reported data including pursuant to paragraph 9, for the purpose of the reports referred to in Article 56. Where the reported data suggest an increased level of non-compliance in specific categories of products, the Commission, after consulting the Expert Group and ADCO, may recommend that surveillance authorities focus closely on the product categories concerned.**

Commented [OS222]: AM 402 Tošenovský

10. For products with digital elements in the scope of this Regulation classified as high-risk AI systems according to Article [Article 6] of the Regulation [the AI Regulation], the market surveillance authorities designated for the purposes of the Regulation [the AI Regulation] shall be the authorities responsible for market surveillance activities required under this Regulation. The market surveillance authorities designated pursuant to Regulation [the AI Regulation] shall cooperate, as appropriate, with the market surveillance authorities designated pursuant to this Regulation and, with respect to the supervision of the implementation of the reporting obligations pursuant to Article 11, with ENISA. Market surveillance authorities designated pursuant to Regulation [the AI Regulation] shall in particular inform market surveillance authorities designated pursuant to this Regulation of any finding relevant for the fulfilment of their tasks in relation to the implementation of this Regulation.

11. A dedicated administrative cooperation group (ADCO) **for cyber resilience of products with digital elements** shall be established for the uniform application of this Regulation, pursuant to Article 30(2) of Regulation (EU) 2019/1020. This ADCO shall be composed of representatives of the designated market surveillance authorities and, if appropriate, representatives of single liaison offices. **In particular, this ADCO shall exchange best practices and, where relevant, cooperate with the Expert Group and**

ENISA as well as the Cooperation Group and the CSIRTs Network referred to under Directive (EU) 2022/2555.

11a. *Market surveillance authorities shall facilitate the involvement of stakeholders, including scientific, research and consumer organisations, in their activities.*

Commented [OS223]: AM 403 Tošenovský

Commented [OS224]: AM 404 Corrao, AM 406 Botenga

Article 42 Access to data and documentation

No AM tabled.

Article 42

Access to data and documentation

Where necessary to assess the conformity of products with digital elements and the processes put in place by their manufacturers with the essential requirements set out in Annex I and upon a reasoned request, the market surveillance authorities shall be granted access to the data required to assess the design, development, production and vulnerability handling of such products, including related internal documentation of the respective economic operator.

Article 43 Procedure at national level concerning products with digital elements presenting a significant cybersecurity risk

If adopted, all related AMs fall, meaning AMs 407-415.

Article 43

Procedure at national level concerning products with digital elements presenting a significant cybersecurity risk

1. Where the market surveillance authority of a Member State has sufficient reasons to consider that a product with digital elements, including its vulnerability handling, presents a significant cybersecurity risk, it shall carry out ***without undue delay, and where appropriate in cooperation with CSIRT,*** an evaluation of the product with digital elements concerned in respect of its compliance with all the requirements laid down in this Regulation. The relevant economic operators shall cooperate as necessary with the market surveillance authority.

Where, in the course of that evaluation, the market surveillance authority finds that the product with digital elements does not comply with the requirements laid down in this Regulation, it shall without delay require the relevant ***economic*** operator to take all appropriate corrective actions to bring the product into compliance with those requirements, to withdraw it from the market, or to recall it within a reasonable period, commensurate with the nature of the risk, as it may prescribe.

The market surveillance authority shall inform the relevant notified body accordingly. Article 18 of Regulation (EU) 2019/1020 shall apply to the appropriate corrective actions.

- 1a. Where the market surveillance authority of a Member State has sufficient reasons to consider that a product with digital elements presents a significant cybersecurity risk or threats to national security in light of non-technical risk factors, it shall issue targeted recommendations to economic operators aimed at ensuring that appropriate corrective actions are put in place.***

2. Where the market surveillance authority considers that non-compliance is not restricted to its national territory, it shall inform the Commission and the other Member States of the results of the evaluation and of the actions which it has required the operator to take.

3. The manufacturer shall ensure that all appropriate corrective action is taken in respect of all the products with digital elements concerned that it has made available on the market throughout the Union.

4. Where the manufacturer of a product with digital elements does not take adequate corrective action within the period referred to in paragraph 1, second subparagraph, the market surveillance authority shall take all appropriate provisional measures to prohibit or restrict that product being made available on its national market, to withdraw it from that market or to recall it.

That authority shall inform the Commission and the other Member States, without delay, of those measures.

5. The information referred to in paragraph 4 shall include all available details, in particular the data necessary for the identification of the non-compliant products with digital elements, the origin of the product with digital elements, the nature of the alleged non-compliance and the risk involved, the nature and duration of the national

Commented [OS225]: AM 407 Groothuis

Commented [OS226]: AM 409 Botenga

Commented [OS227]: AM 408 Tošenovský

Commented [OS228]: AM 412 Corrao

Commented [OS229]: Rapporteur compromise proposal on non-technical risk factors

measures taken and the arguments put forward by the relevant operator. In particular, the market surveillance authority shall indicate whether the non-compliance is due to one or more of the following:

- (a) a failure of the product or of the processes put in place by the manufacturer to meet the essential requirements set out in Annex I;
 - (b) shortcomings in the harmonised standards, cybersecurity certification schemes, or common specifications, referred to in Article 18.
6. The market surveillance authorities of the Member States other than the market surveillance authority of the Member State initiating the procedure shall without delay inform the Commission and the other Member States of any measures adopted and of any additional information at their disposal relating to the non-compliance of the product concerned, and, in the event of disagreement with the notified national measure, of their objections.
 7. Where, within three months of receipt of the information referred to in paragraph 4, no objection has been raised by either a Member State or the Commission in respect of a provisional measure taken by a Member State, that measure shall be deemed justified. This is without prejudice to the procedural rights of the operator concerned in accordance with Article 18 of Regulation (EU) 2019/1020.
 8. The market surveillance authorities of all Member States shall ensure that appropriate restrictive measures are taken in respect of the product concerned, such as withdrawal of the product from their market, without delay.

Article 44 Union safeguard procedure

If adopted, all related AMs fall, meaning AM 416.

Article 44

Union safeguard procedure

1. Where, within three months of receipt of the notification referred to in Article 43(4), objections are raised by a Member State against a measure taken by another Member State, or where the Commission considers the measure to be contrary to Union legislation, the Commission shall without delay enter into consultation with the relevant Member State and the economic operator or operators and shall evaluate the national measure. On the basis of the results of that evaluation, the Commission shall decide whether the national measure is justified or not within nine months from the notification referred to in Article 43(4) and notify such decision to the Member State concerned.
2. If the national measure is considered justified, all Member States shall take the measures necessary to ensure that the non-compliant product with digital elements is withdrawn from their market, and shall inform the Commission accordingly. If the national measure is considered unjustified, the Member State concerned shall withdraw the measure.
3. Where the national measure is considered justified and the non-compliance of the product with digital elements is attributed to shortcomings in the harmonised standards, the Commission shall apply the procedure provided for in Article 10 of Regulation (EU) No 1025/2012.
4. Where the national measure is considered justified and the non-compliance of the product with digital elements is attributed to shortcomings in a European cybersecurity certification scheme as referred to in Article 18, the Commission shall consider whether to amend or repeal the implementing act as referred to in Article 18(4) that specifies the presumption of conformity concerning that certification scheme.
5. Where the national measure is considered justified and the non-compliance of the product with digital elements is attributed to shortcomings in common specifications as referred to in Article 19, the Commission shall consider whether to amend or repeal the implementing act referred to in Article 19 setting out those common specifications.

Article 45 Procedure at EU level concerning products with digital elements presenting a significant cybersecurity risk

If adopted, all related AMs fall, meaning AMs 90, 91 and 417-423.

Article 45

Procedure at EU level concerning products with digital elements presenting a significant cybersecurity risk

1. Where the Commission has sufficient reasons to consider, including based on information provided by ENISA, that a product with digital elements that presents a significant cybersecurity risk is non-compliant with the requirements laid down in this Regulation, it ~~may~~ **shall** request the relevant market surveillance authorities to carry out an evaluation of compliance and follow the procedures referred to in Article 43.
 - 1a. Where the Commission has sufficient reasons to consider that a product with digital elements presents a significant cybersecurity risk in light of non-technical risk factors, it shall inform the relevant market surveillance authorities and issue targeted recommendations to economic operators aimed at ensuring that appropriate corrective actions are put in place.**
2. In ~~exceptional~~ circumstances which justify an immediate intervention to preserve the good functioning of the internal market and where the Commission has sufficient reasons to consider that the product referred to in paragraph 1 remains non-compliant with the requirements laid down in this Regulation and no effective measures have been taken by the relevant market surveillance authorities, the Commission ~~may~~ **shall** request ENISA to carry out an evaluation of compliance. The Commission shall inform the relevant market surveillance authorities accordingly. The relevant economic operators shall cooperate as necessary with ENISA.
3. Based on ENISA's evaluation, the Commission may decide that a corrective or restrictive measure is necessary at Union level. To this end, it shall without delay consult the Member States concerned and the relevant economic operator or operators.
4. On the basis of the consultation referred to in paragraph 3, the Commission may adopt implementing acts to decide on corrective or restrictive measures at Union level, including ordering withdrawal from the market, or recalling, within a reasonable period, commensurate with the nature of the risk. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 51(2).
5. The Commission shall immediately communicate the decision referred to in paragraph 4 to the relevant economic operator or operators. Member States shall implement the acts referred to in paragraph 4 without delay and shall inform the Commission accordingly.
6. Paragraphs 2 to 5 are applicable for the duration of the exceptional situation that justified the Commission's intervention and for as long as the respective product is not brought in compliance with this Regulation.

Commented [OS230]: AM 90 Rapporteur, AM 419 Corrao

Commented [OS231]: Proposal by the Rapporteur for a compromise on non-technical risk factors

Commented [OS232]: AM 422 Corrao

Commented [OS233]: AM 91 Rapporteur, AM 422 Corrao, IMCO 145

Article 46 Compliant products with digital elements which present a significant cybersecurity risk

If adopted, all related AMs fall, meaning AM 424-428.

Article 46

Compliant products with digital elements which present a significant cybersecurity risk

1. Where, having performed an evaluation under Article 43, the market surveillance authority of a Member State finds that although a product with digital elements and the processes put in place by the manufacturer are in compliance with this Regulation, they present a significant cybersecurity risk and, in addition, they pose a risk to the health or safety of persons, to the compliance with obligations under Union or national law intended to protect fundamental rights, the availability authenticity, integrity or confidentiality of services offered using an electronic information system by essential entities of the type referred to in **Article 3 of Annex I to Directive XXX / XXXX (NIS2)** (EU) 2022/2555 or to other aspects of public interest protection, it shall require the relevant **economic** operator to take all appropriate measures to ensure that the product with digital elements and the processes put in place by the manufacturer concerned, when placed on the market, no longer present that risk, to withdraw the product with digital elements from the market or to recall it within a reasonable period, commensurate with the nature of the risk.
2. The manufacturer or other relevant **economic** operators shall ensure that corrective action is taken in respect of the products with digital elements concerned that they have made available on the market throughout the Union within the timeline established by the market surveillance authority of the Member State referred to in paragraph 1.
3. The Member State shall immediately inform the Commission and the other Member States about the measures taken pursuant to paragraph 1. That information shall include all available details, in particular the data necessary for the identification of the products with digital elements concerned, the origin and the supply chain of those products with digital elements, the nature of the risk involved and the nature and duration of the national measures taken.
4. The Commission shall without delay enter into consultation with the Member States and the relevant economic operator and shall evaluate the national measures taken. On the basis of the results of that evaluation, the Commission shall decide whether the measure is justified or not and, where necessary, propose appropriate measures.
5. The Commission shall address its decision to the Member States.
6. Where the Commission has sufficient reasons to consider, including based on information provided by ENISA, that a product with digital elements, although compliant with this Regulation, presents the risks referred to in paragraph 1, it may request the relevant market surveillance authority or authorities to carry out an evaluation of compliance and follow the procedures referred to in Article 43 and paragraphs 1, 2 and 3 of this Article.
7. In **exceptional** circumstances which justify an immediate intervention to preserve the good functioning of the internal market and where the Commission has sufficient reasons to consider that the product referred to in paragraph 6 continues to present the risks referred to in paragraph 1 and no effective measures have been taken by the relevant national market surveillance authorities, the Commission may request ENISA to carry out an evaluation of the risks presented by that product and shall inform the

Commented [OS234]: AM 424 Corrao, IMCO 146

Commented [OS235]: AM 425 Corrao, IMCO 147

Commented [OS236]: AM 427 Corrao, IMCO 149

relevant market surveillance authorities accordingly. The relevant economic operators shall cooperate as necessary with ENISA.

8. Based on ENISA's evaluation referred to in paragraph 7, the Commission ~~may~~ **shall** establish ~~that~~ a corrective or restrictive measure **at Union level is if necessary at Union level**. To this end, it shall without delay consult the Member States concerned and the relevant operator or operators.
9. On the basis of the consultation referred to in paragraph 8, the Commission may adopt implementing acts to decide on corrective or restrictive measures at Union level, including ordering withdrawal from the market, or recalling, within a reasonable period, commensurate with the nature of the risk. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 51(2).
10. The Commission shall immediately communicate the decision referred to in the paragraph 9 to the relevant operator or operators. Member States shall implement such acts without delay and shall inform the Commission accordingly.
11. Paragraphs 6 to 10 shall apply for the duration of the exceptional situation that justified the Commission's intervention and for as long as the respective product continues to present the risks referred to in paragraph 1.

Commented [OS237]: AM 428 Corrao

Article 47 Formal non-compliance

No AM tabled.

Article 47

Formal non-compliance

1. Where the market surveillance authority of a Member State makes one of the following findings, it shall require the relevant manufacturer to end to the non-compliance concerned:
 - (a) the conformity marking has been affixed in violation of Articles 21 and 22;
 - (b) the conformity marking has not been affixed;
 - (c) the EU declaration of conformity has not been drawn up;
 - (d) the EU declaration of conformity has not been drawn up correctly;
 - (e) the identification number of the notified body, which is involved in the conformity assessment procedure, where applicable, has not been affixed;
 - (f) the technical documentation is either not available or not complete.
2. Where the non-compliance referred to in paragraph 1 persists, the Member State concerned shall take all appropriate measures to restrict or prohibit the product with digital elements from being made available on the market or ensure that it is recalled or withdrawn from the market.

Article 48 Joint activities of market surveillance authorities

If adopted, all related AMs fall, meaning AMs 92, 93 and 429.

Article 48

Joint activities of market surveillance authorities

1. Market surveillance authorities ~~may agree with other relevant authorities to~~ **shall** carry out joint activities aimed at ensuring cybersecurity and protection of consumers with respect to specific products with digital elements placed or made available on the market, in particular products that are often found to present cybersecurity risks.
2. The Commission or ENISA ~~may~~ **shall** propose joint activities for checking compliance with this Regulation to be conducted by market surveillance authorities based on indications or information of potential non-compliance across several Member States of products falling in the scope of this Regulation with the requirements laid down by the latter.
3. The market surveillance authorities and the Commission, where applicable, shall ensure that the agreement to carry out joint activities does not lead to unfair competition between economic operators and does not negatively affect the objectivity, independence and impartiality of the parties to the agreement.
4. A market surveillance authority may use any information resulting from the activities carried out as part of any investigation that it undertakes.
5. The market surveillance authority concerned and the Commission, where applicable, shall make the agreement on joint activities, including the names of the parties involved, available to the public.

Commented [OS238]: AM 92 Rapporteur, IMCO 150

Commented [OS239]: AM 93 Rapporteur, AM 430 Corrao, IMCO 151

Article 49 Sweeps

If adopted, all related AMs fall, meaning AMs 94-97 and 430-436.

Article 49

Sweeps

1. Market surveillance authorities ~~may shall regularly decide to~~ conduct simultaneous coordinated control actions (“sweeps”) of particular products with digital elements or categories thereof to check compliance with or to detect infringements to this Regulation. ***They shall include inspections of products acquired under a cover identity and shall aim to verify the compliance of those products with this Regulation.***
2. Unless otherwise agreed upon by the market surveillance authorities involved, sweeps shall be coordinated by the Commission. The coordinator of the sweep ~~may, where appropriate,~~ **shall** make the aggregated results publicly available.
3. ENISA ~~may shall~~ identify, in the performance of its tasks, including based on the notifications received according to Article 11(1) and (2), categories of products for which sweeps ~~may shall~~ be organised. The proposal for sweeps shall be submitted to the potential coordinator referred to in paragraph 2 for the consideration of the market surveillance authorities.
4. When conducting sweeps, the market surveillance authorities involved may use the investigation powers set out *in* Articles 41 to 47 and any other powers conferred upon them by national law.
5. Market surveillance authorities ~~may shall~~ invite Commission officials, and other accompanying persons authorised by the Commission, to participate in sweeps.

Commented [OS240]: AM 94 Rapporteur, AM 430 Corrao, IMCO 152

Commented [OS241]: AM 95 Rapporteur, AM 431 Corrao, IMCO 153

Commented [OS242]: AM 432 Corrao, IMCO 154

Commented [OS243]: AM 96 Rapporteur

Commented [OS244]: AM 97 Rapporteur, AM 434 Corrao, IMCO 155

COMPROMISE AMENDMENT 6: Chapter 6 (Articles 50-51)

CHAPTER VI

DELEGATED POWERS AND COMMITTEE PROCEDURE

Article 50 Exercise of the delegation

If adopted, all related AMs fall, meaning AMs 98-100 and 437-441.
AM 439 moved to recitals.

Article 50

Exercise of the delegation

1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.
2. The power to adopt delegated acts referred to in Article 2(4), Article 6(2), Article 6(3), Article 6(5), [Article 10\(15\)](#), [Article 10\(6\)](#), [Article 11\(1\)](#), [Article 11\(2\)](#), [Article 11\(5\)](#), [Article 17a](#), [Article 18\(4\)](#), [Article 19\(1\)](#), ~~Article 20(2)~~, [Article 20\(5\)](#), [Article 22\(6\)](#), and Article 23(5) ~~and Article 53a~~ shall be conferred on the Commission.
3. The delegation of power referred to in Article 2(4), Article 6(2), Article 6(3), Article 6(5), [Article 10\(15\)](#), [Article 10\(6\)](#), [Article 11\(1\)](#), [Article 11\(2\)](#), [Article 11\(5\)](#), [Article 17a](#), [Article 18\(4\)](#), [Article 19\(1\)](#), ~~Article 20(2)~~, [Article 20\(5\)](#), [Article 22\(6\)](#), and Article 23(5) ~~and Article 53a~~ may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the *Official Journal of the European Union* or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.
4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with principles laid down in the Inter-institutional Agreement of 13 April 2016 on Better Law-Making.
5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.
6. A delegated act adopted pursuant to Article 2(4), Article 6(2), Article 6(3), Article 6(5), [Article 10\(15\)](#), [Article 10\(6\)](#), [Article 11\(1\)](#), [Article 11\(2\)](#), [Article 11\(5\)](#), [Article 17a](#), [Article 18\(4\)](#), [Article 19\(1\)](#), ~~Article 20(2)~~, [Article 20\(5\)](#), [Article 22\(6\)](#), and Article 23(5) ~~and Article 53a~~ shall enter into force only if no objection has been expressed either by the European Parliament or by the Council within a period of two months of notification of that act to the European Parliament and to the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.

Commented [OS245]: AM 98 Rapporteur, AM 437 Covassi, Hajšel, Toia, et al

Aligned according to changes in the previous articles.

Commented [OS246]: AM 99 Rapporteur, AM 438 Covassi, Hajšel, Toia, et al

Aligned according to changes in the previous articles.

Commented [OS247]: AM 100 Rapporteur

Aligned according to changes in the previous articles.

Article 51 Committee procedure

If adopted, all related AMs fall, meaning AM 442.

Article 51

Committee procedure

1. The Commission shall be assisted by a committee. That committee shall be a committee within the meaning of Regulation (EU) No 182/2011.
2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.
3. Where the opinion of the committee is to be obtained by written procedure, that procedure shall be terminated without result when, within the time-limit for delivery of the opinion, the chair of the committee so decides or a committee member so requests.

~~COMPROMISE AMENDMENT 7: Chapter 7 (Articles 52-53)~~

CHAPTER VII

CONFIDENTIALITY AND PENALTIES

Article 52 Confidentiality

If adopted, all related AMs fall, meaning AM 443.

Article 52

Confidentiality

1. All parties involved in the application of this Regulation shall respect the confidentiality of information and data obtained in carrying out their tasks and activities in such a manner as to protect, in particular:
 - (a) intellectual property rights, and confidential business information or trade secrets of a natural or legal person, including source code, except the cases referred to in Article 5 of Directive 2016/943 of the European Parliament and of the Council²⁹;
 - (b) the effective implementation of this Regulation, in particular for the purpose of inspections, investigations or audits;
 - (c) public and national security interests;
 - (d) integrity of criminal or administrative proceedings.
2. Without prejudice to paragraph 1, information exchanged on a confidential basis between the market surveillance authorities and between market surveillance authorities and the Commission shall not be disclosed without the prior agreement of the originating market surveillance authority.
3. Paragraphs 1 and 2 shall not affect the rights and obligations of the Commission, Member States and notified bodies with regard to the exchange of information and the dissemination of warnings, nor the obligations of the persons concerned to provide information under criminal law of the Member States.
4. The Commission and Member States may exchange, where necessary, sensitive information with relevant authorities of third countries with which they have concluded bilateral or multilateral confidentiality arrangements guaranteeing an adequate level of protection.

²⁹ Directive (EU) 2016/943 of the European Parliament and of the Council of 8 June 2016 on the protection of undisclosed know-how and business information (trade secrets) against their unlawful acquisition, use and disclosure (OJ L 157, 15.6.2016, p. 1).

Article 53 Penalties

If adopted, all related AMs fall, meaning AMs 101, 102 and 444-448.

Article 53

Penalties

1. Member States shall lay down the rules on penalties applicable to infringements by economic operators of this Regulation and shall take all measures necessary to ensure that they are enforced. The penalties provided for shall be effective, proportionate and dissuasive. **Member States shall ensure those rules take into account the financial capabilities of micro, small and medium-sized enterprises.**
2. Member States shall, without delay, notify the Commission of those rules and of those measures and shall notify it without delay of any subsequent amendment affecting them. **The Commission shall ensure that those rules and measures are applied in a uniform and consistent manner across the Union.**
3. The non-compliance with the essential cybersecurity requirements laid down in Annex I and the obligations set out in Articles 10 and 11 shall be subject to administrative fines of up to 15 000 000 EUR or, if the offender is an undertaking, up to 2.5 % of the its total worldwide annual turnover for the preceding financial year, whichever is higher.
4. The non-compliance with any other obligations under this Regulation shall be subject to administrative fines of up to 10 000 000 EUR or, if the offender is an undertaking, up to 2 % of its total worldwide annual turnover for the preceding financial year, whichever is higher.
5. The supply of incorrect, incomplete or misleading information to notified bodies and market surveillance authorities in reply to a request shall be subject to administrative fines of up to 5 000 000 EUR or, if the offender is an undertaking, up to 1 % of its total worldwide annual turnover for the preceding financial year, whichever is higher.
6. When deciding on the amount of the administrative fine in each individual case, all relevant circumstances of the specific situation shall be taken into account and due regard shall be given to the following:
 - (a) the nature, gravity and duration of the infringement and of its consequences;
(aa) whether the infringement is unintentional;
 - (b) whether administrative fines have been already applied by **the same or** other market surveillance authorities to the same operator for a similar infringement;
 - (c) the size, **in particular for micro, small and medium sized enterprises, including start-ups,** and market share of the operator committing the infringement.
7. Market surveillance authorities that apply administrative fines shall share this information with the market surveillance authorities of other Member States through the information and communication system referred to in Article 34 of Regulation (EU) 2019/1020.
8. Each Member State shall lay down rules on whether and to what extent administrative fines may be imposed on public authorities and bodies established in that Member State.

Commented [OS248]: AM 101 Rapporteur, AM 444 Virkkunen, Skyttedal, Štefanec, et al; IMCO 157

Commented [OS249]: AM 102 Rapporteur

Commented [OS250]: IMCO 158

Commented [OS251]: IMCO 159

Commented [OS252]: AM 447 Corrao, IMCO 160

9. Depending on the legal system of the Member States, the rules on administrative fines may be applied in such a manner that the fines are imposed by competent national courts or other bodies according to the competences established at national level in those Member States. The application of such rules in those Member States shall have an equivalent effect.
10. Administrative fines may be imposed, depending on the circumstances of each individual case, in addition to any other corrective or restrictive measures applied by the market surveillance authorities for the same infringement.

Article 53a

Allocation of the revenue from the penalties to support cybersecurity in the Union

The Member States shall allocate the revenues from the penalties referred to in Article 53(1) to projects raising the level of cybersecurity within the Union. Those projects shall aim to at least to one of the following:

- (i) increase the number of skilled professionals in the field of cybersecurity, notably women;***
- (ii) capacity-building for micro, small and medium sized enterprises in order to facilitate their compliance with this Regulation;***
- (iii) improving public awareness of cyber threats, with particular regard to their prevention and management;***
- (iv) develop tools to increase the resilience of Union undertakings to cyber-enabled intellectual property theft.***

Commented [OS253]: AM 448 Covassi, Hajšel, Toia, et al

Commented [OS254]: AM 103 Rapporteur

COMPROMISE AMENDMENT 8: Chapter 8 (Articles 54-57)

CHAPTER VIII

TRANSITIONAL AND FINAL PROVISIONS

Article 54 Amendment to Regulation (EU) 2019/1020

No AM tabled.

Article 54

Amendment to Regulation (EU) 2019/1020 and to Directive 2020/1828/EC

1. In Annex I to Regulation (EU) 2019/1020 the following point is added:
'71. [Regulation XXX][Cyber Resilience Act]
2. ***In Annex I to Directive 2020/1828/EC the following point is added:***
'67. [Regulation XXX][Cyber Resilience Act]'

Commented [OS255]: IMCO 164

Commented [OS256]: IMCO 165

Article 55 Transitional provisions

If adopted, all related AMs fall, meaning AMs 104 and 449-452.

Article 55

Transitional provisions

1. EU type-examination certificates and approval decisions issued regarding cybersecurity requirements for products with digital elements that are subject to other Union harmonisation legislation shall remain valid until [42 months after the date of entry into force of this Regulation], unless they expire before that date, or unless otherwise specified in other Union legislation, in which case they shall remain valid as referred to in that Union legislation.
2. Products with digital elements that have been placed on the market before [date of application of this Regulation referred to in Article 57], shall be subject to requirements of this Regulation only if, from that date, those products are subject to substantial modifications in their design or intended purpose.
3. By way of derogation from paragraph 2, the obligations laid down in Article 11 shall apply to all products with digital elements within the scope of this Regulation that have been placed on the market before [date of application of this Regulation referred to in Article 57].
- 3a. ***Until the application date of this Regulation ... [40 months after the date of entry into force of this Regulation], manufacturers may comply with the requirements of this Regulation on a voluntary basis. Where manufacturers comply with this Regulation with regard to their products with digital elements, they shall be considered also to comply with Delegated Regulation (EU) 2022/30.***

~~After ... [40 months] after the date of entry into force of this Regulation, The Commission shall repeal Commission Delegated Regulation (EU) 2022/30 on the same date of application of this Regulation.~~

Commented [OS257]: AM 104 Rapporteur, IMCO 166

Article 56 Evaluation and review

If adopted, all related AMs fall, meaning AM 105.

Article 56

Evaluation and review

1. By [36 months after the date of application of this Regulation] and every four years thereafter, the Commission shall submit a report on the evaluation and review of this Regulation to the European Parliament and to the Council. The reports shall be made public.
2. ~~Every year when presenting the Draft Budget for the following year, the Commission shall submit a detailed assessment of ENISA's tasks under this Regulation as set out in Annex VIa and other relevant Union law and shall detail the financial and human resources needed to fulfil those tasks.~~

Commented [OS258]: AM 105 Rapporteur

Article 57 Entry into force and application

If adopted, all related AMs fall, meaning AMs 106 and 453-460.

Article 57

Entry into force and application

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from ~~[24 40 36 months after the date of entry into force of this Regulation]~~. However Article 11 shall apply from ~~[12 20 18 months after the date of entry into force of this Regulation]~~.

Commented [OS259]: AM 106 Rapporteur

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

ANNEX I

ESSENTIAL CYBERSECURITY REQUIREMENTS

1. SECURITY REQUIREMENTS RELATING TO THE PROPERTIES OF PRODUCTS WITH DIGITAL ELEMENTS

- (1) Products with digital elements shall be designed, developed and produced in such a way that they ensure an appropriate level of cybersecurity based on the risks;
- ~~(2) **Products with digital elements shall be delivered without any known exploitable vulnerabilities;**~~
- (3) On the basis of the **cybersecurity** risk assessment referred to in Article 10(2) and where applicable, products with digital elements shall:
 - ~~(-a) **be made available without known exploitable vulnerabilities;**~~
 - (a) be **made available** delivered with a secure by default configuration, **unless otherwise agreed between the parties in a business-to-business context**, including the possibility to reset the product to its original state **while retaining all installed security updates;**
 - ~~(a a) **where technically feasible, be made available on the market with functional separation of security updates from functionality update;**~~
 - (b) ensure automatic **security updates with a clear and easy-to-use opt-out mechanism** and the notification of available updates to users;
 - (c) ensure protection from unauthorised access by appropriate control mechanisms, including but not limited to authentication, identity or access management systems;
 - (d) protect the confidentiality of stored, transmitted or otherwise processed data, personal or other, such as by encrypting relevant data at rest or in transit by state of the art mechanisms, **and by using other technical means;**
 - (e) protect the integrity of stored, transmitted or otherwise processed data, personal or other, commands, programs and configuration against any manipulation or modification not authorised by the user, as well as report on corruptions **or possible unauthorised access;**
 - (f) process only data, personal or other, that are adequate, relevant and limited to what is necessary in relation to the intended use of the product ('minimisation of data');
 - (g) protect the availability of essential **and basic** functions, **also after an incident**, including **with backup management, and** the resilience **against** and mitigation **measures against of** denial of service attacks;
 - (h) minimise their own negative impact on the availability of services provided by other devices or networks;
 - (i) be designed, developed and produced to limit attack surfaces, including external interfaces;

Commented [HE260]: Rapporteur 107, AM 461 Groothuis, AM 462 Tošenovský

Commented [HE261]: AM 464 Gazzini, Borchia, Tovaglieri, et al., IMCO 168

Commented [HE262]: AM 108 Rapporteur, AM 468 Tošenovský, AM 469 Gazzini, Borchia, Tovaglieri, et al

Commented [HE263]: AM 109 Rapporteur, AM 466 Covassi, et al

Commented [HE264]: AM Corrao 467, AM 476 Tošenovský, IMCO 176

Commented [HE265]: AM 477 Corrao - moved from point L to B

Commented [HE266]: AM 471 Corrao

Commented [HE267]: AM 472 Corrao, IMCO 172

Commented [HE268]: AM Groothuis 473, AM 474 Corrao, IMCO 173

- (j) be designed, developed and produced to reduce the impact of an incident using appropriate exploitation mitigation mechanisms and techniques;
- (k) provide security related information by recording and/or monitoring **capabilities for** relevant internal activity, including the access to or modification of data, services or functions, **with an opt-out mechanism for the user**;
- (l) ~~ensure automatic updates **with a clear and easy-to-use opt-out mechanism** can be addressed through security updates, including, where applicable, through automatic updates and the notification of available updates to users;~~
- (m) **enable users to securely withdraw and remove their users' data on a permanent basis.**

Commented [HE269]: AM 475 Corrao

Commented [HE270]: AM 477 Corrao

Commented [OS271]: AM 478 Corrao, IMCO 177

2. VULNERABILITY HANDLING REQUIREMENTS

Manufacturers of the products with digital elements shall:

- (1) identify and document vulnerabilities and components contained in the product, including by drawing up a software bill of materials in a commonly used and machine-readable format covering at the very least the top-level dependencies of the product;
- (2) in relation to the risks posed to the products with digital elements, address and remediate vulnerabilities without delay, including by providing security updates **installed automatically where applicable in accordance with Section I**;
- (3) apply effective and regular tests and reviews of the security of the product with digital elements;
- (4) once a security update has been made available, **share and** publically disclose information about fixed vulnerabilities **in a controlled way**, including a description of the vulnerabilities, information allowing users to identify the product with digital elements affected, the impacts of the vulnerabilities, their severity and **clear and accessible** information helping users to remediate the vulnerabilities;
- (5) put in place and enforce a policy on coordinated vulnerability disclosure;
- (6) take measures to facilitate the sharing of information about potential vulnerabilities in their product with digital elements as well as in third party components contained in that product, including by providing a contact address for the reporting of the vulnerabilities discovered in the product with digital elements;
- (7) provide for mechanisms to securely distribute **security** updates for products with digital elements to ensure that exploitable vulnerabilities are fixed or mitigated in a timely manner;
- (8) ensure that, where security patches or updates are available to address identified security issues, they are disseminated without delay and, **unless otherwise agreed between the parties in a business-to-business context**, free of charge, accompanied by advisory messages providing users with the relevant information, including on potential action to be taken;
- (9) **where possible and applicable, notify the user of the end of the support period.**

Commented [HE272]: AM 110 Rapporteur
AM 482 Tošenovský

Commented [OS273]: AM 485 Corrao

Commented [HE274]: AM 484 Groothuis

Commented [HE275]: AM 486 Corrao, IMCO 181

Commented [HE276]: AM 488 Salini, AM 487 Tošenovský

Commented [HE277]: AM 111 Rapporteur

ANNEX II

INFORMATION AND INSTRUCTIONS TO THE USER

As a minimum, the product with digital elements shall be accompanied by:

1. the name, registered trade name or registered trade mark of the manufacturer, and the postal address and the email address *and where available the website* at which the manufacturer can be contacted, on the product or, ~~where that is not possible,~~ on its packaging or in a document accompanying the product;
2. the point of *single* contact where information about cybersecurity vulnerabilities of the product can be reported and received *and the manufacturer's policy on coordinated vulnerabilities and where it can be found*;
3. the correct identification of the type, batch, version or serial number or other element allowing the identification of the product and the corresponding instructions and user information;
4. the intended use, including the security environment provided by the manufacturer, as well as the product's essential functionalities and information about the security properties;
5. any known or foreseeable circumstance, related to the use of the product with digital elements in accordance with its intended purpose or under conditions of reasonably foreseeable misuse, which may lead to significant cybersecurity risks;
6. *if and, where applicable, where the software bill of materials can be accessed by the competent authorities in line with non-disclosure conditions set out in Article 52*;
7. where applicable, the internet address at which the EU declaration of conformity can be accessed;
8. *the type of technical security support offered by the manufacturer and the support period during which until when it will be provided, at the very least until when users can expect vulnerabilities to be handled and to receive security updates*;
9. detailed instructions or an internet address referring to such detailed instructions and information on:
 - (a) the necessary measures during initial commissioning and throughout the lifetime of the product to ensure its secure use;
 - (b) how changes to the product can affect the security of data;
 - (c) how security-relevant updates can be installed;
 - (d) the secure decommissioning of the product, including information on how user data can be securely removed.

Commented [HE278]: AM 489 Tošenovský

Commented [HE279]: AM 490 Corrao, IMCO 183

Commented [HE280]: AM 493 Groothuis, AM 494 Corrao, IMCO 185

Commented [HE281]: AM 111 Rapporteur, AM 496 Corrao

ANNEX III

CRITICAL PRODUCTS WITH DIGITAL ELEMENTS

Class I

1. Identity management systems software and privileged access management software;
2. Standalone and embedded browsers;
3. Password managers;
- 3a. **Biometric readers;**
4. Software that searches for, removes, or quarantines malicious software;
5. Products with digital elements with the function of virtual private network (VPN);
6. Network management systems;
7. Network configuration management tools;
8. Network traffic monitoring systems;
9. Management of network resources;
10. Security information and event management (SIEM) systems;
11. Update/patch management, including boot managers;
12. Application configuration management systems;
13. **Remote access/sharing software;**
14. Mobile device management software;
15. Physical **and virtual** network interfaces;
16. Operating systems not covered by class II;
17. Firewalls, intrusion detection and/or prevention systems not covered by class II;
18. ~~Routers, modems intended for the connection to the internet, and switches, not covered by class II;~~
19. **General purpose microprocessors and** microprocessors not covered by class II;
20. Microcontrollers;
21. Application specific integrated circuits (ASIC) and field-programmable gate arrays (FPGA) intended for the use by essential entities of the type referred to in **Article 3 of Annex I to the Directive XXX/XXXX (NIS2)**;
22. **Industrial Automation & Control Systems (IACS) not covered by class II, such as programmable logic controllers (PLC), distributed control systems (DCS), computerised numeric controllers for machine tools (CNC), industrial robots and their control systems and supervisory control and data acquisition systems (SCADA);**
23. Industrial Internet of Things not covered by class II;
- 23a. **Home automation systems, including smart home servers and virtual assistants;**
- 23b. **Security devices, including smart door locks, cameras and alarm systems;**
- 23c. **Smart toys;**
- 23d. **Personal health appliances and wearables;**

Commented [OS282]: AM 539 Gazzini, Borchia, Tovaglieri, et al.

Commented [HE283]: AM 499 Groothuis

Commented [OS284]: AM 500 Geier, Repasi, AM 501 Corrao, IMCO 193

Commented [HE285]: AM 112 Rapporteur, AM 506 Corrao, AM 507 Groothuis, IMCO 194

Commented [OS286]: Moved from class 2

Commented [HE287]: AM 113 Rapporteur

Commented [HE288]: AM 114 Rapporteur, AM 540 Corrao

Commented [HE289]: AM 115 Rapporteur, AM 541 Corrao

Commented [OS290]: AM 529 Geier, Repasi, AM 542 Corrao

Commented [OS291]: AM 530 Geier, Repasi, AM 543 Corrao

Class II

1. Operating systems for servers, desktops, and mobile devices;
2. Hypervisors and container runtime systems that support virtualised execution of operating systems and similar environments;
3. Public key infrastructure and digital certificate issuers;
4. Firewalls, intrusion detection and/or prevention systems intended for industrial use;
5. ~~General purpose microprocessors;~~
6. Microprocessors intended for integration in programmable logic controllers and secure elements;
7. Routers, modems intended for the connection to the internet, and switches, ~~intended for industrial use;~~
8. Secure elements;
9. Hardware Security Modules (HSMs);
10. Secure cryptoprocessors;
11. Smartcards, smartcard readers, and tokens;
12. Industrial Automation & Control Systems (IACS) intended for the use by essential entities of the type referred to in *Article 3 of [Annex I to the Directive (EU) 2022/2555 XXX/XXXX (NIS2)]*, such as programmable logic controllers (PLC), distributed control systems (DCS), computerised numeric controllers for machine tools (CNC) and supervisory control and data acquisition systems (SCADA);
13. Industrial Internet of Things devices intended for the use by essential entities of the type referred to in *Article 3 of [Annex I to the Directive (EU) 2022/2555 XXX/XXXX (NIS2)]*;
14. ~~Robot sensing and actuator components and robot controllers;~~
15. Smart meters.

Commented [OS292]: Moved to class 1

Commented [HE293]: AM 116 Rapporteur, AM 538 Corrao, IMCO 197

Commented [HE294]: AM 117 Rapporteur

ANNEX IV

EU DECLARATION OF CONFORMITY

The EU declaration of conformity referred to in Article 20, shall contain all of the following information:

1. Name and type and any additional information enabling the unique identification of the product with digital elements;
2. Name and address of the manufacturer or his authorised representative;
3. A statement that the EU declaration of conformity is issued under the sole responsibility of the provider;
4. Object of the declaration (identification of the product allowing traceability. It may include a photograph, where appropriate);
5. A statement that the object of the declaration described above is in conformity with the relevant Union harmonisation legislation;
6. References to any relevant harmonised standards used or any other common specification or cybersecurity certification in relation to which conformity is declared;
7. Where applicable, the name and number of the notified body, a description of the conformity assessment procedure performed and identification of the certificate issued;
8. Additional information:

Signed for and on behalf of:

(place and date of issue):

(name, function) (signature):

ANNEX V

CONTENTS OF THE TECHNICAL DOCUMENTATION

The technical documentation referred to in Article 23 shall contain at least the following information, as applicable to the relevant product with digital elements:

1. a general description of the product with digital elements, including:
 - (a) its intended purpose;
 - (b) versions of software affecting compliance with essential requirements;
 - (c) where the product with digital elements is a hardware product, photographs or illustrations showing external features, marking and internal layout;
 - (d) user information and instructions as set out in Annex II;
2. a description of the design, development and production of the product and vulnerability handling processes, including:
 - (a) complete information on the design and development of the product with digital elements, including, where applicable, drawings and schemes and/or a description of the system architecture explaining how software components build on or feed into each other and integrate into the overall processing;
 - (b) complete information and specifications of the vulnerability handling processes put in place by the manufacturer, including the software bill of materials, the coordinated vulnerability disclosure policy, evidence of the provision of a contact address for the reporting of the vulnerabilities and a description of the technical solutions chosen for the secure distribution of updates;
 - (c) complete information and specifications of the production and monitoring processes of the product with digital elements and the validation of these processes.
3. an assessment of the cybersecurity risks against which the product with digital elements is designed, developed, produced, delivered and maintained as laid down in Article 10 of this Regulation, [including how the essential requirements set out in Section 1 of Annex I are applicable](#);
4. a list of the harmonised standards applied in full or in part the references of which have been published in the *Official Journal of the European Union*, common specifications as set out in Article 19 of this Regulation or cybersecurity certification schemes under Regulation (EU) 2019/881 pursuant to Article 18(3), and, where those harmonised standards, common specifications or cybersecurity certification schemes have not been applied, descriptions of the solutions adopted to meet the essential requirements set out in Sections 1 and 2 of Annex I, including a list of other relevant technical specifications applied. In the event of partly applied harmonised standards, common specifications or cybersecurity certifications, the technical documentation shall specify the parts which have been applied;
5. reports of the tests carried out to verify the conformity of the product and of the vulnerability handling processes with the applicable essential requirements as set out in Sections 1 and 2 of Annex I;
6. a copy of the EU declaration of conformity;

7. where applicable, the software bill of materials as defined in Article 3, point (36), further to a reasoned request from a market surveillance authority provided that it is necessary in order for this authority to be able to check compliance with the essential requirements set out in Annex I.

ANNEX VI

CONFORMITY ASSESSMENT PROCEDURES

Conformity Assessment procedure based on internal control (based on Module A)

1. Internal control is the conformity assessment procedure whereby the manufacturer fulfils the obligations laid down in points 2, 3 and 4, and ensures and declares on its sole responsibility that the products with digital elements satisfy all the essential requirements set out in Section 1 of Annex I and the manufacturer meets the essential requirements set out in Section 2 of Annex I.
2. The manufacturer shall draw up the technical documentation described in Annex V.
3. Design, development, production and vulnerability handling of products with digital elements
The manufacturer shall take all measures necessary so that the design, development, production and vulnerability handling processes and their monitoring ensure compliance of the manufactured or developed products with digital elements and of the processes put in place by the manufacturer with the essential requirements set out in sections 1 and 2 of Annex I.
4. Conformity marking and declaration of conformity
 - 4.1. The manufacturer shall affix the CE to each individual product with digital elements that satisfies the applicable requirements of this Regulation.
 - 4.2. The manufacturer shall draw up a written EU declaration of conformity for each product with digital elements in accordance with Article 20 and keep it together with the technical documentation at the disposal of the national authorities for 10 years after the product with digital elements has been placed on the market *or the support period, whichever is longer*. The EU declaration of conformity shall identify the product with digital elements for which it has been drawn up. A copy of the EU declaration of conformity shall be made available to the relevant authorities upon request.
5. Authorised representatives
The manufacturer's obligations set out in point 4 may be fulfilled by his authorised representative, on his behalf and under his responsibility, provided that they are specified in the mandate.

Commented [HE295]: AM 118 Rapporteur

EU-type examination (based on Module B)

1. EU-type examination is the part of a conformity assessment procedure in which a notified body examines the technical design and development of a product and the vulnerability handling processes put in place by the manufacturer, and attests that a product with digital elements meets the essential requirements set out in Section 1 of Annex I and that the manufacturer meets the essential requirements set out in Section 2 of Annex I.
2. EU-type examination shall be carried out by assessment of the adequacy of the technical design and development of the product through examination of the technical documentation and supporting evidence referred to in point 3, plus examination of

specimens of one or more critical parts of the product (combination of production type and design type).

3. The manufacturer shall lodge an application for EU-type examination with a single notified body of his choice.

The application shall include:

- the name and address of the manufacturer and, if the application is lodged by the authorised representative, his name and address as well;
- a written declaration that the same application has not been lodged with any other notified body;
- the technical documentation, which shall make it possible to assess the product's conformity with the applicable essential requirements as set out in Section 1 of Annex I and the manufacturer's vulnerability handling processes set out in Section 2 of Annex I, and shall include an adequate analysis and assessment of the risk(s). The technical documentation shall specify the applicable requirements and cover, as far as relevant for the assessment, the design, manufacture and operation of the product. The technical documentation shall contain, wherever applicable, at least the elements set out in Annex V;
- the supporting evidence for the adequacy of the technical design and development solutions and vulnerability handling processes. This supporting evidence shall mention any documents that have been used, in particular where the relevant harmonised standards and/or technical specifications have not been applied in full. The supporting evidence shall include, where necessary, the results of tests carried out by the appropriate laboratory of the manufacturer, or by another testing laboratory on his behalf and under his responsibility.

4. The notified body shall:

- 4.1. examine the technical documentation and supporting evidence to assess the adequacy of the technical design and development of the product with the essential requirements set out in Section 1 of Annex I and of the vulnerability handling processes put in place by the manufacturer with the essential requirements set out in Section 2 of Annex I;
- 4.2. verify that the specimen(s) have been developed or manufactured in conformity with the technical documentation, and identify the elements which have been designed and developed in accordance with the applicable provisions of the relevant harmonised standards and/or technical specifications, as well as the elements which have been designed and developed without applying the relevant provisions of those standards;
- 4.3. carry out appropriate examinations and tests, or have them carried out, to check whether, where the manufacturer has chosen to apply the solutions in the relevant harmonised standards and/or technical specifications for the requirements set out in Annex I, these have been applied correctly;
- 4.4. carry out appropriate examinations and tests, or have them carried out, to check whether, where the solutions in the relevant harmonised standards and/or technical specifications for the requirements set out in Annex I have not been applied, the solutions adopted by the manufacturer meet the corresponding essential requirements;
- 4.5. agree with the manufacturer on a location where the examinations and tests will be carried out.

5. The notified body shall draw up an evaluation report that records the activities undertaken in accordance with point 4 and their outcomes. Without prejudice to its obligations vis-à-vis the notifying authorities, the notified body shall release the content of that report, in full or in part, only with the agreement of the manufacturer.
6. Where the type and the vulnerability handling processes meet the essential requirements set out in Annex I, the notified body shall issue an EU-type examination certificate to the manufacturer. The certificate shall contain the name and address of the manufacturer, the conclusions of the examination, the conditions (if any) for its validity and the necessary data for identification of the approved type and vulnerability handling processes. The certificate may have one or more annexes attached.

The certificate and its annexes shall contain all relevant information to allow the conformity of manufactured or developed products with the examined type and vulnerability handling processes to be evaluated and to allow for in-service control.

Where the type and the vulnerability handling processes do not satisfy the applicable essential requirements set out in Annex I, the notified body shall refuse to issue an EU-type examination certificate and shall inform the applicant accordingly, giving detailed reasons for its refusal.
7. The notified body shall keep itself apprised of any changes in the generally acknowledged state of the art which indicate that the approved type and the vulnerability handling processes may no longer comply with the applicable essential requirements set out in Annex I to this Regulation, and shall determine whether such changes require further investigation. If so, the notified body shall inform the manufacturer accordingly.

The manufacturer shall inform the notified body that holds the technical documentation relating to the EU-type examination certificate of all modifications to the approved type and the vulnerability handling processes that may affect the conformity with the essential requirements set out in Annex I, or the conditions for validity of the certificate. Such modifications shall require additional approval in the form of an addition to the original EU-type examination certificate.
8. Each notified body shall inform its notifying authorities concerning the EU-type examination certificates and/or any additions thereto which it has issued or withdrawn, and shall, periodically or upon request, make available to its notifying authorities the list of certificates and/or any additions thereto refused, suspended or otherwise restricted.

Each notified body shall inform the other notified bodies concerning the EU-type examination certificates and/or any additions thereto which it has refused, withdrawn, suspended or otherwise restricted, and, upon request, concerning the certificates and/or additions thereto which it has issued.

The Commission, the Member States and the other notified bodies may, on request, obtain a copy of the EU-type examination certificates and/or additions thereto. On request, the Commission and the Member States may obtain a copy of the technical documentation and the results of the examinations carried out by the notified body. The notified body shall keep a copy of the EU-type examination certificate, its annexes and additions, as well as the technical file including the documentation submitted by the manufacturer, until the expiry of the validity of the certificate.
9. The manufacturer shall keep a copy of the EU-type examination certificate, its annexes and additions together with the technical documentation at the disposal of the national

authorities for 10 years after the product has been placed on the market *or for the support period*.

Commented [HE296]: AM 119 Rapporteur

10. The manufacturer's authorised representative may lodge the application referred to in point 3 and fulfil the obligations set out in points 7 and 9, provided that they are specified in the mandate.

Conformity to type based on internal production control (based on Module C)

1. Conformity to type based on internal production control is the part of a conformity assessment procedure whereby the manufacturer fulfils the obligations laid down in points 2 and 3, and ensures and declares that the products concerned are in conformity with the type described in the EU-type examination certificate and satisfy the essential requirements set out in Section 1 of Annex I.
2. Production
 - 2.1. The manufacturer shall take all measures necessary so that the production and its monitoring ensure conformity of the manufactured products with the approved type described in the EU-type examination certificate and with the essential requirements as set out in Section 1 of Annex I.
 3. Conformity marking and declaration of conformity
 - 3.1. The manufacturer shall affix the CE marking to each individual product that is in conformity with the type described in the EU-type examination certificate and satisfies the applicable requirements of the legislative instrument.
 - 3.2. The manufacturer shall draw up a written declaration of conformity for a product model and keep it at the disposal of the national authorities for 10 years after the product has been placed on the market *or for the support period*. The declaration of conformity shall identify the product model for which it has been drawn up. A copy of the declaration of conformity shall be made available to the relevant authorities upon request.
4. Authorised representative

The manufacturer's obligations set out in point 3 may be fulfilled by his authorised representative, on his behalf and under his responsibility, provided that they are specified in the mandate.

Commented [HE297]: AM 120 Rapporteur

Conformity based on full quality assurance (based on Module H)

1. Conformity based on full quality assurance is the conformity assessment procedure whereby the manufacturer fulfils the obligations laid down in points 2 and 5, and ensures and declares on his sole responsibility that the products (or product categories) concerned satisfy the essential requirements set out in Section 1 of Annex I, and that the vulnerability handling processes put in place by the manufacturer meet the requirements set out in Section 2 of Annex I.
2. Design, development, production and vulnerability handling of products with digital elements

The manufacturer shall operate an approved quality system as specified in point 3 for the design, development, and production of the products concerned and for handling vulnerabilities, maintain its effectiveness throughout the lifecycle of the products concerned, and shall be subject to surveillance as specified in point 4.

3. Quality system

3.1. The manufacturer shall lodge an application for assessment of his quality system with the notified body of his choice, for the products concerned.

The application shall include:

- the name and address of the manufacturer and, if the application is lodged by the authorised representative, his name and address as well;
- the technical documentation for one model of each category of products intended to be manufactured or developed. The technical documentation shall, wherever applicable, contain at least the elements as set out in Annex V;
- the documentation concerning the quality system; and
- a written declaration that the same application has not been lodged with any other notified body.

3.2. The quality system shall ensure compliance of the products with the essential requirements set out in Section 1 of Annex I and compliance of the vulnerability handling processes put in place by the manufacturer with the requirements set out in Section 2 of Annex I.

All the elements, requirements and provisions adopted by the manufacturer shall be documented in a systematic and orderly manner in the form of written policies, procedures and instructions. That quality system documentation shall permit a consistent interpretation of the quality programmes, plans, manuals and records.

It shall, in particular, contain an adequate description of:

- the quality objectives and the organisational structure, responsibilities and powers of the management with regard to design, development, product quality and vulnerability handling;
- the technical design and development specifications, including standards, that will be applied and, where the relevant harmonised standards and/or technical specifications will not be applied in full, the means that will be used to ensure that the essential requirements set out in Section 1 of Annex I that apply to the products will be met;
- the procedural specifications, including standards, that will be applied and, where the relevant harmonised standards and/or technical specifications will not be applied in full, the means that will be used to ensure that the essential requirements set out in Section 2 of Annex I that apply to the manufacturer will be met;
- the design and development control, as well as design and development verification techniques, processes and systematic actions that will be used when designing and developing the products pertaining to the product category covered;
- the corresponding production, quality control and quality assurance techniques, processes and systematic actions that will be used;
- the examinations and tests that will be carried out before, during and after production, and the frequency with which they will be carried out;
- the quality records, such as inspection reports and test data, calibration data, qualification reports on the personnel concerned, etc;

- the means of monitoring the achievement of the required design and product quality and the effective operation of the quality system.

3.3. The notified body shall assess the quality system to determine whether it satisfies the requirements referred to in point 3.2.

It shall presume conformity with those requirements in respect of the elements of the quality system that comply with the corresponding specifications of the national standard that implements the relevant harmonised standard and/or technical specification.

In addition to experience in quality management systems, the auditing team shall have at least one member experienced as an assessor in the relevant product field and product technology concerned, and knowledge of the applicable requirements of this Regulation. The audit shall include an assessment visit to the manufacturer's premises, where such premises exist. The auditing team shall review the technical documentation referred to in point 3.1, second indent, to verify the manufacturer's ability to identify the applicable requirements of this Regulation and to carry out the necessary examinations with a view to ensuring compliance of the product with those requirements.

The manufacturer or his authorised representative shall be notified of the decision.

The notification shall contain the conclusions of the audit and the reasoned assessment decision.

3.4. The manufacturer shall undertake to fulfil the obligations arising out of the quality system as approved and to maintain it so that it remains adequate and efficient.

3.5. The manufacturer shall keep the notified body that has approved the quality system informed of any intended change to the quality system.

The notified body shall evaluate any proposed changes and decide whether the modified quality system will continue to satisfy the requirements referred to in point 3.2 or whether a reassessment is necessary.

It shall notify the manufacturer of its decision. The notification shall contain the conclusions of the examination and the reasoned assessment decision.

4. Surveillance under the responsibility of the notified body

4.1. The purpose of surveillance is to make sure that the manufacturer duly fulfils the obligations arising out of the approved quality system.

4.2. The manufacturer shall, for assessment purposes, allow the notified body access to the design, development, production, inspection, testing and storage sites, and shall provide it with all necessary information, in particular:

- the quality system documentation;
- the quality records as provided for by the design part of the quality system, such as results of analyses, calculations, tests, etc.;
- the quality records as provided for by the manufacturing part of the quality system, such as inspection reports and test data, calibration data, qualification reports on the personnel concerned, etc.

4.3. The notified body shall carry out periodic audits to make sure that the manufacturer maintains and applies the quality system and shall provide the manufacturer with an audit report.

5. Conformity marking and declaration of conformity

5.1. The manufacturer shall affix the CE marking, and, under the responsibility of the notified body referred to in point 3.1, the latter's identification number to each individual product that satisfies the requirements set out in Section 1 of Annex I to this Regulation.

5.2. The manufacturer shall draw up a written declaration of conformity for each product model and keep it at the disposal of the national authorities for 10 years after the product has been placed on the market *or for the support period*. The declaration of conformity shall identify the product model for which it has been drawn up.

Commented [HE298]: AM 121 Rapporteur

A copy of the declaration of conformity shall be made available to the relevant authorities upon request.

6. The manufacturer shall, for a period ending at least 10 years after the product has been placed on the market *or for the support period or the period during which vulnerabilities are handled*, keep at the disposal of the national authorities:

Commented [HE299]: AM 122 Rapporteur

- the technical documentation referred to in point 3.1;
- the documentation concerning the quality system referred to in point 3.1;
- the change referred to in point 3.5, as approved;
- the decisions and reports of the notified body referred to in points 3.5, 4.3 and 4.4.

7. Each notified body shall inform its notifying authorities of quality system approvals issued or withdrawn, and shall, periodically or upon request, make available to its notifying authorities the list of quality system approvals refused, suspended or otherwise restricted.

Each notified body shall inform the other notified bodies of quality system approvals which it has refused, suspended or withdrawn, and, upon request, of quality system approvals which it has issued.

8. Authorised representative

The manufacturer's obligations set out in points 3.1, 3.5, 5 and 6 may be fulfilled by his authorised representative, on his behalf and under his responsibility, provided that they are specified in the mandate.

ANNEX VI a (new)

**CAPACITY NEEDS OF THE EUROPEAN UNION AGENCY FOR CYBERSECURITY
(ENISA)**

In order to fulfil its obligations under this Regulation and in order not to compromise existing obligations of the Agency under other Union law, the adequate staffing and financing of ENISA shall be ensured. Therefore additional tasks for ENISA under this Regulation shall be accompanied by additional human and financial resources. 9 additional full-time equivalent and corresponding additional appropriations will be needed to cover the additional tasks under this Regulation.

Commented [HE300]: AM 123 Rapporteur